Optimization study of combined enteric and time-dependent polymethacrylates as a coating for colon targeted delivery of 5-ASA pellets in rats with ulcerative colitis

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- 29 Abstract

Formulation design for colon-specific delivery of 5-aminosalicylic acid (5-ASA) could bring
 some therapeutic benefits in the treatment of ulcerative colitis (UC).

In the current study, a 3^2 full factorial design was used to predict optimum coating composed of 32 two enteric (poly methacrylic acid, methyl methacrylates 1:2 and 1:1) and time-dependent (poly ethyl 33 acrylate, methyl methacrylate, trimethylammonio ethyl methacrylate chloride 1:2:0.1) 34 polymethacrylates for colon-specific delivery of 5-ASA pellets. A unique coating composition and 35 coating level predicted by the model was applied onto either inulin-free 5-ASA pellets or inulin-36 bearing 5-ASA pellets and the coated pellets were examined by dissolution test in-vitro. The coated 37 pellets were also tested in a rat model of UC and compared with the a commercially available colonic 38 delivery system of 5-ASA. 39

The ratio of the two enteric polymethacrylates and time-dependet polymethacrylate of 16:64:20 w/w at a coating level of 15% was discovered as the optimum coating for delivery of 5-ASA pellets to the colon. In general, the coated pellets offered a better therapeutic outcome compared to commercially available colonic delivery system of 5-ASA and uncoated pellets in terms of colitis activity index and the colon's tissue enzymes of MDA and GSH.

It seems that the coating composed of enteric and pH-dependent polymethacrylates could tune up the rate of drug release from 5-ASA-coated pellets and trigger drug release based on pH and time.

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Keywords

5-Aminosalicylic acid pellets, Colonic delivery, pH and time-dependent approach, Controlled
 release, polymethacrylates, Inulin.

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64 **1. Introduction**

Inflammatory bowel disease (IBD) is a chronic, episodic mucosal inflammation of the 65 66 gastrointestinal tract (GIT) and might affect a large area of the GIT from the mouth to the anus (Crohn's disease) or might be limited to the colon and rectum region (Ulcerative colitis) which has 67 been shown to respond to the oral dosages of the anti-inflammatory drug of 5-aminosalicylic acid (5-68 ASA) (Binienda et al., 2020; Kobayashi et al., 2020). 5-ASA is generally considered the first-line 69 medicine in the treatment of Ulcerative colitis (UC) (Cesar et al., 2018; Chapman et al., 2020; Le 70 Berre et al., 2019). It is believed that a suitable formulation that prevents 5-ASA release in the upper 71 parts of the gastrointestinal tract (GIT) and instead, delivers the drug to the colon can enhance the 72 therapeutic properties of the drug (Foppoli et al., 2019; Shahdadi Sardou et al., 2019a). 73

The release and absorption of 5-ASA in the upper GIT could result in some undesirable side
effects, suboptimal colon delivery, and poor therapeutic efficacy (Amidon et al., 2015; Yan et al.,
2019).

There are different platforms for the delivery of drugs through GIT, and specifically to the colon region (Amidon et al., 2015; Maderuelo et al., 2019). These drug delivery systems benefit from some physiological alterations through the GIT, including pH, the residence time, and the microflora to tune the delivery of the drug (Jain and Jain, 2008). In this regard, pH-dependent, time-dependent, and bacterially responsive systems are applied along with the active ingredient to achieve the desired delivery profile in the GIT (Fude et al., 2007).

Considering 5-ASA colon-specific delivery, different categories of polymers have been studied in 83 various combinations to control the drug release in the GIT as intended. These are pH-dependent, 84 time-dependent, and bacterially degradable polymers that are either used in combination or as 85 different separate coating layers on the pellets (Gazzaniga et al., 2006; Kotla et al., 2018; Maderuelo 86 et al., 2019; Thakral et al., 2013; Trenda et al., 2016). The examples of the combinations of polymers 87 as a coating layer are Ethylcellulose/Starch (Karrout et al., 2011), Ethylcellulose/Nutriose (Karrout et 88 al., 2015), Ethylcellulose/Pectin (Ahmed, 2005), Eudragit S/Ethylcellulose (Xu et al., 2014), Eudragit 89 FS/Eudragit RL and RS (Gupta et al., 2001), Eudragit FS/Chitosan (Babazadeh et al., 2007), 90 Ethylcellulose/Eudragit L/Pectin (Fude et al., 2007). Mainly, the drug release from the pellets and the 91 contribution of each polymer to the release profile is studied in these combinations, and the optimal 92 formulation whose effect has been confirmed in clinical studies has not been introduced. 93

Currently, 5-ASA is given as two common oral dosage forms of Pentasa and Asacol; the first is a
controlled-release pellet/tablet formulation and the second is a delayed-release tablet (Goyanes et al.,
2015). Both of these formulations have some limitations in the optimal delivery of 5-ASA to the
colon region (Williams et al., 2011).

There is some evidence showing that Pentasa with time-dependent delivery, releases 5-ASA 98 gradually from the stomach to small intestine, leaving no or little drug for the colon (Kotla et al., 99 2018). This leads to insufficient drug delivery to the inflamed tissues of the colon, which may be the 100 reason for the poor treatment of UC with this brand (Shahdadi Sardou et al., 2021). In Asacol with 101 pH-dependent delivery, the tablet triggers 5-ASA release once reaching the ileum with a given pH 102 (Govanes et al., 2015; Ito et al., 2009; Zeeshan et al., 2019). Like Pentasa, this brand also suffers 103 from poor 5-ASA delivery to the colon region sometimes. Asacol, in some cases, releases almost all 104 5-ASA abruptly as soon as reaching the ileum (Ito et al., 2009; Xu et al., 2004). Therefore, a 105

suboptimal 5-ASA payload remains to be delivered throughout the colon. In other cases, when pH in
the ileum or colon is lower than the required pH for the dissolution of the coat, the tablet might
dissolve partially in the colon and delivers no or just a bit of its drug cargo (Abinusawa and Tenjarla,
2015; Goyanes et al., 2015).

