

## Nanostructured lipid carriers as a novel tool to deliver sclareol: physicochemical characterisation and evaluation in human cancer cell lines

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Sclareol (SC) is arousing great interest due to its cytostatic and cytotoxic activities in several cancer cell lines. However, its hydrophobicity is a limiting factor for its *in vivo* administration. One way to solve this problem is through nanoencapsulation. Therefore, solid lipid nanoparticles (SLN-SC) and nanostructured lipid carriers (NLC-SC) loaded with SC were produced and compared regarding their physicochemical properties. NLC-SC showed better SC encapsulation than SLN-SC and was chosen to be compared with free SC in human cancer cell lines (MDA-MB-231 and HCT-116). Free SC had slightly higher cytotoxicity than NLC-SC and produced subdiploid DNA content in both cell lines. On the other hand, NLC-SC led to subdiploid content in MDA-MB-231 cells and G2/M checkpoint arrest in HCT-116 cells. These findings suggest that SC encapsulation in NLC is a way to allow the *in vivo* administration of SC and might alter its biological properties.

**Keywords:** Cancer. Sclareol. Solid lipid nanoparticles. Nanostructured lipid carriers. Small-angle X-ray scattering.

### INTRODUCTION

There is a great effort to discover new compounds with antineoplastic activity derived from plants. This is due to the difficulty in discovering new molecules with therapeutic activity coming solely from chemical synthesis (Atasanov *et al.*, 2015).

A molecule derived from a vegetal species with interesting pharmacological activity. Instead of “is SC”, please change to “is sclareol (SC)” (Figure 1). This is used as a fragrance in cosmetics and as a flavouring agent in the food and pharmaceutical industries, as well as seasoning in Chinese and Mediterranean diets (Noori *et al.*, 2010; Mahaira *et al.*, 2011). First, it was isolated from *Salvia sclarea* (fam. *Labiatae*), a native Mediterranean plant with occurrence in southern Europe and Iran, and was later found in conifers (Hatziantoniou *et al.*, 2006; Kuzma *et al.*, 2006).

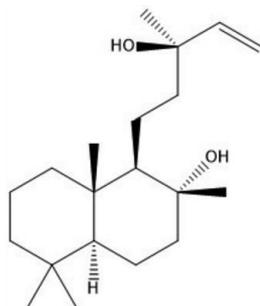
It has been shown that SC has antimicrobial (Seo *et al.*, 2012) and antioxidant (Hsieh *et al.*, 2017) activities.

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Moreover, several studies have described its anticancer activity (Dimas *et al.*, 1999, 2001, 2006, 2007; Mahaira *et al.*, 2011). However, the hydrophobicity of SC is a major drawback, which prevents its use in *in vivo* administrations. An alternative for the administration of hydrophobic compounds such as SC is its delivery in nanostructures (Hatziantoniou *et al.*, 2006).

In previous studies, SC encapsulation in liposomes allowed its intraperitoneal administration in mice at higher doses that could be tolerated if SC was”, please change to “that could not be tolerated if SC were in its free form (Dimas *et al.*, 2007; Mahaira *et al.*, 2011). Novel nanocarriers that emerged in the 1990’s with interesting properties are solid lipid nanoparticles (SLN) and nanostructured lipid carriers (NLC). They show many advantages over other nanocarriers, including liposomes, such as: avoidance of organic solvents, easy scale-up and diminished production costs. They are constituted by a solid lipid matrix stabilised by surfactants in an aqueous medium. This solid matrix is formed by solid lipids in the case of SLN and by solid and lipid liquids in NLC (Wong *et al.*, 2007; Kalam *et al.*, 2010, 2013; Fang *et al.*, 2013; Beloqui *et al.*, 2016; Bayón-Cordero *et al.*, 2019).

Considering the advantages of SLN and NLC, this work seeks to formulate these systems to load SC as a strategy to allow its *in vivo* administration by intravenous route. The physicochemical properties of the formulated nanocarriers were evaluated and compared. Moreover, the anticancer activity of NLC-SC *in vitro* was evaluated in human tumour cell lines and compared to free SC.



**FIGURE 1** – Chemical structure of SC. **Abbreviations:** SC, sclareol.

## MATERIAL AND METHODS

### Material

Compritol® 888 ATO (mixture of mono-, di- and triglycerides of behenic acid) was kindly provided by

Gattefossé, France. Ethoxylated sorbitan monoleate (Tween™ 80) and peanut oil were kindly provided by Croda, USA. Oleic acid, sodium citrate, dimethyl sulfoxide (DMSO), SC, propidium iodide, and Triton X-100 were purchased from Sigma-Aldrich, Germany. Cell Titer Blue® (CTB) was purchased from Promega, USA. Triethanolamine was purchased from Merck, Germany. Dulbecco's Modified Eagle's Medium (DMEM), Fetal Bovine Serum (FBS), and streptomycin were purchased from Gibco Life Technologies, USA. The other substances used were of analytical grade without further purification.

### Preparation of SLN-SC and NLC-SC

Two nanocarriers were produced for the delivery of SC: an SLN (herein called SLN-SC) and an NLC (herein called NLC-SC). The composition of the oily phase (OP) and aqueous phase (AP) of both nanocarriers is described in Table I. First, the OP was heated to 80 °C while the AP was also heated separately to the same temperature. With the temperature maintained at 80 °C, AP was gently dropped onto the OP under constant agitation, at 8000 rpm, with an Ultra Turrax T-25 homogeniser (IKA Laboratory Equipment, Germany). The formed emulsion was immediately submitted to a high intensity probe sonication for 10 min, as showed in the past works of our group (Castro *et al.*, 2009; Carneiro *et al.*, 2012; Silva *et al.*, 2015, 2016). After this period, the formulations were cooled down to room temperature with manual agitation. The pH values of SLN-SC and NLC-SC were lowered to the 7.0-7.5 range with a solution of 0.1 M HCl and the formulations were stored at 4 °C, protected from light in a nitrogen atmosphere.

**TABLE I** – Composition (% w/v) of SLN-SC and NLC-SC formulations <sup>a</sup>

		SLN-SC	NLC-SC
OP	Compritol® 888 ATO	1.50	1.20
	Peanut oil	-----	0.30
	Tween™ 80	0.80	0.80
	Triethanolamine	0.06	0.06
	Oleic acid	0.10	0.10
	SC	0.20	0.20
AP	Water	100	100

<sup>a</sup> OP and AP were heated separately at 80 °C, mixed and probe sonicated to form SLN-SC and NLC-SC.

**Abbreviations:** SC, sclareol; SLN-SC, sclareol-loaded solid lipid nanoparticles; NLC-SC, sclareol-loaded nanostructured lipid carriers.

(continuing)

## Measurement of Particle Size and Zeta Potential

The mean particle diameter and zeta potential of SLN-SC and NLC-SC were measured by dynamic light scattering (DLS) and DLS coupled with electrophoretic mobility, respectively, using the Zetasizer Nano-ZS90 (Malvern Instruments, United Kingdom) with a fixed angle (90 °) laser beam at 25 °C. The SLN-SC and NLC-SC dispersions were diluted 100 times in ultrapure water (cellulose ester membrane, 0.45 mm, Millipore, USA) prior to analysis. The data were reported as mean particle size, polydispersity index (PDI), and zeta potential.

