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Enteric coating of tablets containing an amorphous solid dispersion of an enteric polymer and a weakly basic drug: a strategy to enhance in vitro release

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Highlights

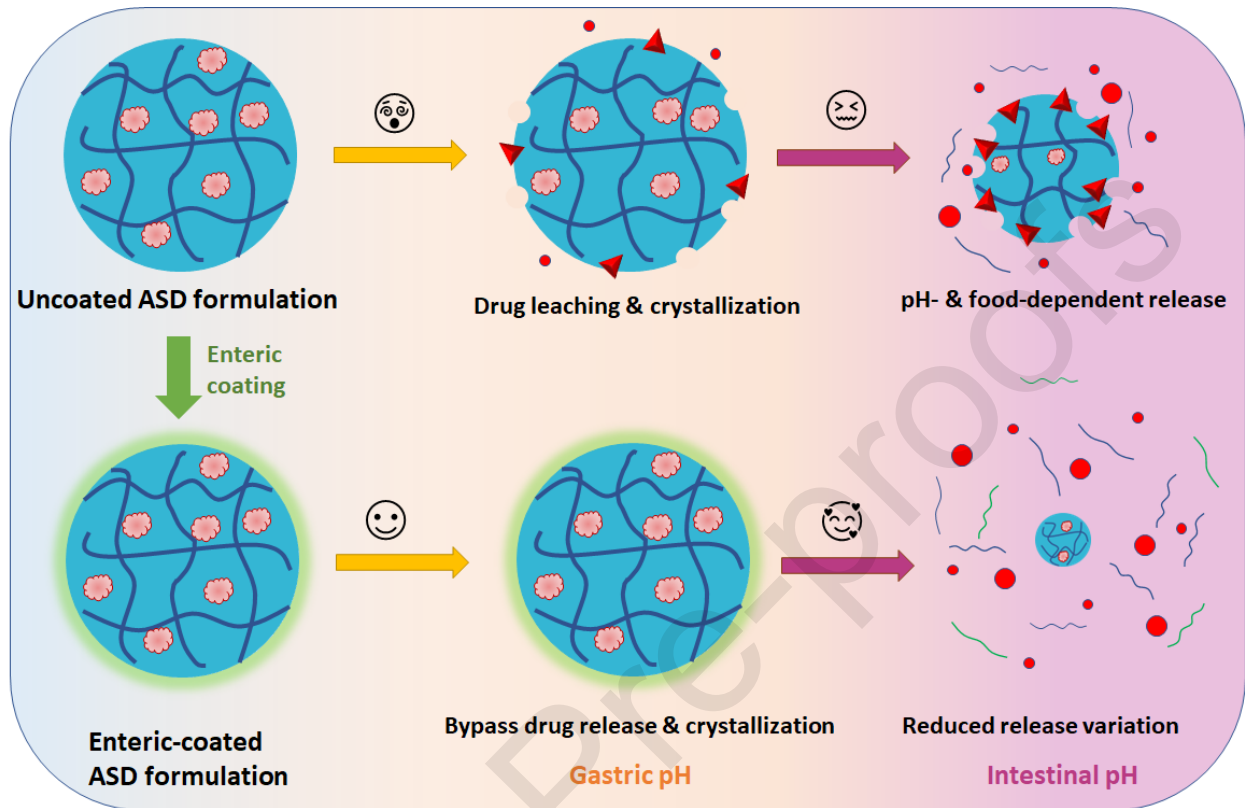
- Variation of release from delamanid ASDs with an enteric polymer in different physiologically relevant conditions was due to the high crystallization tendency of the drug
- Enteric coating protected against drug crystallization from ASDs in simulated gastric fluids
- Enteric coating reduced the impact of pH and food components on *in vitro* drug release from dosage forms containing an ASD of delamanid

Abstract

Recent work has highlighted that amorphous solid dispersions (ASDs) containing delamanid (DLM) and an enteric polymer, hypromellose phthalate (HPMCP), appear to be susceptible to crystallization during immersion in simulated gastric fluids. The goal of this study was to minimize contact of the ASD particles with the acidic media via application of an enteric coating to tablets containing the ASD intermediate, and improve the subsequent drug release at higher pH conditions. DLM ASDs were prepared with HPMCP and formulated into a tablet that was then coated with a methacrylic acid copolymer (Acryl EZE II®). Drug release was studied *in vitro* using a two-stage dissolution test where the pH of the gastric compartment was altered to reflect physiological variations. The medium was subsequently switched to simulated intestinal fluid. The gastric resistance time of the enteric coating was probed over the pH range of 1.6-5.0. The enteric coating was found to be effective at protecting the drug against crystallization in pH conditions where HPMCP was insoluble. Consequently, the variability in drug release following gastric immersion under pH conditions reflecting different prandial states was notably reduced when compared to the reference product. These findings support closer examination of the potential for drug crystallization from ASDs in the gastric environment where acid-insoluble polymers may be less effective as crystallization inhibitors. Further, addition of a protective enteric coating appears to provide a promising remediation strategy to prevent crystallization at low pH environments, and may mitigate variability associated with prandial state that arises due to pH changes.

Keywords: delamanid; enteric coating; amorphous solid dispersion; weakly basic drug; enteric polymer; crystallization; drug release

Graphic abstract



1. Introduction

Amorphous solid dispersion (ASD) of a poorly soluble drug and a suitable polymer is a widely used strategy to improve drug solubility, release rate and ultimately absorption, thereby enhancing bioavailability. Preventing drug crystallization during the release process is of critical importance to maintain supersaturation and maximize the driving force for absorption across a membrane. Many polymers, in particular cellulose derivatives, have been found to be effective crystallization inhibitors, delaying nucleation and suppressing crystal growth (Deng et al., 2019; Trasi et al., 2015; Ueda et al., 2014). However, in some cases, polymers found to be effective at inhibiting drug crystallization in the solid formulation during storage, were unable to prevent crystallization upon contact with aqueous media (Schittny et al., 2020; Trasi et al., 2015). In particular, formation of crystals at the surface of the ASD upon initial suspension in aqueous media may be problematic if the crystals are able to undergo additional rapid growth. This was the proposed mechanism for poor release from bicalutamide-copovidone ASDs, where surface crystallization was noted, leading to formation of a crystalline drug boundary layer on the ASD surface (Moseson et al., 2022c).