An ideal 5-ASA oral formulation for UC should protect the drug through the upper part of GIT,
i.e. stomach and small intestine, and then releases all the drug cargo gradually throughout the
diseased colon in UC (Teruel et al., 2020). To reach this point, the formulation needs to be responsive
to pH alteration through GIT and triggers the drug release at the colon-specific pH (Wu and Yao,
2013; Zeeshan et al., 2019). Moreover, the residence time of the drug in each part of GIT holds the
key to the successful drug formulation design (Hua, 2020).

Based on the literature, pH increases abruptly from 1.2 to 6.5 from the stomach to duodenum and 116 it gradually increases up to 7.2 in the terminal ileum (Zeeshan et al., 2019). The pH slightly drops to 117 6.8-6.5 in the colon (Hua, 2020). It takes a maximum of 2 h for the tablet to pass through the 118 stomach, 1 h through the duodenum, and 2 h through the jejunum (Akhgari et al., 2006, 2005). It is 119 reported that it takes at least 1 h for the dosage form to pass through the ileum and around 10 hours 120 on average to traverse the colon. In other words, the residence time of the drug in the ileum and colon 121 is about 1 and 10 h, respectively (Shahdadi Sardou et al., 2021). Therefore, to be more specific, the 122 ideal drug formulation should (i) resist drug release at pH of < 6.5, (ii) release 50-70% of the drug 123 within 10 h at pH 6.8, and (iii) present a gradual and sustained release of the drug at pH 6.8 up to 7.2. 124

Among polymers used in the design of colon delivery formulations, a variety of polymethacrylate 125 (Eudragit) polymers are commonly used; some are known to trigger drug release at a certain pH 126 point, and some others are known for their retarding effect on diffusion of drug if they are used as a 127 coating (Thakral et al., 2013). Poly methacrylic acid, methyl methacrylates 1:2 and 1:1 also known as 128 Eudragit S and Eudragit L (as pH-dependent polymers) or (poly ethyl acrylate, methyl methacrylate, 129 trimethylammonioethyl methacrylate chloride 1:2:0.1) also known as Eudragit RS (as a time-130 dependent polymer) have been used for delivery of 5-ASA to the colon (Design, 2016; Fude et al., 131 2007; Kaffash et al., 2019; Wei et al., 2015; Zeeshan et al., 2019). However, none of them alone 132 exhibits the desired above-mentioned features for the colon delivery purposes of 5-ASA pellets 133 134 (Kotla et al., 2018). For instance, while Eudragit L (L) dissolves at pH 6, Eudragit S (S) starts dissolving once it is subjected to pH 7 and Eudragit RS (RS) as the water-insoluble polymer is too 135 robust to let all the drug release from the pellets across GIT leading to suboptimal drug delivery if 136 either of these polymers is used for coating the pellets (Thakral et al., 2013). Given that S does not 137 dissolve in aqueous media with pH <7, the use of S per se in the coating does not allow the drug to be 138 released completely in the colon region; therefore, the concomitant addition of L is necessary for the 139 coating to ensure drug release at pH <7 (Akhgari et al., 2006; Franco and Marco, 2020). Therefore, 140 we suppose that there might be a perfect coating for 5-ASA pellets for targeting to the colon region if 141 these three polymers were utilized together at an appropriate ratio. We surmise that the films 142 composed of these polymers (S, L and RS) bring about pH-dependent and gradual release features 143 respectively. 144

Polysaccharides such as guar, pectin, chitosan, inulin, and dextran which are decomposed by the colon flora have long been used in the formulation of colon delivery dosage forms, either as matrix in tablet/pellet structure or as a part of the coating. Among these, we showed in our previous study that inulin was the most susceptible polysaccharide to rat cecal fluid (Shahdadi Sardou et al., 2019b). In the current study, we attempted to find the best possible combination of S, L and RS as a
coating and the coating level to achieve the targeted colon delivery of 5-ASA pellets. For this
purpose, a factorial design was employed to reach the point.

To increase the susceptibility of drug release to the colonic environment we also tried the optimized coating on inulin-bearing 5-ASA pellet as well as inulin-free 5-ASA pellets to figure out if there is any facilitation of drug release that the bacterially- degradable polysaccharide could provide in the colon. Moreover, the possible therapeutic benefits were studied in an rat model of UC.

The GIT of the rats resembles closely that of man in anatomy (Bryda, Elizabeth, 2013) and 156 therefore they have been used in many studies as animal models for evaluation of colonic delivery 157 systems (Low et al., 2013; Mladenovska et al., 2007; Mura et al., 2011; Rajendiran et al., 2018; Wei 158 et al., 2008; Xu et al., 2014; You et al., 2009). Other animal models used rarely in the colonic 159 delivery studies including rabbits (Gadalla et al., 2016), dogs (Ji et al., 2007), and pigs 160 (Schoellhammer et al., 2015), were not used in our study as the use of these animals faces multiple 161 problems, such as different dietary requirements as compared to a human, difficulty in the animal 162 care, and some ethical issues (Jiminez et al., 2015). 163