## Atomic Force Microscopy (AFM)

AFM observations of SLN-SC and NLC-SC were performed in air at room temperature, on a Dimension 3000 apparatus monitored by a Nanoscope IIIa controller (Digital Instruments, USA). A droplet (10 µL) of each formulation (diluted 100 times with ultrapure water) was deposited on a freshly cleaved mica surface, spread all over it, and dried at room temperature. The images were obtained in tapping mode, using commercial silicon probes from Nanosensors™, with cantilevers having a length of 228 µm, resonance frequencies of 75–98 kHz, spring constants of 29–61 N/m and a nominal tip curvature radius of 5–10 nm. The scan rate used was 2 Hz.

## Small-angle X-ray Scattering (SAXS)

SLN-SC and NLC-SC were analysed in the lyophilised form. The lyophilisation of the nanocarriers was performed using a freeze-drier (ModulyoD Freeze Dryer, ThermoFisher, USA) connected to a VLP120 vacuum pump (ThermoFisher, USA). SLN-SC and NLC-SC were rapidly frozen with the aid of liquid nitrogen and then the frozen SLN-SC and NLC-SC were lyophilised at a temperature of -45 °C for 24 h.

SAXS measurements were carried out at the SAXS1 beamline of the Brazilian Synchrotron Light Laboratory (LNLS, Campinas, Brazil), using a fixed X-ray wavelength  $\lambda = 0.1488$  nm. The scattered x-ray photons were detected using a Pilatus 300K detector, covering a momentum transfer reciprocal space range of  $0.5 < Q < 4.5$  nm<sup>-1</sup>, where  $Q = (4\pi/\lambda)\sin\theta$  and  $\theta$  is the scattering angle.

The samples were placed inside metal rings, which were sealed by a polyimide film (Kapton®). The lattice spacing was calculated from our measurements using the formula  $d = 2\pi/Q$ , which is valid for the first-order

peaks of all structure types analysed in this work. Domain sizes (L) were extracted using the reciprocal space width ( $\Delta Q$ ) of the first order peak of each measurement, as  $L = 2\pi/\Delta Q$  (an intrinsic instrument broadening of 0.01 nm<sup>-1</sup> was considered for all calculations).

## Fourier-transform Infrared Spectroscopy (FTIR)

The FTIR was employed to characterise the typical functional groups present in the chemical composition of SC, Compritol® 888 ATO, SLN-SC and NLC-SC (the nanocarriers were analysed in the lyophilised form). FTIR spectra were obtained on a Spectrum One ATR system (Perkin-Elmer, USA), in the range of 4000 – 650 cm<sup>-1</sup> at room temperature.

## Differential Scanning Calorimetry (DSC)

Bulk materials (Compritol® 888 ATO and SC) and lyophilised nanocarriers (SLN-SC and NLC-SC) were placed in aluminium pans. DSC analyses were performed in a DSC-60 differential scanning calorimeter (Shimadzu, Japan). The heating rate was 10 °C/min with a nitrogen flow of 50 mL/min. The range of temperature was 40–150 °C.

## Polarised Light Microscopy (PLM)

The encapsulation of SC in the lipid core of the nanocarriers was evaluated by PLM. The presence of SC crystals in SLN-SC and NLC-SC dispersions was evaluated by an optical microscope (Zeiss Axio Imager.M2, Carl Zeiss, Germany) coupled with a light polariser and equipped with an AxioCam digital camera (Model ERc 5S, Carl Zeiss, Germany). The samples were prepared in microscope slides (undiluted) and the detection of SC crystals indicated a non-encapsulation of the diterpene in the nanostructures.

## Cell Culture

ATCC® HTB-26 (MDA-MB-231), a human breast adenocarcinoma cell line was purchased from ATCC® (American Type Culture Collection), USA. ATCC® CCL-247 (HCT-116), a human carcinoma cell line, was kindly donated by Professor Adriana Abalen (Genetic Department, Biological Science Department, Federal University of Minas Gerais, Brazil). Cells were

grown and maintained in DMEM medium supplemented with FBS (10% v/v) and penicillin (100 IU/mL) in a 5% CO<sub>2</sub> atmosphere at 37 °C.

### Cytotoxicity Assays

Cells were seeded in 96-well tissue culture plates at  $5 \times 10^3$  cells/well about 24 h before the treatment. Free SC was freshly prepared by dissolving the diterpene in DMSO. The cytotoxicity of NLC-SC and free SC was evaluated in a range of SC concentrations between 1.9 and 120  $\mu$ M. Blank-NLC (without SC) were diluted as NLC-SC in the whole range of concentrations used. In order to calculate the dose of SC in the NLC-SC, we considered that 100% efficiency encapsulation of sclareol was obtained in accordance with the past works that we have published encapsulating hydrophobic drugs (Castro, 2009; Carneiro *et al.*, 2012; Silva *et al.*, 2015, 2016). The cells were incubated with the treatments for 24 h and, after this period, the whole medium was replaced by a mixture of supplemented DMEM with CTB<sup>®</sup> (a solution of the cell viability reagent resazurin), to assess cytotoxicity in this period. The incubation was performed for 2 h and the fluorescence intensity was measured using Cytation<sup>®</sup> 5 equipment (BioTek, USA) at 530/590 nm excitation/emission wavelengths. Three independent experiments were performed at least in triplicate and DMSO (0.5%) control was performed. Determination of inhibitory concentration of 50% of cell viability (IC<sub>50</sub>) values was determined using the software GraphPad Prism<sup>®</sup> 5.0.

### Cell Cycle Analyses

A flow cytometric assay was employed to measure the DNA content in the cells after the treatments with NLC-SC and free SC, as described by Nicoletti *et al.* (1991). Briefly, aliquots containing  $2 \times 10^5$  cells/well were seeded into 24-well plates and incubated for 24 h. After

incubation, cells were treated with free SC, NLC-SC, and Blank-NLC for 24 h (SC concentrations: 60 and 120  $\mu$ M). DMSO in the same concentration used to solubilise free SC (0.25 and 0.5%) was used as negative control.

After treatment time, cells were centrifuged, suspended in a hypotonic fluorochrome solution—HFS (50  $\mu$ g/mL propidium iodide in 0.1% sodium citrate plus 0.1% Triton X-100) and incubated at 4 °C for 4 h. The propidium iodide fluorescence of 10,000 individual nuclei was measured using a FACScan flow cytometer (Becton Dickinson, USA). Three experiments were performed in triplicate. Data were analysed using FlowJo software 7.5.5 (TreeStarInc., USA).