Weakly acidic polymers, such as poly(acrylic acid), hypromellose acetate succinate (HPMCAS) and hypromellose phthalate (HPMCP), have been frequently used in ASD formulations due to their ability to delay nucleation and inhibit crystal growth (Amponsah-Efah et al., 2020; Deng et al., 2019; Hiew et al., 2022a; Hiew et al., 2022b; Nguyen et al., 2023b; Schram et al., 2016; Van Duong et al., 2022a). HPMCAS was found to effectively prevent various drugs from assembling into crystalline domains due to limited mobility resulting from the higher glass transition of the ASD imparted by the presence of the polymer, drug-polymer interactions, as well as the polymer dilution effect (Bhugra and Pikal, 2008; Friesen et al., 2008). Anionic polymers have been reported as being effective at inhibiting drug crystallization both in the solid state and in solution for ASD formulations of several drugs (Ting et al., 2015; Trasi et al., 2015; Van Duong et al., 2022a; Xie and Taylor, 2016). However, recent studies suggest that consideration should be given to phase behavior in gastric pH conditions where many anionic polymers such as HPMCAS or HPMCP are insoluble (Monschke and Wagner, 2019; Nguyen et al., 2023b; Wang et al., 2021). A study by Monschke and Wagner (Monschke and Wagner, 2019) of niverapine ASDs showed more extensive drug leaching in the gastric compartment at pH 1 versus pH 4.5. In addition to the impact of pH, the extent of drug release in the gastric compartment may be influenced by drug-

polymer ratio, ASD particle size and enteric polymer characteristics (Monschke and Wagner, 2019; Nguyen et al., 2023b; Wang et al., 2021). Importantly, there appears to be a risk for drug crystallization in ASDs with enteric polymers when immersed in simulated gastric fluids, where the polymer is insoluble (Elkhabaz et al., 2019). For posaconazole, ASDs with HPMCAS suspended in fasted state simulated gastric fluid (FaSSGF) pH 1.6 were found to exhibit drug crystallization at high drug loadings (Elkhabaz et al., 2019). Similarly, surface crystallization was found to be maximized at pH 3.0 for ASDs of delamanid (DLM) with either HPMCP or HPMCAS, with a subsequent negative impact on drug release upon transfer to intestinal pH conditions (Nguyen et al., 2023b).

Historically, enteric coating has been applied to modify drug release of oral dosage forms, to protect the active pharmaceutical ingredient (API) from the gastric environment or *vice versa* (GAN et al., 1996; Nguyen et al., 2016; Pavloff et al., 2018; Riekes et al., 2017; Smeets et al., 2020; Tran et al., 2022). Polymers commonly used for enteric coating are cellulose acetate phthalate, HPMCP, HPMCAS, polymethacrylates (marketed as Eudragit[®]), and polyvinyl acetate phthalate (Siepmann et al., 2006; Thoma and Bechtold, 1999). The pH dissolution threshold of an enteric polymer varies with polymer chemistry, and depends on the number of carboxylic groups as well as other functional groups (Maderuelo et al., 2019). For example, methacrylic acid - ethyl acrylate copolymer (1:1) (also known as Eudragit[®] L 100-55, Evonik, Germany), can dissolve at a pH above 5.5 (Evonik, 2020), and is available commercially as a formulated powder readily dispersible in water (Acryl EZE[®] II, Colorcon, US) (Colorcon, 2014). An enteric coating strategy has been applied to ASD formulations in several instances. Riekes and co-workers used Eudragit[®] L100 for fixed-dose combinations of an ezetimibe and lovastatin ASD with Soluplus (5:5:90, w/w/w) to avoid the formation of the active metabolite of lovastatin in gastric environments (Riekes et al., 2017; Riekes et al., 2016). Enteric-coated formulations were also noted to prevent drug crystallization in acidic media for ASDs of niclosamide with copovidone at a 60% DL (Jara et al., 2022). In another study, enteric-coated darunavir-HPMC ASD nanoparticles were fabricated in a single step using electrospraying (Nguyen et al., 2016). However, to date, enteric coatings have not been investigated for ASDs prepared with an enteric polymer.

The aim of this study was to investigate the impact of an enteric coating on drug release from HPMCP-50 ASDs containing a rapidly crystallizing drug, delamanid, as both the free base form and a salt. Salt formation improved physical stability of ASDs but all formulations showed drug

release variations, depending on dissolution medium pH (Nguyen et al., 2023b). It was hypothesized that an enteric coating layer would protect the ASD against unfavorable pH conditions where the polymer is unable to inhibit drug crystallization. This in turn was expected to maintain the dissolution benefits at a higher pH environment where the polymer is both soluble and a better crystallization inhibitor, thereby reducing the drug release variability imparted by immersion in different gastric pH conditions reflective of varying prandial states.

2. Experimental section

2.1. Materials

Delamanid (DLM) was obtained from Gojira Fine Chemicals, LLC (Bedford Heights, OH) while Delytba[®] tablets were manufactured by Otsuka Pharmaceutical Co., Ltd., (Tokyo, Japan). Hydroxypropyl methylcellulose phthalate (HPMCP, P-50 grade) was from Shin-Etsu Chemical Co., Ltd. (Tokyo, Japan). 1,2-Ethanedisulfonic acid dihydrate was supplied by Tokyo Chemical Industry Co. Ltd. (Tokyo, Japan). Croscarmellose sodium (Ac-Di-Sol[®]) and microcrystalline cellulose PH 101 were sourced from FMC Biopolymer (Newark, DE). Sodium starch glycolate (SSG) was purchased from JRS Pharma (Posenber, Germany). Silica, colloidal anhydrous (Aerosil[®] 200) and Eudragit[®] L100-55 were provided by Evonik (Darmstadt, Germany). Magnesium stearate was procured from Spectrum (New Brunswick, NJ). Acryl-EZE[®] II was obtained from Colorcon (Harleysville, PA). Hydrochloric acid, dichloromethane (DCM), methanol (MeOH), acetone and phosphate salts, maleic acid, sodium hydroxide were supplied by Fisher-Scientific (Pittsburg, PA). Biorelevant simulated gastric and intestinal fluids, including FaSSIF/FaSSGF, FeSSIF-V2 and FEDGAS were purchased from Biorelevant (London, UK).

2.2. Methods

2.2.1. Preparation of amorphous solid dispersion

ASDs of DLM free base or DLM salt were prepared at a 25 wt. % drug loading with HPMCP (P-50 grade). DLM salt ASDs were prepared *in situ* by adding acidic counterion (ethanedisulfonic acid or hydrochloride acid) at 1:1 molar ratio to the drug. A mixture of dichloromethane (DCM) and methanol (MeOH) (1:1 v/v) was used for DLM free base and DLM chloride ASDs while MeOH was replaced with acetone for DLM edisylate ASDs to eliminate the esterification of the sulfonic acid with an alcohol.

ASDs of DLM free base, DLM chloride, and DLM edisylate were prepared by spray drying with a Buchi Mini Spray Dryer B-290 equipped with an Inert Loop B-295 (Buchi, New Castle, DE). The spray drying process used a feed rate of 4 mL/min, inlet temperature of 75°C, nitrogen stream flow rate of 700 L/h and aspiration of 35 m³/h. ASDs (particle size < 20 µm) were kept in a vacuum oven overnight to remove residual solvents.