164 **2. Materials and methods**

2.1. Materials

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5-ASA was obtained from Arya pharmaceutical co. (Tehran, Iran). Lactose, Polyvinylpyrrolidone 166 (PVP K30), and Microcrystalline cellulose (Avicel PH-101) were purchased from Darupakhsh 167 (Tehran, Iran). Inulin (catalog # I2255) was purchased from Sigma Aldrich (USA). Eudragit S, 168 Eudragit L, and Eudragit RS were procured from Evonik nutrition and care GmbH (Germany). 169 Potassium dihydrogen phosphate, Sodium hydroxide, Isopropanol, Triethyl citrate, Butyl alcohol, 170 Acetonitrile, Methanol, Formalin, Trichloroacetic acid, Phosphoric acid, Ellman's reagent and 171 Thiobarbituric acid were obtained from Merck (Germany). These chemical compounds were of 172 analytical grade. Pentasa (5-ASA controlled-release capsules, 250 mg) were obtained from Shire 173 pharmaceutical company. 174

2.2. Preparation of pellets

Two combinations of the excipients were used along with 5-ASA to prepare pellets. The first 5ASA pellet formulation components are composed of 5-ASA (60% w/w), microcrystalline cellulose
(25%), lactose (13%), and polyvinylpyrrolidone (2%). The second formulation contained 5-ASA
(60%), microcrystalline cellulose (15%), lactose (5%), and inulin (20%). These two pellet
formulations were prepared by an extrusion spheronization method as described previously (Shahdadi
Sardou et al., 2021).

2.2.1. Design of experiments

A 3^2 full factorial design was applied to achieve the optimized coating composition for delivery of 5-ASA to the colon for inulin-free pellets. The independent variables were the ratio of S to L (X₁ 16:64, 32:48 and 48:32) using the constant amount of RS (20%) in the coating composition and the coating level (X₂ 5, 10, and 15% w/w). The target points for responses were the drug release of 50-70% within 10 h at pH 6.8 (Y₁), provided that the drug release was <10% within 2 h at this pH (Y2) and the lag time (the time before 2% drug release) <1 h at pH 7.2 (Y₃).

189 **2.2.2. Coating process of pellets**

The coating was carried out in a fluidized bed apparatus (Wurster insert, Werner Glatt, Germany). An appropriate amount of S, L, and RS was dissolved in isopropanol/distilled water (9:1) under agitation (Table 1). Triethyl citrate (10%, w/w based on polymer weight) and talc (1%, w/v) were added to the solution as a plasticizer and anti-adhering agent respectively. The coating solution was applied onto 50 g 5-ASA pellets under the following conditions: inlet air temperature 34-38 °C, outlet air temperature 27-31 °C, atomization pressure 2 bar and spray rate of 0.5 g/min. Samples of coated pellets were collected when the coating level reached 5, 10 and 15% (w/w).

2.2.3. Dissolution media preparation

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Media simulated different parts of the GIT environment were used in dissolution studies. All 198 media were prepared according to the USP XXVI. These were gastric simulated medium with HCl 199 0.1 N at pH 1.2, small intestine-simulated media with phosphate buffers at pH 6.5, 6.8, and 7.2. 200 Colon-simulated medium (phosphate buffer pH 6.8) supplemented with 4% rat cecal content was also 201 used for testing the inulin-bearing 5-ASA pellets. The rat cecal content was added freshly to the 202 phosphate buffer before conducting the dissolution test. For this purpose, the rats were anesthetized 203 and sacrificed with CO₂ asphyxiation and their abdomens were opened with surgical scissors. The 204 cecum content was collected and transferred to the phosphate buffer pH 6.8 to prepare the simulated 205 colonic fluid (SCF) containing 4% w/v rat cecal content (Kotla et al., 2016). This medium was under 206 continuous aeration with CO2 gas throughout the experiment. The animal experiment was carried out 207 in accordance with the guidelines of the National Institute of Health, and the ethics committee of 208 Mashhad University of Medical Sciences, Mashhad. Iran (IR. MUMS. REC. 1396.195). 209

2.2.4. Dissolution studies of 5-ASA pellets

The release of 5-ASA was evaluated using the USP apparatus I (Pharma test, PTWS, Germany) in 900 mL dissolution media. Dissolution test was performed for pellets containing 300 mg 5-ASA. The speed of baskets was 100 rpm and samples were withdrawn at several time intervals by a peristaltic pump (Alitea, Sweden) and analyzed by spectrophotometer (Shimadzu, UV/1204, Tokyo, Japan). The amount of 5-ASA was measured at 302 nm for 2 h and 330 nm for 10 h, in the gastric-simulated medium or other media respectively.

The experiment was repeated for the pellets with optimum drug release profile using the 217 continuous mode of the dissolution test at 37 °C. The 5-ASA delivery was followed successively at 218 the simulated GIT media according to the transit time of the pellets from different regions of the GIT 219 (i.e. stomach, duodenum, jejunum, ileum, and colon). For dissolution study in continuous mode, the 220 baskets containing the pellets were transferred immediately from one dissolution medium to another 221 at the end of the incubation period set for each medium. The dissolution test began with the acidic 222 media containing HCI 0.1 N resembling the gastric medium for 2 h and followed by phosphate buffer 223 (pH 6.5, for 1 h), phosphate buffer (pH 6.8, for 2 h), phosphate buffer (pH 7.2, for 1 h), which were 224 similar to the three parts (duodenum, jejunum, and ileum) of the small intestine media and the 225 residence time of the pellets. The last incubation was done in the phosphate buffer pH 6.8 226 with/without rat cecal content for 10 h that simulates the colon medium. The sampling of dissolution 227 medium was performed manually and the samples were analyzed by UV spectrophotometry at 228 relevant wavelengths except for the media supplemented by cecum content. In the media 229 supplemented with cecum content, the 5-ASA concentration was determined by high-performance 230 liquid chromatography (HPLC, Knauer) equipped with a Teknokroma column (BRISA LC2, C18 250 231 232 mm×4.6 mm, 5 μ m). At several intervals, 1 mL of the medium was taken and centrifuged at 15000 rpm at 4 °C for 30 min, from which the supernatant was withdrawn and mixed with acetonitrile at a 233 ratio of 1:3. Subsequently, this mixture was pumped through a 0.23 µm pore size filter before the 234

injection to the HPLC column. The column was run under the mobile phase of methanol-deionized
water (85:15), with a flow rate of 1.3 mL/min, and the amount of 5-ASA in the filtrate was measured
at 211 nm (Kotla et al., 2016; Liu et al., 2012). All experiments were conducted with three samples in
each simulated GIT media.