### Data Analysis

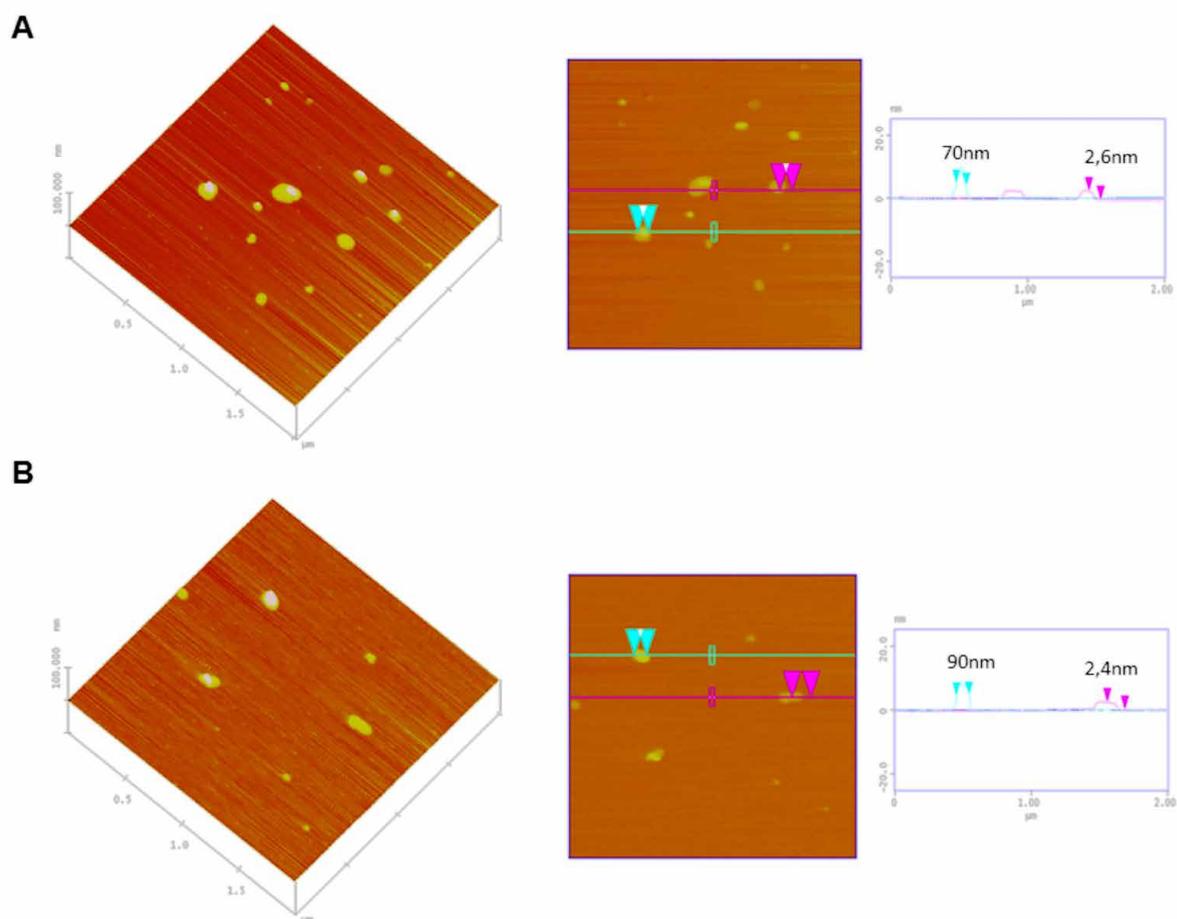
Statistical analyses were carried out using Kruskal-Wallis test followed by Dunn t test for the average size, PDI, and zeta potential. Cytotoxicity assays and cell cycle analyses were performed using two-way analysis of variance followed by Bonferroni's t test. For all analyses, the difference was considered statistically significant when P value was less than 0.05.

## RESULTS

### SLN-SC and NLC-SC characterisation

The data for average diameter, PDI, and zeta potential are shown in Table II. No differences were seen between SLN-SC and NLC-SC and between blank formulations and the SC-loaded ones, regarding these parameters.

It was also evaluated whether there was some difference regarding the shape and size of the nanocarriers by AFM analyses. The images are shown in Figure 2. For both SLN-SC and NLC-SC, the presence of particles of spherical or quasi-spherical shape was observed. This method, which has poor overall statistics, provided lateral sizes smaller than those seen in DLS analyses (Table II).



**FIGURE 2** – Tapping mode-AFM images of (A) SLN-SC and (B) NLC-SC. Shapes similar to spherical can be seen for both nanocarriers. Measurement of the size and height of one example of each nanocarrier is also shown. **Abbreviations:** AFM, atomic force microscopy; SLN-SC, sclareol-loaded solid lipid nanoparticles; NLC-SC, sclareol-loaded nanostructured lipid carriers.

**TABLE I** – Average size, PDI and zeta potential data obtained by DLS for SLN and NLC loaded with SC and blank formulations <sup>a</sup>

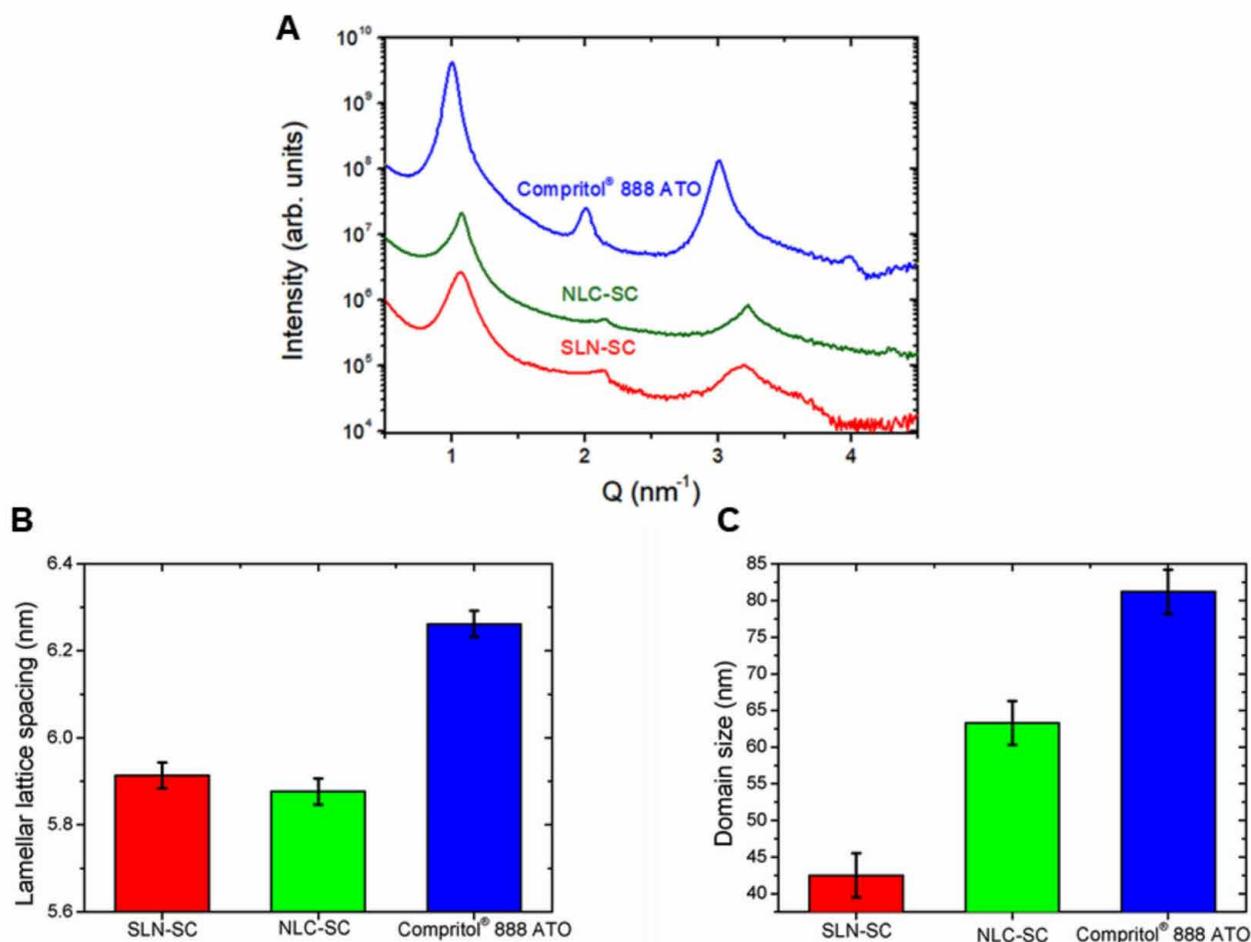
	Blank-SLN	SLN-SC	Blank-NLC	NLC-SC
Particle size (nm)	126 ± 6	127 ± 16	124 ± 8	115 ± 5
PDI	0.26 ± 0.01	0.30 ± 0.05	0.25 ± 0.03	0.23 ± 0.02
Zeta potential (mV)	-26 ± 2	-27 ± 2	-27 ± 4	-22 ± 7