2.2.2. Preparation of ASD tablets

The tablet compositions of DLM and DLM salt ASDs with HPMCP are summarized in **Table 1**. Tablets were compressed using a rotary tablet press, Piccola PLC B (Specialty Measurement Inc, Lebanon, NJ), using #0.4375 size die (Ø 11 mm). Tablets had a hardness of 14-15 kN (measured using a VK 200 Tablet Hardness Tester) and a friability ~0.4% (determined using a Vankel Friability Tester, model Friabilator 10800) (Varian Inc., Palo Alto, CA, USA) as performed according to the United States Pharmacopeia, General Chapter <1217> Tablet Breaking Force (Nguyen et al., 2023a; USP45-NF40, 2022b) and <1216> Tablet Friability (USP45-NF40, 2022a), respectively.

Table 1. Formulation of DLM ASD tablets

Core tablet composition	Amount (mg)
ASDs of DLM free base or DLM salt (25% DL)	Equiv. 50 mg DLM
Sodium starch glycolate	40
Croscarmellose sodium	40
Silica, colloidal anhydrous	6
Magnesium stearate	6
Microcrystalline cellulose PH 101	q.s. 500
Enteric coating layer	
Acryl EZE [®] II	75
Deionized water (removed after coating)	750

Tablets were coated with Acryl-EZE[®] II (composition noted in **Table S1**) using a Freund Vector coater (Marion, IA). The enteric coating suspension was made by adding 100 g Acryl-EZE[®] II to 1000 mL water which was stirred for 1 h before coating. The coating parameters included an inlet temperature of 70°C, bed temperature of 55°C, coater airflow of 60 CFM, pan rotation speed of 7 rpm, feeding rate of 10 mL/min, and batch size of 500 g (100 g ASD tablets

and 400 g placebo tablets of the same size and hardness). After coating, secondary drying was continued for 30 min, using an inlet temperature of 60°C, coater airflow of 60 CFM, pan rotation speed of 5 rpm. Tablets were cooled to room temperature before packaging. Delytba® tablets (composition presented in **Table S2**) were also coated using the same conditions at a batch size of 50 g Delytba® tablets and 450 g placebo tablets. The weight gain of the enteric coating layer was in the range of 14-16% for all formulations. Tablets with or without enteric coating were packaged and stored in 60 mL HDPE bottles with desiccant at ambient room temperature for further evaluation.

2.2.3. Surface crystallization characterization

Drug crystallization on the ASD surface upon immersion in simulated gastric fluids was detected by polarized light microscopy (PLM) and scanning electron microscopy (SEM). In order to further study surface crystallization, ASD films were prepared by adding 100 µL of an organic solvent solution (see above) of drug, counterion and polymer (10% w/v solid content) to a 22 x 22 mm cover slip. Samples were dried during spinning using a KW-4A spin-coater (Chemat Technology Inc., Northridge, CA) at a speed of 1000 rpm for the first 10s, followed by 3000 rpm for 45s under dry air conditions. Samples were kept in a vacuum oven overnight to remove residual solvents. To evaluate the influence of an enteric coating on drug crystallization, a second coating of Eudragit® L100-55 in MeOH (10% w/v) was applied. 100 µL of a methanolic solution of polymer was introduced and dried rapidly by spinning using the same parameters as for the ASD layer. Residual solvent was removed by storing samples overnight under vacuum. The thickness of each layer was measured by confocal microscopy using a Nikon A1 Confocal and Eclipse Ti2 Inverted Microscope equipped with an Apo 60× oil λS DIC N2 (numerical aperture 1.4) objective lens (Nikon, Tokyo, Japan). Alexa Fluor 488 (0.001% w/w) and Nile red (0.01% w/w) were added to stock solutions of ASD and Eudragit®, respectively. The uncoated and coated areas on the films were mapped by collecting fluorescent intensity at 488 and 561 nm laser lines for green and red fluorescence.

After immersion in acidic solution (phosphate buffer pH 3.0, composition as described in **Table 2**), the surface crystallization on ASD films with or without an enteric coating layer was examined using a Nikon Eclipse E600 polarizing microscope (20× objective) coupled with a Nikon DS-Ri2 camera (Melville, NY). For scanning electron microscopy (SEM), a cover slip coated with the ASD film was mounted on an aluminum stub and coated with platinum using a sputter coater

(Cressington Sputter Coater, Watford, UK). SEM images were obtained using a Nova nanoSEM field emission scanning electron microscope (FEI Company, Hillsboro, OR) equipped with an Everhart-Thornley detector at spot size of 3 nm, beam energy of 5 kV and working distance of approximately 5 mm.

2.2.4. Evaluation of gastric resistance of enteric-coated tablets

The gastric resistance of the applied enteric coating was assessed using enteric-coated tablets containing the DLM edisylate ASD. Tablets were incubated overnight in 500 mL of simulated gastric fluids of various pH values at 37°C under stirring at 150 rpm in a USP apparatus II, Hanson Dissolution System (Billerica, MA). Experiments were conducted in different gastric fluids, including HCl solution pH 1.6; phosphate buffer pH 3.0 and 5.0 (compositions in **Table 2**); acetate buffer pH 3.0; 4.5 and 6.0 (compositions in **Table 3**); and high fat simulated fed state gastric media (FEDGAS, pH 3.0; 4.5 and 6.0). The biorelevant medium was prepared by adding FEDGAS gel into acetate buffer solution and stirring for 2 h to obtain a milky solution. All biorelevant media were used within 48 h of preparation according to manufacturer's guidance (Biorelevant, 2022b).

Table 2. Composition of buffer solutions

Composition	Gastric fluid			Intestinal fluid	
	pH 1.6	pH 3.0	pH 5.0	pH 5.8	pH 6.5
Hydrochloric acid (mM)	25.1				
Ortho-phosphoric acid (mM)		5.5			
Monobasic sodium phosphate (mM)		32	133.8		28.4
Sodium hydroxide (mM)			3.1	82	8.7
Maleic acid (mM)				55	
pH (adjusted by HCl 0.1 N or NaOH 0.1 N)	1.6	3.0	5.0	5.8	6.5

Table 3. Components in homogenized fat dispersion in FEDGAS

Composition	pH 3.0	pH 4.5	pH 6.0
FEDGAS gel (g), including (Biorelevant, 2022a; Leigh et al., 2020):	170	170	170
<i>Total fat (g)</i>	63.8		
<i>Bile salts (g)</i>	0.34		
<i>Stabilizers (g)</i>	1.53		
<i>Total carbohydrates (g)</i>	67.8		
Sodium citrate dihydrate (g) (Leigh et al., 2020)	0.54	4.46	6.74
Sodium chloride (g) (Leigh et al., 2020)	0.77		
Citric acid (g) (Leigh et al., 2020)	4.45	3.55	0.94
Water (mL)	q.s. 1000 mL	q.s. 1000 mL	q.s. 1000 mL
Average particle size (nm) (Leigh et al., 2020)	160	160	160
Appearance	Milky white color	Milky white color	Milky white color
Buffer capacity (mM/L/ Δ pH) (Biorelevant, 2022b)	22	24	26
Surface tension (mN/m) (Leigh et al., 2020)	40.6	41.3	39.3
Osmolarity (mOsm/L) (Biorelevant, 2022b)	450	460	520