239 **2.2.5. Morphology of pellets**

The morphology of the uncoated inulin-free or inulin-bearing pellets was observed under a stereomicroscope (Olympus, DP25, Okura, Japan) at magnification $8\times$ equipped with a digital camera (Sony, Japan). The images were analyzed using an image analysis software (Image.J 1.45f) in terms of the longest and shortest Feret's diameters (d_{max} and d_{min}) of the pellets and the area (A) thereof. The aspect ratio and sphericity of the pellets were calculated as follows:

245 Aspect ratio = d_{max}/d_{min} (eq. 1) 246 Sphericity = $4\pi A/P_m^2$ (eq. 2)

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Moreover, the surface and cross-sectional characteristics of the pellets were observed under a MIRA3
scanning electron microscope (TESCAN, Czech Republic) before and after the dissolution test. To
view cross-sectional, a few pellets were sliced in half with a surgical blade and then covered with
gold.

2.3. Animal model of colitis and treatment groups

The Wistar rats (male, weighing 240–260 g) had been deprived of food, but not of water for 24 h 252 before they were given 2 mL acetic acid (2% v/v in saline solution) enema using a polyethylene tube. 253 The tube was inserted up to 8 cm into the animal's rectum to inject the acetic acid and then quickly 254 (after 30 sec) was removed. The rats were devided into seven groups with four animals in each group. 255 The control group only received normal saline. Subsequently, the rats were fed with a regular diet for 256 the three following days before the start of the treatment study. The colitis-induced rats were 257 randomly divided into different groups. These were the treatment groups that received the 5-ASA 258 uncoated pellets, the optimized coated pellets with/without inulin in the matrix or Pentasa pellets 259 along with 1% w/v Na-CMC as the vehicle. In the control group, the rats received the vehicle only. 260 The treatment groups received equivalent pellets containing 120 mg/kg/day of the 5-ASA through 261 oral gavage for ten consecutive days. Rats were assessed for body weight during the study period. 262 Finally, on the 11th-day post-treatment, animals were sacrificed and their colon tissues were collected 263 (Mura et al., 2011; Xu et al., 2014). 264

2.3.1. Colitis activity index

The colitis activity index (CAI) which is resulted from averaged scores for weight loss, stool 266 consistency, and rectal bleeding (Lamprecht et al., 2005; Pertuit et al., 2007; Wang et al., 2016) was 267 used to assess the colitis treatment/severity. The score for CAI is in the range of 0 to 4 corresponds to 268 healthy to the maximal activity of colitis respectively. The CAI was 0 for animals with no weight 269 loss, and was from 1 to 4 based on the percent of weight loss (1 for 1-5%, 2 for 5-10%, 3 for 10-20%, 270 and 4 > 20%). Similarly, the CAI was considered 0 for healthy defecation and normal consistency of 271 feces, whilst changes in stool consistency to diarrheas correspond to higher scores for CAI. The CAI 272 score was 2 when a loose and semi formed stool with no sign of stickiness to the animals anus was 273 observed, and the score was 4 for the very loose stool, sticking to the anus. In addition, no sign of 274 rectal bleeding corresponds to CAI score of 0, while the CAI was 2 for a trace of bleeding, and 4 for 275 marked rectal bleeding. The mean of these scores was regarded as the final CAI score. 276

277 2.3.2. Colon/body weight ratio

The animals were weighed before being sacrificed by CO_2 asphyxiation. Then, their abdomen was opened and the colon was removed and cut across its length. The cecum was removed and the colon was washed and cleaned with an excessive amount of saline solution. The colon tissue, with mucosal surface upward, was placed on a glass plate and was dabbed gently by a paper towel to remove the excess water. Afterward, the colon was weighed precisely and the weight ratio of the colon to the rat body was used as a criterion for the level of colonic tissue edema (Mura et al., 2011).

2.3.3. Length/weight ratio of colon

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The part of colon from colo cecal junction to the anal verge was weighed after cecum content had been removed. Also, the length of the colon was determined and the length/weight ratio was calculated as cm/g (Pertuit et al., 2007).

2.3.4. Macroscopic evaluation of colitis severity

Study of histopathological features of the colonic tissue was performed in order to evaluate the 289 severity of colitis. Approximately from 1 cm above the anus, the distal colon for length of 10 cm was 290 opened longitudinally and immersed in formalin solution after being washed with saline solution. 291 Subsequently the colonic tissue was molded in paraffin and sliced with a microtome. The slices were 292 stained with hematoxylin and eosin and observed by an optical microscope equipped with a digital 293 camera and the histopathological properties were examined and scored in terms of pathological 294 severity. When no or mild inflammatory conditions were observed, the severity of colitis was scored 295 0. When there was a trace region of acute focal inflammatory and colonic crypt abscess, the severity 296 of colitis was scored 1. When the inflammation inflicted the majority of the colon tissue and there 297 was a sign of smooth muscle thickening, the severity of colitis was scored 2. If the inflammation had 298 led to the formation of ulcerated areas and inflammatory cell infiltration in the tissue sections, the 299 severity of colitis was scored 3. Finally, the utmost tissue damage shown as necrosis and gangrene 300 was given the highest score of colitis severity (i.e. 4) (Baldeep Singh Pabla, 2020; Shahdadi Sardou et 301 al., 2021). 302

2.3.5.Malondialdehyde and glutathione content of the colon tissue

According to previous study, the amount of malondialdehyde (MDA) and glutathione (GSH) were measured in the colon tissue using a spectrophotometric method (Shahdadi Sardou et al., 2021).