<sup>a</sup> Results shown as mean ± standard deviation (n=3). **Abbreviations:** PDI, polydispersity index; DLS, Dynamic Light Scattering; Blank-SLN, blank (without SC)-solid lipid nanoparticles; SLN-SC, sclareol-loaded solid lipid nanoparticles; Blank-NLC, blank (without SC)-nanostructured lipid carriers; NLC-SC, sclareol-loaded nanostructured lipid carriers.

SLN-SC and NLC-SC samples were lyophilised and visual inspection proved the success of the process, with a homogenous powder formed. Although NLC-SC presents oil in its composition, lyophilisation of different types of NLC has been performed successfully (Liu *et al.*, 2008; Li *et al.*, 2010; Marquele-Oliveira *et al.*, 2010; Patil-Gadhe *et al.*, 2014). Jennings *et al.* (2000a, 2000b) showed that only in concentrations of oil above 28% (higher than what is present in NLC-SC), oily clusters were found within the NLC structure.

The analysis of SAXS measurements provides more information on the structure of SLN-SC and NLC-SC and their differences. Figure 3(A) shows the diffractograms of Compritol® 888 ATO, SLN-SC, and

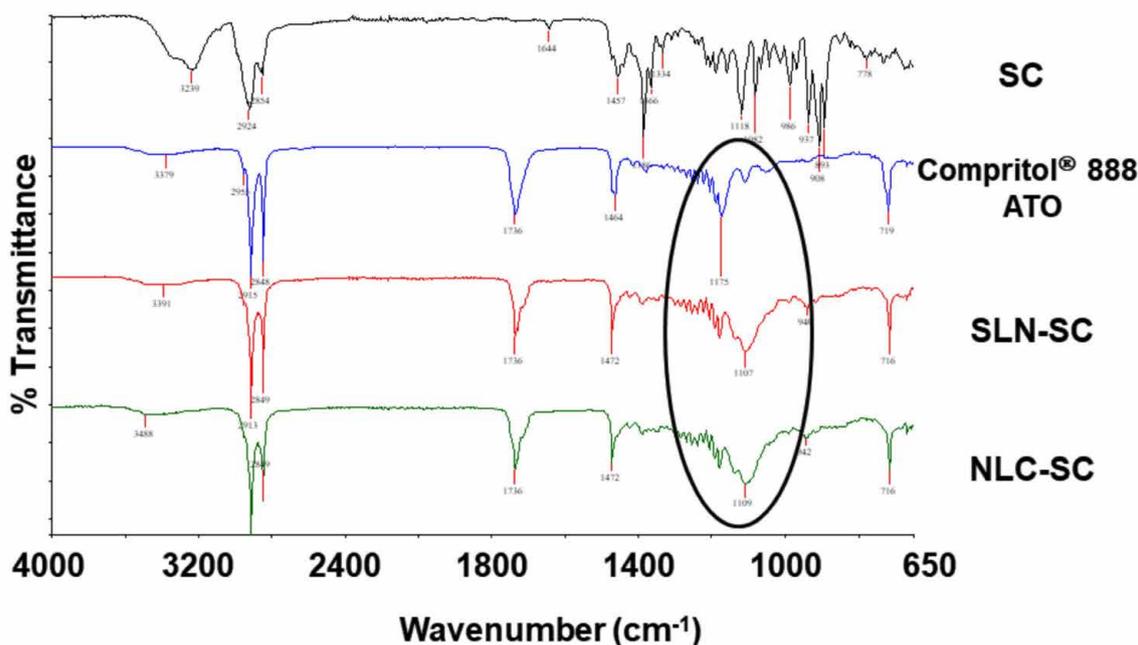
NLC-SC. One observes that the three peaks seen for Compritol® 888 ATO, located at  $Q = 1 \text{ nm}^{-1}$ ;  $2 \text{ nm}^{-1}$ , and  $3 \text{ nm}^{-1}$ , are also found for NLC-SC ( $Q = 1.07 \text{ nm}^{-1}$ ,  $2.16 \text{ nm}^{-1}$ , and  $3.23 \text{ nm}^{-1}$ ) and SLN-SC ( $Q = 1.07 \text{ nm}^{-1}$ ,  $2.13 \text{ nm}^{-1}$ , and  $3.20 \text{ nm}^{-1}$ ). The ratio of approximately 1:2:3 for the peak positions denotes that the same lamellar pattern of organization was found in all cases. Moreover, although the peak positions were similar, the peaks observed for nanocarriers were slightly displaced towards higher  $Q$  values when compared to the Compritol® 888 ATO ones. This is directly related to a larger lamellar lattice spacing for the bulk material (6.26 nm), if compared to SLN-SC (5.91 nm) and NLC-SC (5.88 nm) (Figure 3(B)).



**FIGURE 3** – SAXS measurements: (A) SAXS patterns for Compritol® 888 ATO and lyophilised nanocarriers (SLN-SC and NLC-SC), (B) lamellar lattice spacing and (C) domain size of Compritol® 888 ATO and lyophilised nanocarriers (SLN-SC and NLC-SC). Abbreviations: SAXS, small-angle x-ray scattering; SLN-SC, sclareol-loaded solid lipid nanoparticles; NLC-SC, sclareol-loaded nanostructured lipid carriers.

More pronounced differences were detected between the nanocarriers when the domain size was evaluated. This parameter was correlated with the inverse of the reciprocal space width of the peaks. Compritol® 888 ATO showed the highest domain size among the three groups (81.2 nm). The calculated average domain size of SLN-SC was 42.5 nm, while for NLC-SC a domain size of 63.3 nm was retrieved (Figure 3(C)). Although comparable to AFM size analyses (Figure 2), the observed SAXS sizes were smaller than those measured by DLS (Table II). This can be ascribed to incoherent agglomeration, in which the lattice registry of neighbour particles is broken (restricting the SAXS domain size), not affecting the DLS results.