2.2.5. Drug release

Release testing was conducted using a USP apparatus II, Hanson Dissolution System (Billerica, MA) at 37°C, 150 rpm. The drug release in buffer solutions; FaSSIF V1 and FeSSIF V2 was monitored *in situ* using a Rainbow fiber optic ultraviolet spectrometer coupled with 10-mm pathlength fiber optic probes (Pion Rainbow, Billerica, MA). The area under the curve over the range of 330-350 nm of the second derivative UV absorbance spectra was used to calculate drug concentration based on a standard curve (drug concentration range of 1-100 μ g/mL) obtained in the same dissolution medium. The drug concentration in FEDGAS was measured by high

performance liquid chromatography (HPLC, Agilent Technologies, Santa Clara, CA). Samples were withdrawn at various time intervals, filtered via a 0.2 μm nylon membrane (Pall Corporation, Puerto Rico) and diluted in MeOH before injection of 20 μL solution into the HPLC system. Experiments were run with a C18 column (4.6 \times 250 mm, 5 μm), a mobile phase of acetonitrile-water (75-25 v/v) at a flow rate of 1.5 mL/min and UV detection at 320 nm. A calibration curve was built over the drug concentration range of 0.01-50 $\mu\text{g/mL}$.

Single stage dissolution was conducted in 500 mL intestinal fluids, including phosphate buffer pH 6.5; maleate buffer pH 5.8; FaSSIF V1 and FeSSIF V2. The impact of gastric pH on drug release was evaluated in pH-shift experiments with the first dissolution stage in the gastric compartment for 60 minutes followed by dissolution at an intestinal pH of 6.5 for an additional 30 minutes. For the reference formulation (Delytba[®], Otsuka, Japan) and uncoated tablets of DLM ASDs, the volume of gastric medium was 450 mL for HCl solution pH 1.6, 470 mL for phosphate buffer pH 3.0 and 480 mL for phosphate pH 5.0. After the first 60 min, the pH of the solution was adjusted to pH 6.5 by adding 50; 30 and 20 mL, respectively of 0.57 M phosphate buffer, pH 7.3. The final volume was 500 mL and for complete release, the target drug concentration was 100 $\mu\text{g/mL}$. The pH measured at the end of experiment fell within the range of 6.4-6.6. For enteric-coated tablets, the gastric medium was discarded after 60 min and the tablet was transferred to intestinal medium and dissolution testing was continued for an additional 60 min.

3. Results

3.1. Enteric coating to prevent drug crystallization

Drug crystallization in ASDs exposed to aqueous solution has been previously identified as a failure mechanism for drug release (Elkhabaz et al., 2019; Jara et al., 2021; Moseson et al., 2022c; Nguyen et al., 2023b; Schittny et al., 2020). Our previous study identified that DLM ASDs with HPMCP underwent crystallization after incubation at certain pH values, with a subsequent impact on release. A subsection of this previously published data, supplemented by additional release studies is summarized in **Figure 1** to provide reference release data for uncoated tablets. Briefly, all formulations showed good release for single-stage testing at higher pH values where HPMCP is soluble, but diminished release for two-stage dissolution tests.

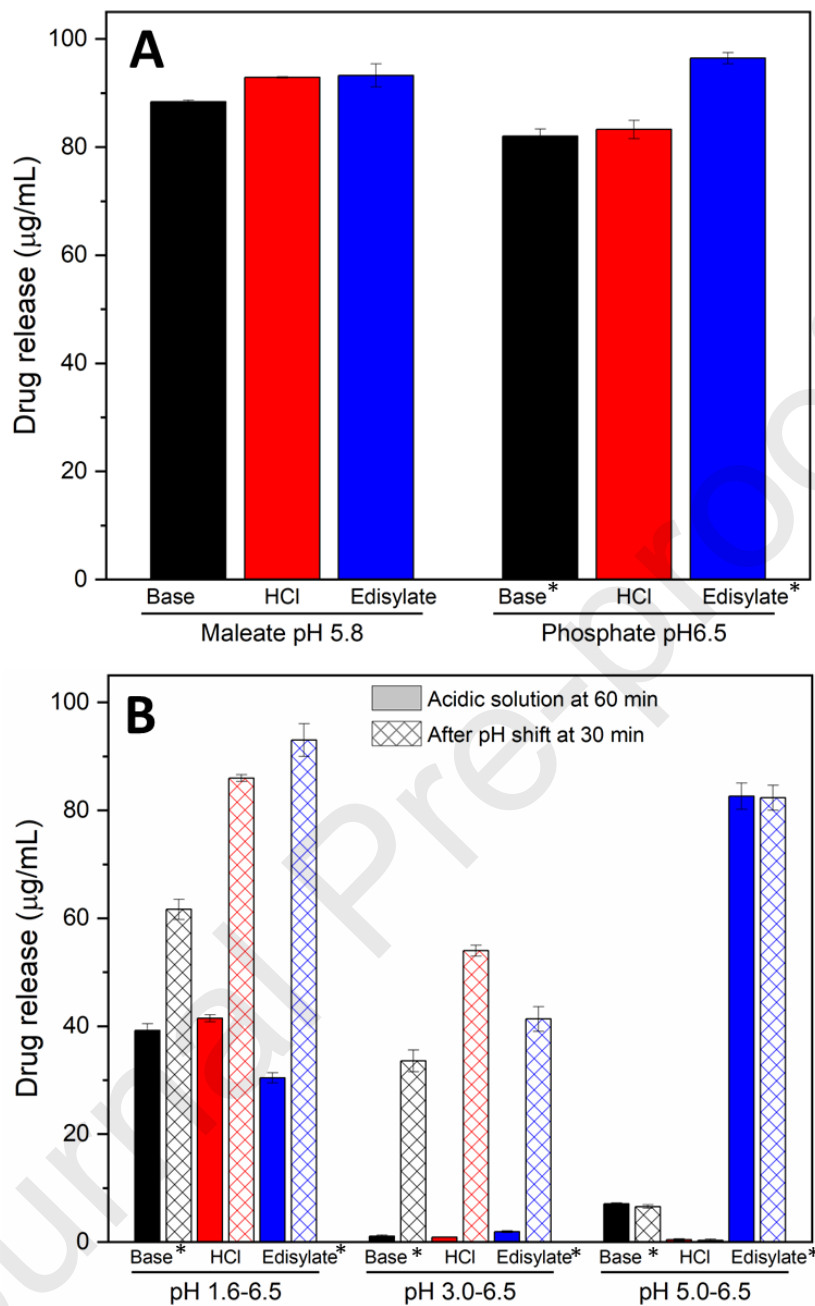


Figure 1. Drug release from tablets containing DLM free base ASD (black); DLM chloride salt ASD (red); and DLM edisylate salt ASD (blue) (25% DL ASDs) in (A) single stage at 60 min or (B) pH-shift dissolution indicating the drug concentration in the gastric medium at 60 min and in the higher pH medium after pH shift and testing for an additional 30 min. Target drug concentration for complete release was 100 µg/mL. (*Data taken from a previous study (Nguyen et al., 2023b)).