2.4. Statistical analysis

Statistical analysis was performed using GraphPad Prism (version 7, San Diego, CA). One-way
 and two-way (ANOVA) analyses of variance as well as Tukey–Kramer multiple comparisons were
 carried out at the significance level of 5%.

3. Results and discussions

3.1. Dissolution study of pellets

To find out the best coating composition and level for delivery of 5-ASA to colon, inulin-free pellets were coated at varied compositions and levels according to Table. 1 suggested by factorial design. The release of 5-ASA from coated pellets was examined at the same pHs as those of the different parts of GIT through which these pellets were supposed to pass (Fig.1 A-D)

There was a small drug release from the coated pellets (below 20%) at the acidic pH of 1.2. The drug release was significantly higher from pellets with a low level of coating than others, especially from those with the lowest level (5%) of coating (Fig. 1A). When the pellets were covered with a sufficient layer of coating material (15%), there was no difference in drug release from the coated pellets having varying S/L compositions. However, at the low coating level of 5%, the amount of

drug release became more and more noticeable and higher when the proportion of L in the coatingcomposition increased.

At pH 6.5, the rate of the drug release was increased with an increase in the ratio of the L to S. It 323 seems that L is dissolved at pH 6.5, so 5-ASA is released more from the pellets coated with higher 324 ratio of L to S (Fig. 1B). The level of coating also had considerable influence over the amount of drug 325 release at this pH. With increase in the level of coating from 5% to 15% the rate of the drug release 326 decreased significantly. At pH 6.5 the pellets with a 15% coating level showed a lower release rate 327 than the pellets with a 10 or 5% level of coating, so that they showed a release of less than 20% in 10 328 hours. In comparison, pellets with the coating level of 5% with the highest and lowest level of L 329 released 75% and 35% of the drug in 10 h respectively (Fig. 1B). 330

With increase in pH from 6.5 to 6.8 (Fig. 1C), the rate of drug release increased substantially. 331 This denotes the sensitivity of the coating formulations to the change of pH in a way that the pellets 332 coated with a higher level of L displayed a higher rate of drug release. In comparison, the coating 333 formulation with the higher level of S prevented the fast release of the drug. For instance, 334 S48+L32+RS20 with a 15% level of coating released about 15% of drug content, while 335 S16+L64+RS20 with the same coating level released more than 50% of drug content. It is interesting 336 to note that all formulations showed a slow release profile of the drug. These results indicate that the 337 coating formulation is both time and pH-dependent. There was also a significant difference between 338 the pellets with 5, 10, 15% levels of coating. With the increase in the level of coating from 5% to 339 15%, the amount of drug release decreased almost by 30% in all formulations. 340

A similar trend was also observed in the rate of drug release from different formulations at pH 7.2 (Fig. 1D). Overall, the lag time of drug release decreased and the rate of drug release increased for all formulations at this pH compared to pH 6.8. It is interesting to note that S48+L32+RS20 with the level of coating 15% released all 5-ASA payload within 8 hours at pH 7.2, while the same formulation released only 20% of the drug content within 10 h at pH 6.8.

Such changes of pH from 6.5 to 6.8 and then 7.2 caused the pellets coated with varying
proportions of S or L to behave differently in terms of drug release at different media. For example,
the pellets with 48% of S retained almost more than 80% of drug content at pH 6.5 till the end of
dissolution time, but they released all their drug content at pH 7.2. With pH increasing to 7.2, all
formulations having the lag time of less than 1 h, lost all their drug content within 8 h.

It is worth mentioning that the pH-dependent or time-dependent drug delivery systems has been 351 applied as a layer-by-layer coating over the 5-ASA pellets in some studies (Gupta et al., 2001; Xu et 352 al., 2014). In these studies, the 5-ASA pellets were first coated with a time-dependent layer (e.g. 353 ethylcellulose, RS or RL) and then, coated with an external pH-dependent layer (e.g. S or FS). Upon 354 contact with medium having the pH of dissolution of the coating, the external layer of the coating was 355 rapidly dissolved and the internal coating layer controlled the release rate thereafter. The layer-by-356 layer coating suffers from some drawbacks such as the need for two-phase coating processes and also 357 the probability of the partial delivery of the drug, as the rate of drug release from time-dependent 358 layer is proportional to the thickness of the coating. In this study, the pellets were coated with a 359 combination of time- and pH-dependent polymers all at once; therefore, the coating provided a 360 sustained drug release at specific pH which corresponds to the dissolution of pH-dependent part in 361 coating and hence, the probability of the drug not to be released became very low. 362

363 3.2. Determination of optimum coating composition

The selection of the optimum coating composition and coating level was figured out through running the experiments based on factorial design (Fig.1 and Table 1). For this purpose, the empirical data were analyzed for the best-fitted equations that could estimate the definite mathematical relationships between the independent variables (Xs) and dependent variables (Ys). The equations were as follows:

369	$Y_1 = +133.45977 - 1.70575 X_1 - 2.12299 X_2 - 0.012500 X_1 X_2 + 0.008405 X_1^2$ (eq. 3)
370	$Y_2 = +99.54023 - 1.18592 X_1 - 8.71034 X_2 - 0.072500 X_1 X_2 + 0.185517 X_2^2 (eq. 4)$
371	$Y_3 = +7.98851 - 0.037356 X_1 - 1.64943 X_2 + 0.012500 X_1 X_2 + 0.124138 X_2^2 (eq. 5)$

372 X_1 and X_2 were the ratio of S to L and the level of coating, respectively. For Y_1 5-ASA release of 373 50-70% at pH 6.8 in 10 h, for Y_2 , a drug release of <10% at pH 6.8 in 2 h and for Y_3 , the lag time <1 374 h at pH 7.2 were considered as target points.