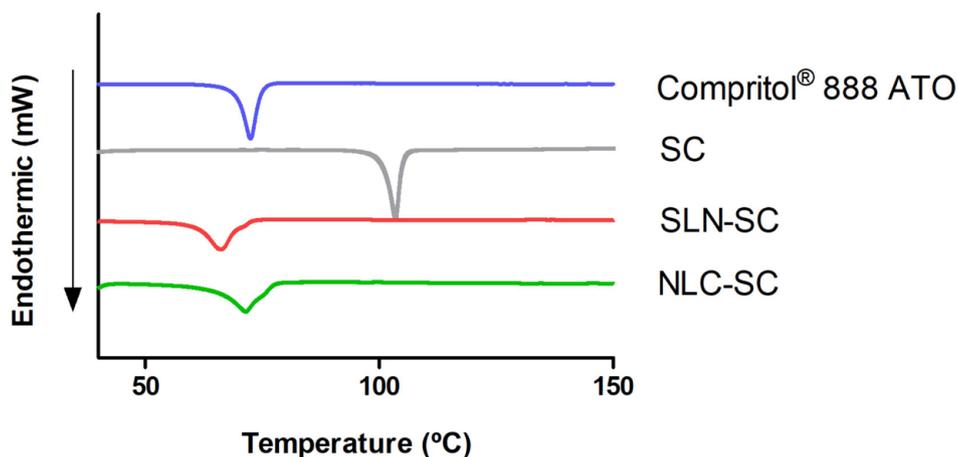
Figure 4 shows the FTIR analysis of SC, Compritol® 888 ATO and the nanocarriers (SLN-SC and NLC-SC). The absorption bands in the SC spectrum regarding C=C in alkene such as 1644  $\text{cm}^{-1}$  (stretching) and 967  $\text{cm}^{-1}$  (bending) were not seen in the SLN-SC and NLC-SC samples. Compritol® 888 ATO, SLN-SC, and NLC-SC showed practically the same FTIR spectra, including the characteristic absorption band at 1736  $\text{cm}^{-1}$  related to the stretching of the C=O ester bond. Nevertheless, in the SLN-SC and NLC-SC spectra, there was a broadening and a shifting of the C-O ester bond related to Compritol® 888 ATO. While a sharp absorption band at 1175  $\text{cm}^{-1}$  was observed in the bulk material broader bands were observed at 1107  $\text{cm}^{-1}$  for SLN-SC and at 1109  $\text{cm}^{-1}$  for NLC-SC.



**FIGURE 4** – FTIR spectra of SC, Compritol® 888 ATO, SLN-SC, and NLC-SC. The area circled highlights the difference in the absorption bands between Compritol® 888 ATO and the nanocarriers (SLN-SC and NLC-SC). **Abbreviations:** FTIR, Fourier-transform infrared spectroscopy; SLN-SC, sclareol-loaded solid lipid nanoparticles; NLC-SC, sclareol-loaded nanostructured lipid carriers.

The lipid matrix structuration was also evaluated by DSC (Figure 5). Table III shows the values found for the melting point peaks of the solid bulk materials (Compritol® 888 ATO and SC) and the nanocarriers (SLN-SC and NLC-SC). The DSC analyses for the SLN-SC and NLC-SC showed melting point peaks broader and less defined than those seen for Compritol® 888 ATO, the solid lipid that constitutes the matrix of both nanocarriers. The

melting point peak temperature of Compritol® 888 ATO (72.45 °C) was also lower than those observed for the nanocarriers (67.93 and 68.94 °C for SLN-SC and NLC-SC, respectively). Moreover, when comparing SLN-SC curve to the NLC-SC one, the  $\Delta T$  (difference between the onset and endset melting point temperatures) for NLC-SC was higher than that for SLN-SC (10.84 vs 7.75 °C, respectively).



**FIGURE 5**– DSC curves for the bulk materials and lyophilised nanocarriers. Samples were heated from 40 to 150 °C. Very defined peaks can be observed for Compritol® 888 ATO and SC. On the contrary, broad peaks are found for SLN-SC and NLC-SC, with the one regarding NLC-SC broader than the one seen for SLN-SC. **Abbreviations:** DSC, differential scanning calorimetry; SC, sclareol; SLN-SC, sclareol-loaded solid lipid nanoparticles; NLC-SC, sclareol-loaded nanostructured lipid carriers.

**TABLE III** – Melting temperatures events of bulk materials and lyophilised nanocarriers obtained by DSC (range of temperature tested: 40-150 °C) <sup>a</sup>

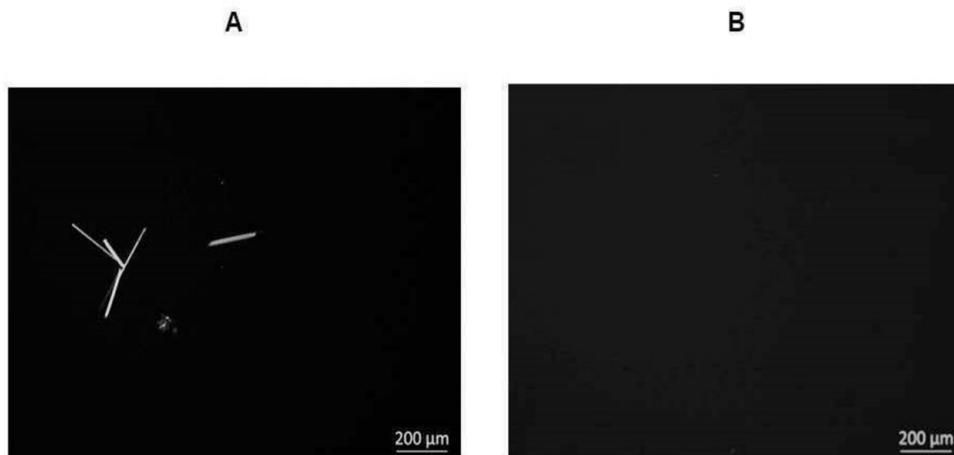
	PT	OT	ET	ΔT
Compritol® 888 ATO	72.45	69.58	74.82	5.24
SC	100.94	99.17	102.81	3.64
SLN-SC	67.93	63.96	71.71	7.75
NLC-SC	68.94	62.56	73.40	10.84

<sup>a</sup> Values expressed in °C.

**Abbreviations:** PT, melting peak temperature; OT, onset melting temperature; ET, endset melting temperature; ΔT, difference between onset and endstet melting point temperatures; SC, sclareol; SLN-SC, sclareol-loaded solid lipid nanoparticles; NLC-SC, sclareol-loaded nanostructured lipid carriers.

Finally, the encapsulation of SC in SLN-SC and NLC-SC was compared qualitatively by PLM. The presence of SC crystals was clearly visualised in the SLN-SC aliquots when they were subjected to PLM, whereas these crystals were not visualised for NLC-SC (Figure 6). This result shows that retention of SC was improved for

NLC-SC in comparison to SLN-SC. Therefore, NLC-SC was selected to be evaluated in the biologic (cytotoxicity and cell cycle) assays.