Consistent with the reduced drug release in pH-shift dissolution (**Figure 1B**), rapid crystallization was detected on ASD films immersed in phosphate buffer pH 3.0, as demonstrated

by PLM images in **Figure 2A** and SEM images in **Figure 3**. Notably, salt formation did not affect the crystallization tendency of DLM at this pH, where similar outcomes were noted for DLM free base (**Figure 2Ai**) and DLM salts (**Figure 2Aii, iii**), in agreement with a previous study (Nguyen et al., 2023b).

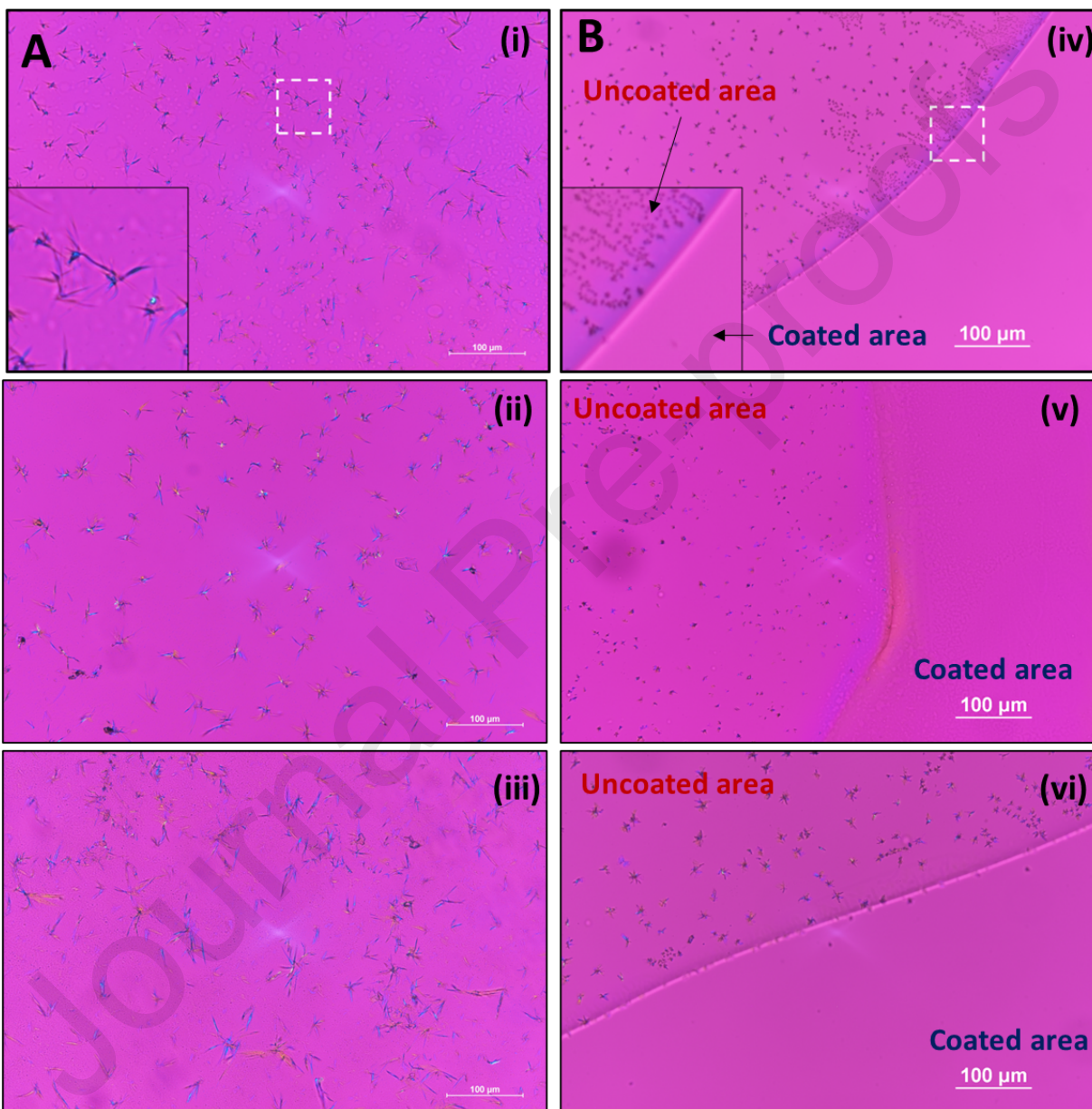


Figure 2: Drug crystallization observed in PLM images of ASD films, including (i; iv) DLM free base ASD; (ii; v) DLM chloride ASD and (iii; vi) DLM edisylate ASD, after immersion in phosphate buffer pH 3.0 for 1h. (A) Original ASD films. (B) ASD films with enteric coating covering part of the ASD film.

To evaluate the impact of enteric coating on drug crystallization, a layer of Eudragit® L100-55 was applied on top of the ASD films. The thickness of the ASD film and enteric coating layer (confirmed by confocal images, **Figure S1**) was around 8 and 2 μm , respectively. While crystallization was observed on uncoated areas, the addition of a thin enteric coating layer prevented the formation of crystals following immersion at pH 3.0 (**Figure 2B**). Indeed, no drug crystallization was observed even after overnight incubation in gastric solution (**Figure S2**).

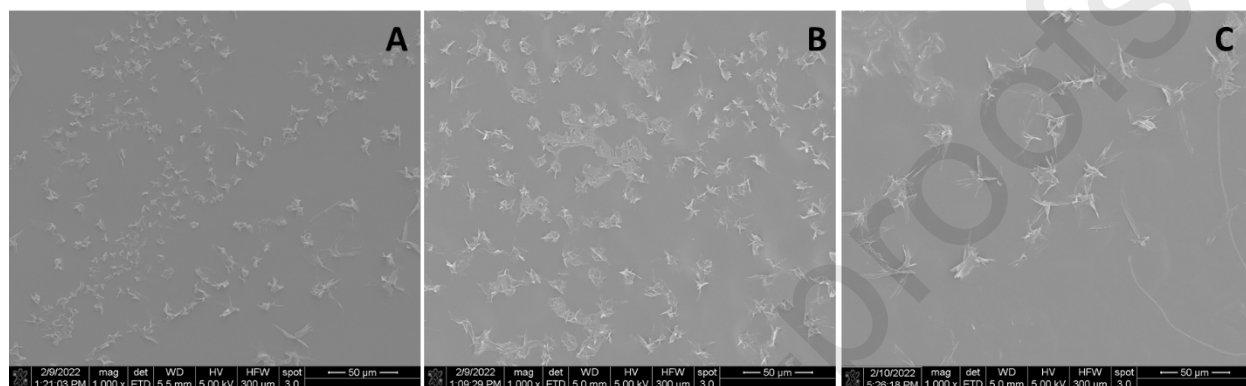


Figure 3: Crystallization observed by SEM on ASD films of (A) DLM free base; (B) DLM edisylate and (C) DLM chloride after immersion in phosphate pH 3.0 for 1h.