Table 1 lists the experimental runs and the related empirical/predicted responses. In general, all 375 three models fitted perfectly to the empirical data. There was no or little variation between the 376 empirical and the predicted data for these three equations. Similarly, all three models showed a large 377 total sum of squares (TSS) as compared to the residual sum of squares (RSS) indicating an extremely 378 large signal-to-noise and enormously high predictability for the models. In accordance, there was an 379 extremely close to 1 coefficient of determinations (R^2) and a large enough F-ratios for these models, 380 indicating that these models can all explain the variances in the dependent (response) values by the 381 independent factors. 382

Fig. 1 shows the release profile of 5-ASA from coated pellets and the spectrum of the predicted 383 results for any combinations of independent variables. As can be seen in Fig. 1C and D, 384 S16+L64+RS20 coating composition with 15% coating level met the three target points for 5-ASA 385 release in different media. In other words, the mentioned coating composition offered a 60-70% drug 386 release in 10 h at pH 6.8 (Y1, Fig. 1C, a), while showed a minimum drug release (< 10%) within the 387 first 2 h of incubation at this medium (Y2, Fig. 1C, b), and started releasing the drug with a lag time 388 of less than 1 h (Y3, Fig. 1D, C) at pH 7.2. The data were useful as they contributed to equations 3, 4, 389 and 5 with the full range of probable outcomes for any imagined combinations of independent 390 variables, which are displayed as surface plots in Fig.1 E, F, and G. These figures were similar to 391 those predicted by equations 3, 4, and 5. 392

With the optimum amounts predicted for X1 and X2 variables, 5-ASA pellets (inulin-free and inulin bearing) were coated under such conditions and put into test.

3.3. Morphological characteristics

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The aspect ratio and sphericity of inulin-free 5-ASA pellets were 1.08 ± 0.09 and 0.88 ± 0.09 396 respectively while the corresponding values for inulin-bearing 5-ASA pellets were 1.12 ± 0.09 and 397 0.86 ± 0.06 . The aspect ratio of below 1.2 and sphericity of above 0.8 are considered as the spherical 398 features for the pellets (Chopra et al., 2002) and therefore these figures indicated that both types of 399 pellets were nearly spherical. Fig. 2 shows the superficial and cross-sectional image of the uncoated 400 and optimized coated pellets. The uncoated pellets showed spherical shape with roughly uneven 401 surfaces (Fig. 2A and D), while the surface of the optimal coated pellets looks smoother (Fig. 2B and 402 E). The coating thickness was uniform and approximately 25 µm (Fig. 2C and F) on both types of 403 pellets. 404

405 **3.4. Dissolution studies of 5-ASA pellets coated with optimized formulation**

Fig. 3A shows the release profile of 5-ASA from the uncoated pellets at pH 1.2 and 6.8. There 406 was a significant difference in the drug release curve between the inulin-free and inulin-bearing 5-407 ASA pellets at these pHs. The amount of drug release was significantly higher from inulin-free 408 pellets compared to inulin-bearing pellets. This could be due to polysaccharide gelation upon contact 409 with water that might delay diffusion and drug release from the matrix to some extent (Chourasia and 410 Jain, 2004; Mensink et al., 2015; Pachuau, L.aEmail Author, Mazumder, 2013). Overall both sets of 411 pellets released almost all of their drug cargo in a short period indicating that the pellet structural and 412 physical integrity itself was unable to restrict the drug release at these pHs. Moreover, the rate of drug 413 release was higher in the gastric-simulated medium than in phosphate buffer pH of 6.8 for both the 414 inulin-free and the inulin-bearing pellets. Such a difference in the rate of drug release can be 415 attributed to the higher solubility of 5-ASA in the acidic media than in media with neutral pH 416 (Karkossa and Klein, 2018). 417

Fig. 3B-E shows the dissolution profile of the optimized coated inulin-free pellets in the GIT
simulated media. The coated pellets (S16+L64+RS20) showed no drug release at 15% level of
coating in the medium with pH 1.2 (Fig. 3B). With the increase in the pH from 6.5 to 6.8, the amount
of drug release was enhanced. In the media with pH 6.8, about 60% of the drug was released within
10 h of incubation (with 10% drug release within 2 h, Fig. 3D).

At pH 7.2, the release rate increased even further, and the pellets released all their drug content 423 within 8 h of incubation (Fig. 3E). These pellets started releasing the drug gradually during this 424 period after a lag time of about 30 min. As a result, one can conclude that the rate of drug release 425 increases proportionally with the increase in pH of the media, denoting the pH-sensitivity of the 426 coating layer. This could be attributed to the presence of Eudragit S and L with pH-dependent 427 dissolution in the coating. On the other hand, at pH 6.5 and 7.2 which corresponds to the pH of 428 dissolution of the L and S respectively, the pellet showed a gradual release of 5-ASA, indicating 429 time-dependent characteristic for release of drug due to presence of RS in the coating. 430

431 Since the GIT could suffer inflammation from the ileum to the rectum in the UC, it could be
432 beneficial to provide a 5-ASA dosage form that could deliver the drug gradually to all the inflicted
433 areas (Kobayashi et al., 2020). This goal was achieved with the combination of the pH- and time434 dependent systems in our study.