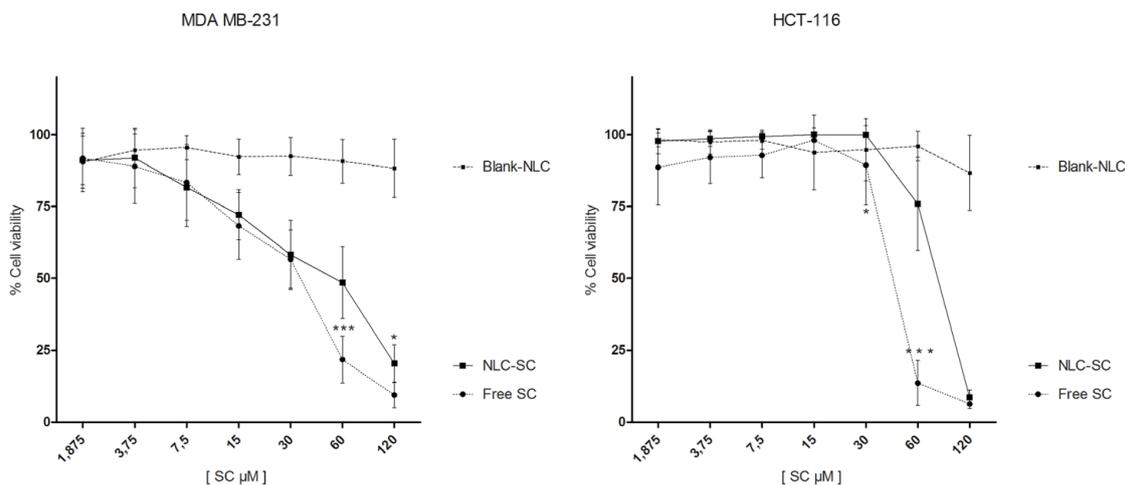
**FIGURE 6** – PLM images for (A) SLN-SC and (B) NLC-SC. It can be clearly visualised SC crystals for SLN-SC aliquots while they are not observed for NLC-SC aliquots, confirming SC effective encapsulation in the latter. **Abbreviations:** PLM, polarised light microscopy; SLN-SC, sclareol-loaded solid lipid nanoparticles; NLC-SC, sclareol-loaded nanostructured lipid carriers.

### Cytotoxicity Assays

The cytotoxicity of NLC-SC against MDA-MB-231 and HCT-116 cell lines was evaluated (Figure 7). Free SC and Blank-NLC were also tested. First, it can be seen that in both cell lines, Blank-NLC did not show significant cytotoxicity in the evaluated concentrations. This shows that the materials that constitute the NLC are safe and that they do not engender cytotoxicity by themselves, even though no sterilisation was previously performed.

For the MDA-MB-231 cell line, the cytotoxicity induced by the NLC-SC was similar to that observed for

free SC until the 30 µM SC concentration. However, when SC concentration was 60 or 120 µM, the activity of the free drug was significantly higher than that observed for NLC-SC. For the HCT-116 cell line, a similar phenomenon was observed with non-significant differences between NLC and SC until the SC concentration reached 15 µM. When higher concentrations were used (30 and 60 µM), free SC induced a significantly higher cytotoxicity than that observed for NLC-SC (Figure 7). The IC<sub>50</sub> values found for free SC and NLC-SC in both cell lines are shown in Table IV.



**FIGURE 7** – Cell viability curves for MDA-MB-231 and HCT-116 cell lines determined by CTB<sup>®</sup> reagent assay. Samples were incubated for 24 h with cells (5x10<sup>3</sup> cells/well) and cytotoxicity was shown as the percentage of viability comparing with control (no-treated cells). <sup>a</sup> Results shown as media ± standard deviation (n=3). \* = significantly different from NLC-SC group (P<0.05); \*\*\*= significantly different from NLC-SC group (P<0.001). **Abbreviations:** Blank-NLC, unloaded nanostructured lipid carrier; NLC-SC, sclareol-loaded nanostructured lipid carrier; SC, sclareol.

**TABLE IV** – IC<sub>50</sub> values (μM) for free SC and NLC-SC in MDA-MB-231 and HCT-116 cell lines after 24 h of incubation<sup>a</sup>

	MDA-MB-231	HCT-116
Free SC	28 ± 2	45 ± 6
NLC-SC	42 ± 3	75 ± 8

<sup>a</sup> Results showed as mean ± standard deviation (n = 3).

**Abbreviations:** SC, sclareol; NLC-SC, sclareol-loaded nanostructured lipid carriers.

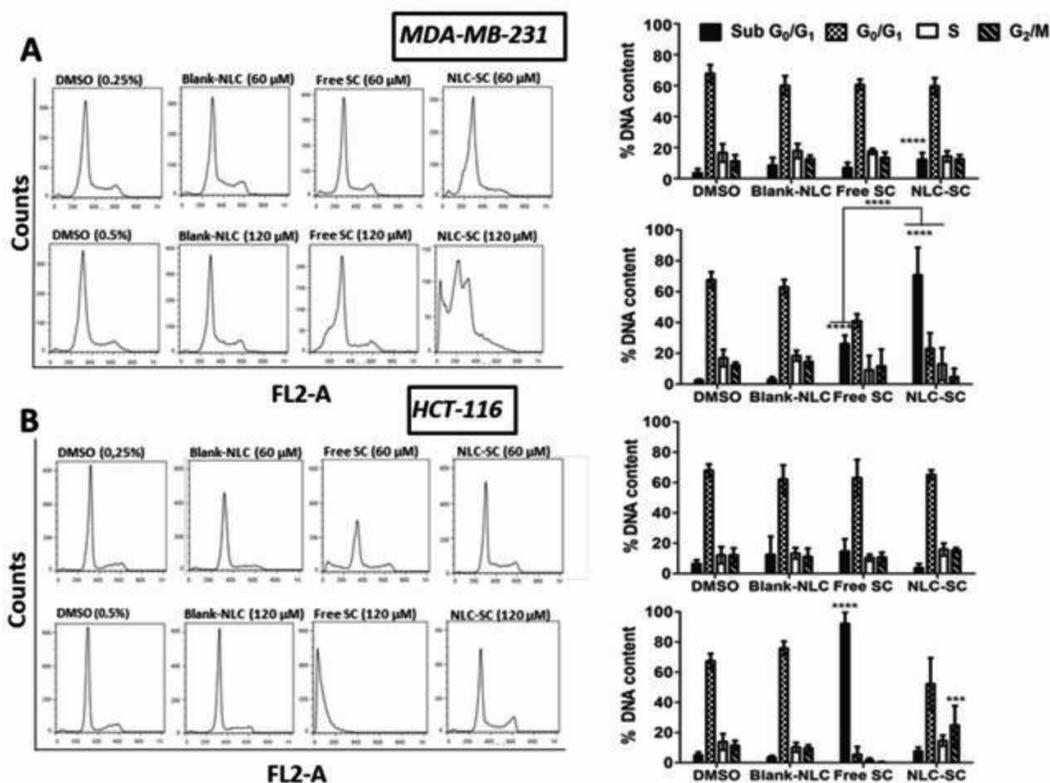
### Cell Cycle Analyses

To investigate if the differences seen in the cell viability assays between NLC-SC and free SC interfere in other parameters, cell cycle analyses were performed.