3.2. Gastric resistance of enteric coating

Gastric resistance of enteric coating was evaluated for coated tablets containing the DLM edisylate ASD. For the pH-shift experiments described above, release testing in the gastric compartment was generally evaluated for 30-60 min, reflecting the gastric emptying time in the fasted state (15-60 min) (Grimm et al., 2018; Mudie et al., 2014). However, in the presence of food, the gastric emptying time changes and is within the range of 2-5 hours, leading to a prolonged gastric residence time (Al-Gousous et al., 2017; Chen et al., 2008; Dressman et al., 1990; Kalantzi et al., 2006). In addition, food leads to variable gastric pH values (Pavloff et al., 2018). Thus, it is important to evaluate the impact of food, pH and a prolonged residence time on the integrity of an enteric coating.

At low pH conditions, the enteric coating prevented tablet disintegration during immersion in gastric buffer solution (acetate buffer pH 3.0) or high-fat simulated medium (FEDGAS 3.0) for more than 17 h (**Figure 4A-B**). Similarly, enteric-coated ASD tablets remained intact in HCl solution pH 1.6 or in phosphate buffer pH 3.0 for 15 h, where minimal release was observed (**Figure S3**). Enteric-coated tablets passed the general requirements for the tolerance test in gastric solution at higher pH (4.5-5) for 2 h (USP43-NF38, 2020) with less than 0.2% drug release (**Table**

S3). However, in acetate buffer pH 4.5 (**Figure 4A**), enteric-coated tablets remained intact for only around ~130 min; and in phosphate buffer pH 5.0 (**Figure S3**), failure was observed at about ~150 min. The loss of integrity of the enteric coating allows contact of the ASD with the aqueous medium, resulting in drug leaching ($< 5 \mu\text{g/mL}$, **Table S3**) and/or crystallization and notably reduced drug release upon transition to a higher pH medium (**Figure S3**). At pH 6.0, the enteric coating layer dissolved within 10-15 min in acetate buffer (**Figure 4A**); this represents the gastric pH 30 min post-prandial where the pH range is expected to be 6-6.5 (Andreas et al., 2015; Jantratid et al., 2008; Klein et al., 2004). This is consistent with expectations given that this pH is higher than dissolution pH threshold for the enteric coating material, Eudragit[®] L100-55 (Colorcon, 2014).

In the high-fat simulated gastric media (FEDGAS), there are high amounts of glycerides, carbohydrates, and bile salts (**Table 3**), impacting solution properties, including viscosity, osmolarity, surface tension as well as reducing water diffusivity (Radwan et al., 2014; Radwan et al., 2017). Thus, tablet disintegration was delayed in FEDGAS pH 6.0 to about 20-30 min, compared to less than 15 min in buffer solution (**Figure 4**). At a lower pH, specifically FEDGAS pH 4.5, the enteric coating layer retained intact for much longer, more than 17 h, compared to around 2 h in buffer solution at the same pH value (**Figure 4**).

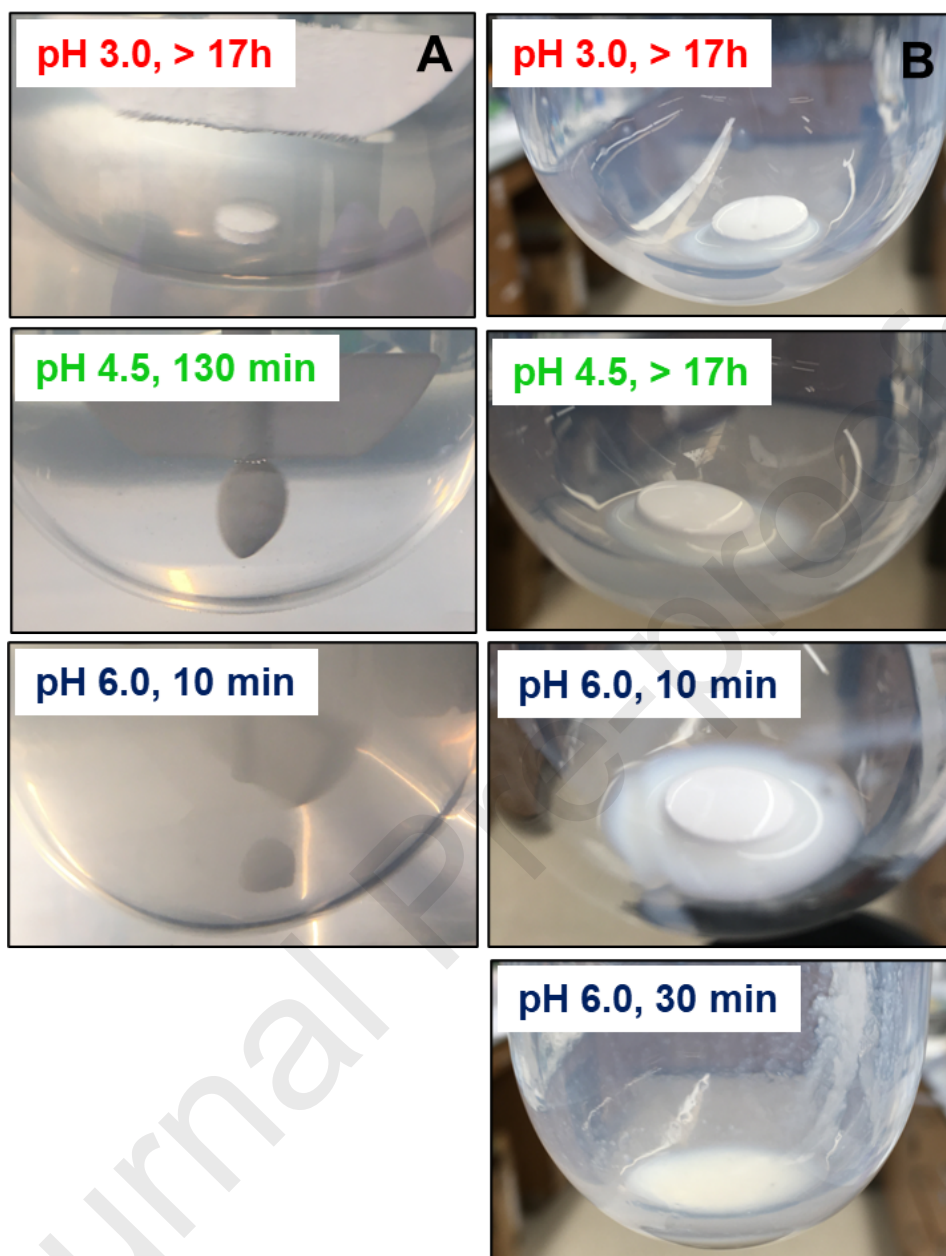


Figure 4: Impact of medium composition and pH on enteric-coated tablet integrity for tablets containing the DLM edisylate ASD (25% DL ASD; 15% enteric coating weight gain) in gastric fluids: (A) Acetate buffer solutions; (B) simulated high-fat gastric media (FEDGAS).