Most oral dosage forms of 5-ASA available in the market are formulated based on either pH- or time-dependent systems (Gazzaniga et al., 2006; Tenjarla, 2015; Ye and van Langenberg, 2015). This is probably the reason for the imperfect delivery profile of 5-ASA in the GIT (Lee et al., 2020). To overcome this problem, a combination of S and L (pH-dependent polymer) with RS (a timedependent polymer) was used to coat the 5-ASA pellets, and it was revealed that this combination could address the undesired 5-ASA delivery profiles observed with marketed formulations.

The optimum coating composition was also applied onto inulin-bearing 5-ASA pellets and both 441 sets of pellets (with and without inulin) and also Pentasa were evaluated by the continuous dissolution 442 testing (Fig 3F). It was observed that both types of 5-ASA pellets coated under optimum coating 443 conditions were able to pass the continuous dissolution test (Fig. 3F). These pellets did not release the 444 drug when they passed through media with pH 1.2, 6.5, and 6.8 for 2, 1, and 2 h residence times, 445 respectively. They started to release the drug at pH 7.2. Both inulin-bearing and inulin-free pellets 446 447 released all their drug content within 15 h in the continuous dissolution test. In contrast, Pentasa released almost 55% of its drug content within the first 2 h, and the rest in the small intestine- and 448 colon-simulated media. Despite the differences observed for drug release from uncoated pellets (Fig 449

3A), the drug release profiles were similar at pH 1.2, 6.5, 6.8 and 7.2 for both types of coated pellets.
However, there is a difference between these two pellets in terms of drug release in SCF. In SCF, the
inulin-bearing pellets released the drug at a higher speed than the inulin-free pellets. Whereas the
same pellets showed slower release of drug in phosphate buffer pH 6.8 (with no rat cecal content).
This difference indicates that the bacterial enzymes in the SCF might degrade the inulin in the pellets'
matrix, resulting in an increased rate of drug release (Imran et al., 2013; Kaur et al., 2017; Kotla et al.,
2014; Ravi et al., 2008).

Fig. 4 shows the SEM of the inulin-free and inulin-bearing coated pellets after dissolution test. As 457 can be seen in Fig. 4, the coated inulin-free pellets did not change markedly after dissolution test 458 however some signs of shrinkages were observed in the surface of inulin-bearing pellets after 459 dissolution test in both phosphate buffer pH 6.8 and SCF. The swelling properties of inulin could 460 account for these observations. Besides, inulin-bearing pellets showed more wrinkles on their surface 461 and became smaller in SCF compared to phosphate buffer pH 6.8 which could be due to the 462 degradation of the inulin by the bacterial enzymes in the SCF (Mensink et al., 2015) and confirmed 463 the results of dissolution studies. It is worth mentioning that the inulin-bearing pellets released the 464 drug more slowly than inulin-free pellets in pH 6.8 buffer, whereas in the SCF with the same pH, they 465 released the drug at a faster rate. The difference of release rate in the media with and without rat cecal 466 content might highlight the proportion of the released drug due to the enzymatic activity of the 467 bacteria and the degradation of inulin thereof (Rivière et al., 2018). 468

Taken together, as both pellets exhibited desirable release behavior and morphological
characteristics, they were evaluated on rats with induced UC for their therapeutic efficacy in the final
stage.

472 **3.5. Preclinical efficacy of 5-ASA pellets**

Administration of coated inulin-free and inulin-bearing pellets improved the treatment of UC in 473 the animals (Fig. 5 and 6). The CAI is an averaged score based on the physical observations of the 474 disease (loss of weight, anal hemorrhage, and stool consistency) (Mura et al., 2011), which was given 475 by a blind investigator to the animal groupings. Following the injection of acetic acid into the colon, 476 the CAI increased up to 3 points within 2 days; however, the CAI decreased afterward following 477 treatment with 5-ASA pellets till day 15th. All the physical symptoms of the disease were relieved to 478 some extent with 5-ASA pellets (Fig. 5A). Compared to Pentasa, the optimized coated pellets 479 enhanced the therapeutic value (Fig. 5A). The weight ratio of colon-to-body and the length/weight 480 ratio of the colon were changed (Fig. 5B and C), and all other physical and histopathological 481 symptoms of the disease were alleviated. It was found that the coated pellets acted better than Pentasa 482 in reducing the CAL. Besides, the molecular markers indicating tissue injury were reduced and 483 optimized coated pellets were superior compared to Pentasa (Fig. 5E and F) in this regard. The level 484 of MDA that is a marker of fatty acid oxidation was reduced and the amount of GSH that 485 demonstrates the anti-oxidative potential of the cells increased upon administration of coated pellets. 486

Finally, the assessment of the histological sections of the colonic tissues showed also some signs of treatment compared to the control (Fig. 6). The tissues preserved their integrity to a large extent. By contrast, the histopathological evaluation of the colonic tissues showed large scar areas with the development of fibrous connective tissues into the inner muscular layer in the untreated or CMCvehicle treated groups. Neutrophils and crypt abscesses can also be seen in these sections. Pentasa and the coated pellets demonstrated somehow alleviation in these histopathological features. However, the uncoated pellets failed to relieve these inflammatory features. Some protrusions of fibrotic scars into the normal tissues were also observed in this group. The uncoated 5-ASA pellets also failed to improve the other disease's features in the animals' colonic tissues. The uncoated pellets only reduced CIA marginally till the end of the treatment period (Fig. 5A). These pellets were also the only treatment groups that improved the colon/body weight ratio (B), the length/weight ratio of the colon (C), MDA (D), and GSH (F) levels marginally, but not significantly. Therefore, it seems that coating of these pellets with proper polymeric layer can offer additional therapeutic values to the 5-ASA pellets.