Two concentrations of SC were used: 60 and 120 μM. These concentrations were chosen as they were the highest ones used in cell viability studies and therefore were more likely to alter the cell cycle behaviour.

Blank-NLC showed similar behaviour to the negative control (DMSO) in both concentrations for both cell lines. Free SC showed an increase in the subdiploid (sub G<sub>0</sub>/G<sub>1</sub>) content compared to negative controls for 120 μM SC concentration in MDA-MB-231 and HCT-116 cells lines (Figure 8(A) and 8(B)).

For the MDA-MB-231 cell line, NLC-SC showed an increase in subdiploid content in the concentration of 60 and 120 μM of SC in comparison with negative controls. In addition, for SC concentration of 120 μM, this increase was significantly higher than that observed for free SC (Figure 8(A)). In contrast, in HCT-116 cell line (SC at 120 μM), NLC-SC did not generate any increase in subdiploid DNA content. Instead, an increase was observed in the amount of DNA in the G<sub>2</sub>/M phases compared to the negative control group (Figure 8(B)).



**FIGURE 8** – Representative histograms of 3 experiments in triplicate and quantification of DNA content in each phase (sub G<sub>0</sub>/G<sub>1</sub>, G<sub>0</sub>/G<sub>1</sub>, S, and G<sub>2</sub>/M) of the cell cycle for MDA-MB-231 (A) and HCT-116 (B) treated with free SC and NLC-SC. MDA-MB-231 and HCT-116 cells (5x10<sup>3</sup> cells/well) were incubated for 24 h with the treatments. <sup>a</sup> Results shown as mean ± standard deviation (n=3). Differences to negative control (DMSO) and between free SC and NLC-SC are shown. \*\*\* = significantly different (P<0.001); \*\*\*\* = significantly different (P<0.0001). **Abbreviations:** DMSO, dimethylsulfoxide; Blank-NLC, unloaded nanostructured lipid carrier; NLC-SC, sclareol-loaded nanostructured lipid carrier; SC, sclareol.

## DISCUSSION

This study aimed to develop, characterise and evaluate the *in vitro* anticancer activity of nanocarriers loaded with SC, a labdane diterpene which presents cytotoxic activity against several cancer cell lines. SC has high hydrophobicity, impairing its use *in vivo*. Therefore, a nanocarrier that efficiently encapsulates SC can be an interesting alternative to promote its intravenous use, one of the most used routes for antineoplastic administration (Mazzaferro *et al.*, 2013).

Two types of distinct lipid nanoparticles were prepared for the encapsulation of SC: SLN-SC and NLC-SC. The difference between the two types of formulation lies in the composition of the lipid matrix. While for the SLN-SC, the lipid matrix is formed only by a solid lipid (Compritol® 888 ATO), for NLC-SC, in addition to Compritol®, the lipid matrix has a liquid lipid in its composition: peanut oil (a long-chain triglyceride). We intended to evaluate the differences between SLN-SC and NLC-SC to choose one of them to perform biological assays.

Both SLN-SC and NLC-SC had the desired size for intravenous administration aiming a passive target to the tumours (Table II). It is well described in the literature that the vascular endothelium in solid tumours has plenty of fenestrations and an inefficient lymphatic uptake, which would allow the accumulation of nanoparticles (up to 200 nm) in the tumour sites (Taurin *et al.*, 2012). Moreover, both nanocarriers had low polydispersity index (up to 0.30) and a negative zeta potential, which will promote electrostatic stability between the nanoparticles (Benita, Levy, 1993).

The shape of SLN-SC and NLC-SC particles was evaluated by AFM (Figure 2). AFM imaging is among the most used microscopic techniques to investigate the morphology of nanocarriers due to the ease of analysis (Wang *et al.*, 2012). Both SLN-SC and NLC-SC exhibited formats that resemble a spherical shape, which has been reported previously for SLN and NLC in AFM analyses (Akanda *et al.*, 2015; Nahak *et al.*, 2016). The sizes of SLN-SC and NLC-SC were similar (in Figure 2, we highlight the size of typical SLN-SC and NLC-SC particles and smaller than those observed in DLS analyses (Table II). One explanation could be that the average size given by DLS does not represent the size of the majority of the particles. In the size distribution by number (Supplementary data, Figure S1) of NLC-SC and SLN-SC, it is possible to see that the majority

of the particles have sizes lower than 100 nm for both nanocarriers, explaining the smaller sizes retrieved in the AFM images. The absence of a unimodal distribution in number distribution (Supplementary data, Figure S1) is not a limiting factor, as the requisites for intravenous injection according to the United States Pharmacopoeia are a mean diameter less than 500 nm and a maximum 0.05% presence of particles with a diameter higher than 5 µm (USP, 2009), requisites that are both fulfilled by the NLC-SC. Moreover, it is possible to see that the height of the particles is very small. This is due to interactions between the samples and the cantilever tip in AFM-tapping mode analyses, which flattens the nanocarriers (Ebenstein *et al.*, 2002).

SAXS analyses were also used to evaluate the size and the structure of the nanocarriers. In Figure 3(A), it is possible to see the periodicity ratio (1, 2, and 3) of the peaks for Compritol® 888 ATO, SLN-SC, and NLC-SC curves, implying a lamellar pattern of arrangement that was previously reported for similar types of lipid nanocarriers (Lukowski *et al.*, 2000). Moreover, it is clearly seen that the peaks of Compritol® 888 ATO are much more intense than the ones from the nanostructures. This loss of intensity refers to a lower crystallinity (higher disorder) of the nanocarriers, if compared to the bulk material which has already been reported in other works (Castro *et al.*, 2009).

Regarding the lamellar lattice spacing, similar values were observed for SLN-SC and NLC-SC. Jennings *et al.* (2000a) compared SLN composed only of Compritol® 888 ATO and NLC composed of Compritol® 888 ATO and different proportions of Miglyol® 812, a liquid lipid. They did not detect any correlation between the presence of oil and an increase or reduction in the lamellar spacing.

Finally, it was noticed that the domain sizes were different for the groups analysed. As expected, Compritol® 888 ATO had larger domain sizes than the nanostructures. This is probably due to the fact that, when the lipid is in its bulk form, its molecules are arranged in well behaved crystals that are larger than the chemically complex nanoparticles. As in the AFM analyses (Figure 2), the sizes detected for SLN-SC and NLC-SC were smaller than the ones observed by DLS (Table II). However, looking at the size distribution by number obtained from DLS for SLN-SC and NLC-SC (supplementary data, Figure S1), one observes that the size of the most intense peaks for SLN-SC (41.1 nm) and NLC-SC (54.6 nm) are close to the domain sizes detected by SAXS (42.5 nm for SLN-SC and 63.3 nm for NLC-SC).