3.3. Drug release of enteric-coated ASD formulations in buffer solutions of varying pH

Release testing of enteric-coated tablets of ASDs in buffer solutions was conducted in single stage or pH-shift experiments (**Figure 5**). At higher pH condition (> 5.5), a lag time of 10-20 min was observed prior to tablet disintegration and commencement of drug release. A robust drug

release was observed for enteric-coated tablets and a supersaturated solution with a concentration of more than 80 $\mu\text{g/mL}$ was generated in single-stage dissolution in maleate buffer pH 5.8, acetate buffer pH 6.0 or phosphate buffer pH 6.5 (**Figure 5** and **Figure S4**). For two-stage dissolution, enteric coated tablets showed less than 0.5% drug release within 60-min gastric immersion for pH conditions of 5.0 or lower. Following transfer to the simulated intestinal medium (phosphate buffer pH 6.5), release was extensive. Further, variations in the extent of drug release with different simulated gastric pH conditions were much lower for enteric coated tablets, relative to the uncoated formulations (**Figure 1B**). Enteric coating provided positive release benefits for both salt ASDs, as well as the free base formulation, with final concentrations $> 80 \mu\text{g/mL}$ achieved. Enteric coating also minimized differences of drug release from the reference Delyba[®] tablets subjected to various pH conditions (**Figure S5**), although the overall extent of release was much lower than for the uncoated Delyba[®] tablets (**Figure S6**).

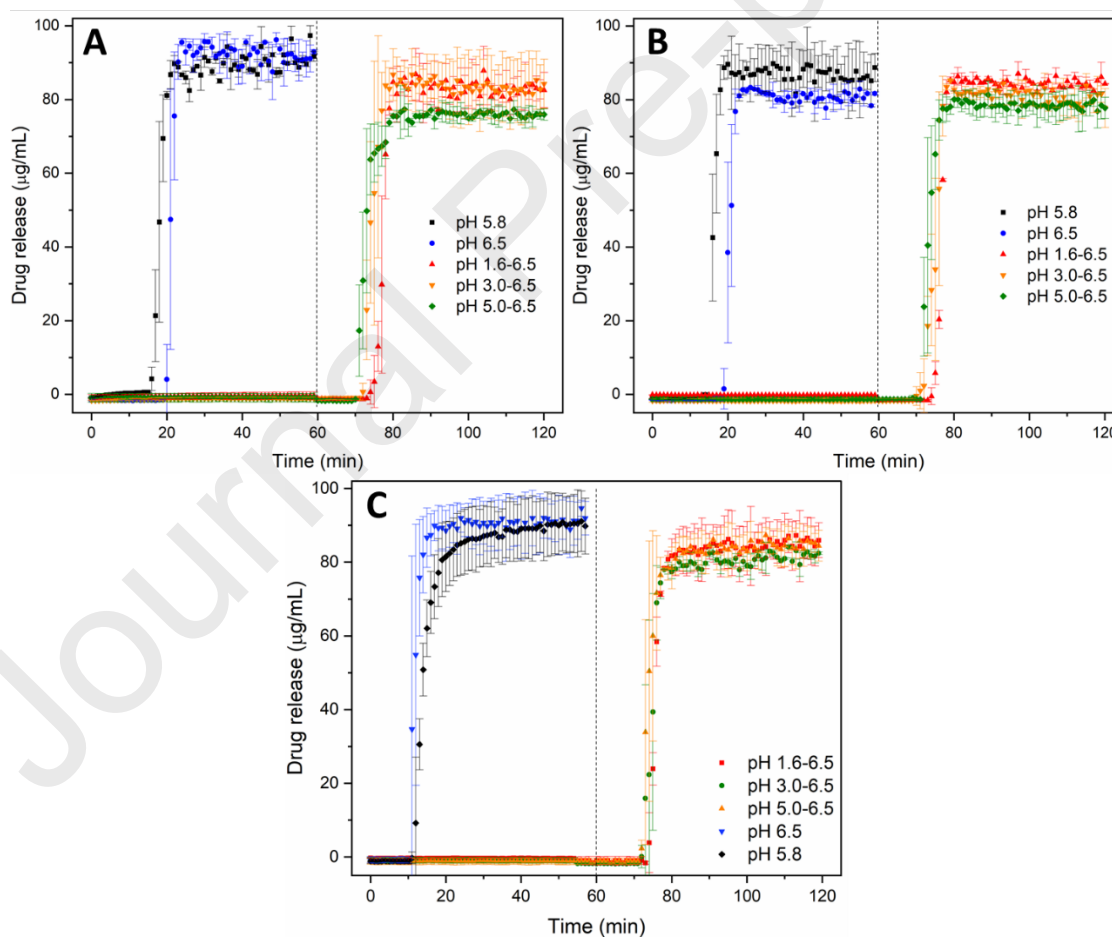


Figure 5: Dissolution profiles of enteric-coated tablets of (A) DLM free base ASD; (B) DLM chloride ASD; (C) DLM edisylate ASD (25%DL ASD) in buffer solutions in single- or two-stage dissolution (dashed line indicates pH shift from acidic to high pH medium).

3.4. Drug release in biorelevant media

Deltyba[®] has an improved bioavailability in the fed state (EMA, 2013). Thus, it is of interest to evaluate drug solubility and dissolution of DLM formulation in *in vitro* conditions that capture aspects of different prandial states. The presence of food components showed a remarkable impact on drug solubility (**Figure 6**). At pH > 5.5, DLM had very low solubility (< 0.02 $\mu\text{g/mL}$) in buffer (Nguyen et al., 2023b). With a greater lipid content in FeSSIF V2 (about 7.8 mM) compared to FaSSIF V1 (0.75 mM), the equilibrium solubility in simulated fed state media was 4.4-fold higher than for fasted state media (2.30 ± 0.31 versus 0.52 ± 0.12 $\mu\text{g/mL}$). Moreover, the solubility in high fat simulated gastric fluids was notably improved, up to 57.97 ± 0.64 and 13.10 ± 2.32 $\mu\text{g/mL}$ for FEDGAS pH 3.0 and pH 4.5, respectively.