In our study, we found that the pellets coated based on the combination of the pH- and the time-501 dependent system could have more therapeutic value as compared to the pellets with a coating based 502 on a single system. In almost all examinations of the UC in animals, our coated pellets acted 503 significantly better than Pentasa and improved the weight ratio of the colon/body (B), the 504 length/weight ratio of the colon (C), and the level of MDA (D), and GSH (F) further. Pentasa with 505 time-dependent release characteristics might give rise to a premature release profile and the release of 506 the majority of the drug before reaching the colon (Karrout et al., 2015). There was no significant 507 difference in terms of therapeutic benefits between 5-ASA pellets with or without inulin having the 508 same optimal coating. This might be related to the release of total drug content for both types of 509 pellets as evidenced by continuous dissolution testing. 510

4. Conclusion

In the present study, a coating formulation composed of S+L+RS was obtained through a factorial design for colon-targeted delivery of 5-ASA pellets. The optimal coating composition and level were S16+L64+RS20 and 15% w/w respectively. The optimized coating was applied to 5-ASA inulin-free and inulin-bearing pellets and it was found that both types of coated pellets were able to satisfy the requirements for dissolution tests. These pellets were found to be more efficacious than uncoated pellets and Pentasa, in the treatment of the animals suffering from induced UC. This shows that the proposed 5-ASA formulation is highly probable to hit the same therapeutic point in the clinical trial.

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- 521 The contribution of authors in the manuscript entitled "Optimization study of
- 522 combined enteric and time-dependent polymethacrylates as a coating for colon targeted
- 523 delivery of 5-ASA pellets in rats with ulcerative colitis " is as follow:
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543 Conflict of interest

544 The authors declare that they have no conflict of interest.

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Table 1. Variable factors, experimental and predicted responses as well as the analysis of variancesfor each response.

Run	Variable factors		Respo	onses (Exp. /Pred	l.)	Y ₁ stat.	Y ₂ stat.	Y ₃ stat 3
	X ₁	\mathbf{X}_2	Y ₁ (% of	Y ₂ (% of	Y ₃	TSS	TSS	TS364
	(S:L:RS)		release)	release)	(min)			765
1	16:64:20	5	90/91	45/44	5/4	4393.08	1398.77	419.23
2	16:64:20	10	80/79	21/22	5/6	RSS	RSS	RS\$ ₆₈
3	16:64:20	15	65/67	9/8	15/17	10.55	5.22	5.2 4 69
4	32:48:20	5	62/65	28/27	5/7	R ²	\mathbf{R}^2	R_{770}^2
5	32:48:20	10	52 /53	13/13	10/12	0.997	0.996	0.9881
6	32:48:20	15	38/40	8/7	20/21	<i>F</i> -value	F-value	F-va†ue
7	48:32:20	5	48/50	12/11	10/9	581.31	373.66	110.3723
8	48:32:20	10	30/32	6/5	15/15	P-value	<i>P</i> -value	P-valu d
9	48:32:20	15	17/18	5/4	25/26	0.0001	0.0001	0.00075
								776

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g.

- 778 X_2 percentage of the pellet's weight increase (coating level).
- 779 Y_1 percentage of drug release at pH 6.8 in 10 h (experimental/predicted).
- 780 Y₂ percentage of drug release at pH 6.8 in 2 h (experimental/predicted).
- 781 Y3 lag time at pH 7.2 (experimental/predicted).
- 782 RSS: residual sum of squares.
- **783** TSS: the total sum of squares.
- 784

Fig. 1. The drug release from the pellets coated under the experimental design condition at varied pH conditions (A-D). The area (a), (b), and (c) indicate the targeted areas for optimum drug delivery. The surface plot for the percent drug released in 10 h at pH 6.8 (E), percent drug released in 2 h at pH 6.8 (F), the lag time before drug release at pH 7.2 (G). Data are shown as mean \pm standard deviation (n = 3). * points out the statistical differences between the groups (p < 0.05). CL: coating level.

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Fig. 2. Scanning electron microscopy of the A) uncoated, B) coated pellets and C) the cross-sectional image of the optimum coated inulin-free pellets. D, E, and F show respectively the uncoated, coated pellets and the cross-sectional image of the optimum coated inulin-bearing 5-ASA pellets.

Fig. 3. The release profile of 5-ASA from the uncoated pellets and the optimized coated pellets are suggested by the experimental design. Release from the uncoated inulin-free or inulin-bearing pellets at two pHs (A), coated inulin-free pellets at different pHs (B-E), coated inulin-free or inulin-bearing pellets, and Pentasa in the continuous dissolution test (F). Data are shown as mean \pm standard deviation (n = 3).

Fig. 4. SEM of the optimized coated pellets after continuous dissolution test (t=15h). A) inulin-free pellets, B)

inulin-beraing pellets in phosphate buffer pH 6.8, C) inulin-bearing pellets in SCF.

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Fig. 5. Therapeutic properties of 5-ASA pellets and Pentasa in animal models of UC. Profile of colitis activity index (CAI) (A). Colon/body weight ratio (B), length/weight ratio of the colon (C), colon damage score (D), the level of MDA (E), and GSH (F). Data are shown as mean \pm standard deviation (n = 4). * indicates significant differences between marked groups (p < 0.05).

Fig. 6. Histopathological characteristics of the colon's tissues of the rats subjected to different treatments.

Rat with normal colonic tissues (A), untreated rat (B), rat treated with CMC vehicle (C), rat treated with
uncoated pellets (D), rat treated with optimally coated inulin-free pellets (E) rat treated with optimally coated
inulin-bearing pellets (F), and rat treated with Pentasa (G).

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