In the FTIR spectra (Figure 4), it is easily noted that the absorption bands for SLN-SC and NLC-SC are the same for Compritol® 888 ATO. Moreover, none of the characteristic SC bands are observed for the SLN-SC and NLC-SC samples. Nevertheless, this was expected, as in proportion, the majority of the nanocarriers mass is constituted by Compritol® 888 ATO. Moreover, Compritol® 888 ATO spectrum has a defined band at  $1175\text{ cm}^{-1}$  representing the stretching of C-O ester bonds. In the SLN-SC and NLC-SC spectra, this band is broader (indicating more bonds of this type), and is shifted to lower values (to  $1107\text{ cm}^{-1}$  and  $1109\text{ cm}^{-1}$  for SLN-SC and NLC-SC, respectively), indicating weakening of these bonds. This happens due to the fact that Compritol® 888 ATO is no longer in its bulk form, but now in nanostructures. In the form of SLN and NLC, Compritol® 888 ATO crystals have a bigger surface area than in the bulk form (explaining the broadening of the peak) and are less agglomerated (explaining the shift in the wavenumbers). The nonexistence of any new absorption bands in the FTIR spectra of SLN-SC and NLC-SC is an indicative of the absence of interactions and incompatibilities between the lipid and SC.

Regarding the DSC analyses (Figure 5), the Compritol® 888 ATO melting peak is sharper than the SLN-SC and NLC-SC ones, which indicates that the bulk material is more crystalline than the nanostructures. This was already shown by SAXS curves (Figure 3(A)). The broader peak for the NLC-SC compared to the one observed for SLN-SC suggests a more unstructured lipid matrix for NLC formulation (Carbone *et al.*, 2014). This may be attributed to the imperfections of the lipid matrix of NLC, generated by the presence of peanut oil. The more unstructured lipid matrix of NLC-SC can have an impact on the encapsulation of SC.

The improved ability to encapsulate SC in NLC-SC over SLN-SC was confirmed by PLM (Figure 6). SC crystals were observed for SLN-SC, while they were not visualised for NLC-SC. SC is a crystalline and very lipophilic molecule, therefore, if it were not loaded in the nanoparticle, it would be clearly visualised by PLM. Patel *et al.* (2010) developed SC-loaded liposomes and SC encapsulation was evaluated only by the absence of precipitation in the formulation.

The lipid matrix imperfections allow better accommodation of the drug in NLC compared to SLN and these findings are in line with previously described data (Fang *et al.*, 2013; Beloqui *et al.*, 2016; Pan *et al.*, 2016). This improved drug loading capacity is one of

the main reasons for the advent of NLC over SLN in the last decade. Therefore, NLC-SC was selected for further studies of cell viability and cell cycle evaluations.

In the cell viability studies (Figure 7), Blank-NLC did not show any toxicity with the concentration range used. Such non-toxic behaviour is widely described for blank SLN and blank NLC due to the safe nature of their constituent materials (Doktorovova *et al.*, 2014). Free SC and NLC-SC showed a similar high cytotoxicity for a wide SC concentration range (up to  $30\text{ }\mu\text{M}$  SC in the case of MDA-MB-231 and up to  $15\text{ }\mu\text{M}$  SC in the case of HCT-116). However,  $\text{IC}_{50}$  values for free SC were lower than those for NLC-SC in both cell lines. These findings are in line with previously published data which showed that free SC cytotoxicity was higher than that observed for SC-loaded liposomes (Hatziantoniou *et al.*, 2006; Mahaira *et al.*, 2011). It was shown that when SC was loaded in liposomes, a lower cytosol (where it is believed SC has its site of action) concentration was achieved compared to free SC due to a different intracellular distribution (Paradissis *et al.*, 2007a, 2007b). We can assume that a similar phenomenon could have happened for NLC-SC.

Free SC generated an increase in subdiploid DNA content. The presence of subdiploid DNA content in cell cycle experiments following SC treatment has been previously reported by other studies with some cancer cell lines (HCT-116, MCF-7, and MOLT-3) (Dimas *et al.*, 1999, 2001, 2006; Mahaira *et al.*, 2011). Subdiploid DNA content is generally an indicative of a cell death process by apoptosis (Pan *et al.*, 2016). This is consistent with previous works that showed that SC generated cell death by this mechanism (Dimas *et al.*, 1999, 2007; Darzynkiewicz *et al.*, 2010; Mahaira *et al.*, 2011).

However, NLC-SC showed a different behaviour from that observed for free SC (Figure 8(A) and 8(B)). While NLC-SC produced an impressive increase in subdiploid DNA content in the MDA-MB-231 cell line, it produced a  $\text{G}_2/\text{M}$  cell cycle arrest in the HCT-116 cell line. While the generation of subdiploid DNA content by SC has been well described, a  $\text{G}_2/\text{M}$  arrest has not yet been reported after an SC treatment. The  $\text{G}_2/\text{M}$  cell cycle arrest has been reported for several drugs currently used in oncology clinics such as doxorubicin (Golunski *et al.*, 2016), paclitaxel (Han, Le, 2016), cisplatin (Volland *et al.*, 2006) and etoposide (Nam *et al.*, 2010). For all of these drugs,  $\text{G}_2/\text{M}$  arrest is linked to cell death by apoptosis. The  $\text{G}_2/\text{M}$  arrest, however, has also been reported as an indicative of cell death by autophagy (Lambert *et al.*, 2008; Filippi-Chiela *et al.*, 2011). Although, no study has

shown that SC produced cell death by autophagy, Shakeel-u-Rehman *et al.* (2015) showed that an SC derivative led to cell death in the PC-3 (human prostate cancer) cell line by this mechanism.

Panzarini *et al.* (2013) emphasise that nanoparticles can stimulate autophagy processes. Thus, the question arises whether this distinct behaviour, seen for HCT-116 cells when treated with NLC-SC would probably be due to apoptosis or a new mechanism of cell death, like autophagy. In that way, it shows, as a perspective for this work, the performance of cell death mechanisms assays. Therefore, the encapsulation of SC in NLC alters its biological properties, slightly reducing its cytotoxicity and altering the cell cycle patterns.

Nevertheless, it is essential to look at the *in vivo* limitations of SC. When it was administered in its free form in mice, it could not produce antitumour effects unless very high doses were used, which has led to several side effects (Hatziantoniou *et al.*, 2006). SC encapsulation in nanocarriers made its *in vivo* administration possible (Hatziantoniou *et al.*, 2006; Dimas *et al.*, 2007; Mahaira *et al.*, 2011) and, in that way, NLC-SC presents itself as a novel nanocarrier for SC encapsulation with all the aforementioned benefits that NLC can provide.

## CONCLUSION

This work has shown that it is possible to load SC in NLC, formulating nanocarriers with small size, narrow size distribution, and efficient SC encapsulation. It has been shown that NLC can load SC in a more efficient way than SLN, probably due to a more unstructured lipid matrix. These results show the benefits of NLC as a novel nanocarrier to deliver hydrophobic drugs.

NLC-SC showed to be slightly less cytotoxic than free SC in both evaluated cell lines and to evoke G<sub>2</sub>/M cell cycle arrest in the HCT-116 cell line. This shows that the encapsulation of SC alters its biological properties *in vitro* as already shown by other works. Notwithstanding, NLC-SC represents a novel nanocarrier to load SC, making possible its administration *in vivo*.

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