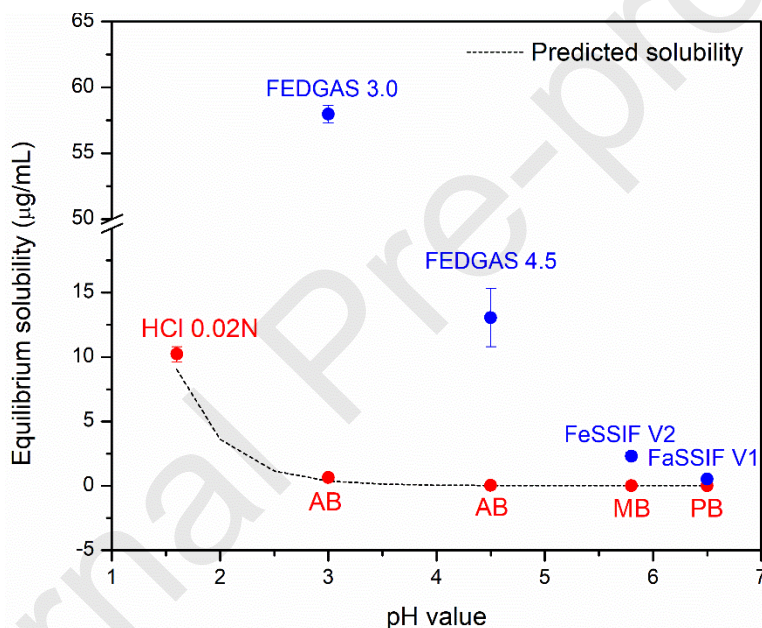


Figure 6: Food component/pH impact on DLM solubility, compared to acetate buffer, maleate buffer (MB) and phosphate buffer. The dashed line indicates the equilibrium solubility values predicted by the Henderson-Hasselbalch equation using reported pK_a of 4.3 (Shimokawa et al., 2015).

The pH- and lipid-dependent solubility contributes to higher drug release from Deltyba[®] tablets in biorelevant media (**Figure 7**). There was an initially rapid and then a more gradual drug release in FeSSIF where the drug concentration reached up to 80 $\mu\text{g/mL}$ after 120 min. This can be compared to a maximum concentration of 35 $\mu\text{g/mL}$ in FaSSIF. In fed stomach conditions, drug release (measured by HPLC) (**Figure 7**) was much higher than in the corresponding buffer solution of the same pH value (**Figure S7**). pH-shift experiments could not be conducted for FEDGAS

systems due to the large amounts of fat and carbohydrate. However, drug crystallization was observed following transfer of Delyba[®] to fed-state biorelevant media after initial incubation in a buffer solution representing fed state gastric compartment pH values (**Figure S7**).

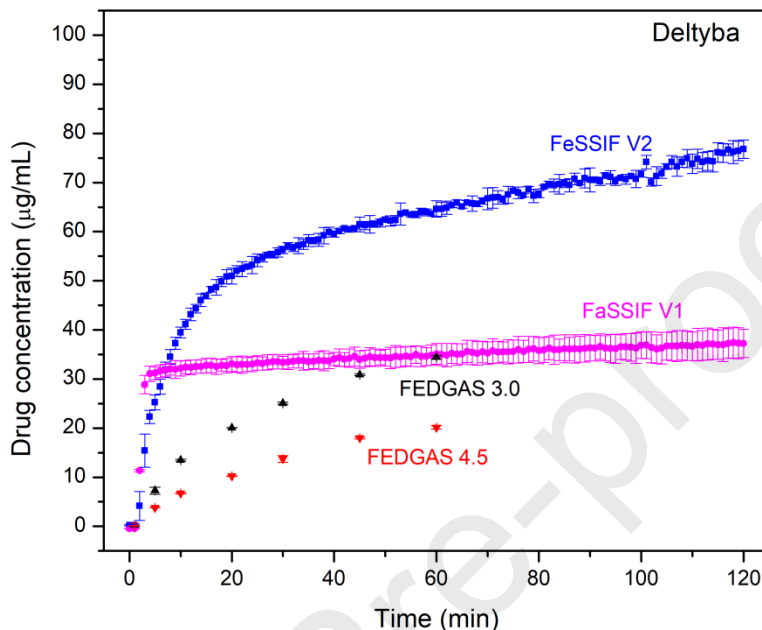


Figure 7: Drug release profiles of Delyba[®] tablets in biorelevant media. Drug concentration in FaSSIF V1 and FeSSIF V2 were monitored *in situ* while release in FEDGAS was measured by HPLC analysis.

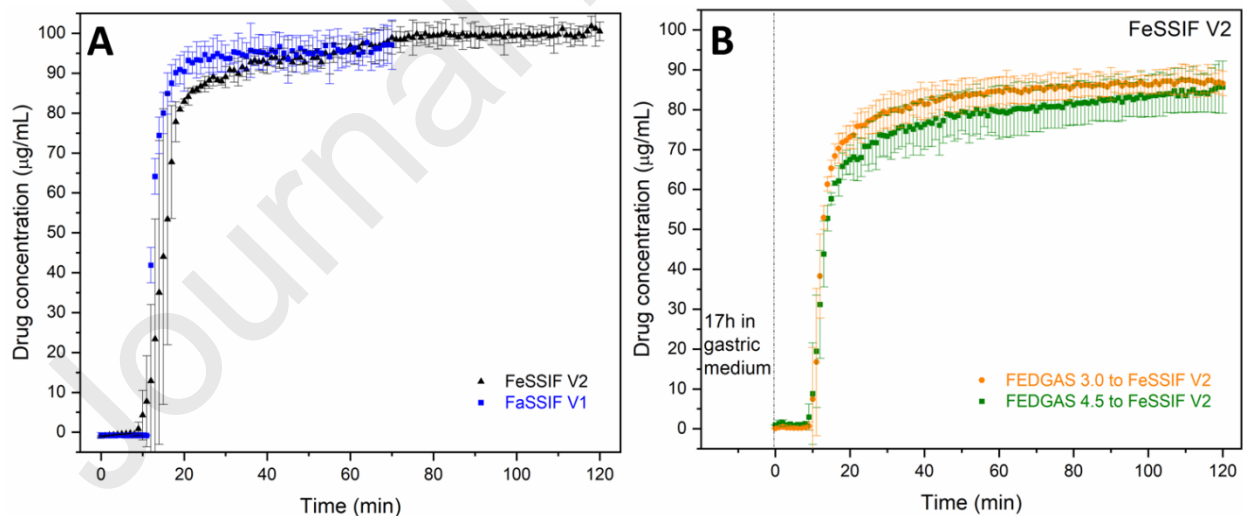


Figure 8: Drug release from enteric-coated tablets of DLM edisylate ASD in (A) simulated intestinal fluids: FaSSIF V1 (blue) and FeSSIF V2 (black); and (B) FeSSIF V2 after 17h incubation in high-fat simulated gastric fluids. Dashed line indicated pH shift.

Simulated fed-state media had only a minor impact on the release profiles of enteric-coated tablets. Similar release profiles were observed in simulated intestinal media (**Figure 8A**) as for buffer solutions of comparable pH (**Figure 5**). After a 10-20 min lag time, enteric-coated tablets exhibited near-complete drug release in both fasted and fed-state simulated intestinal media. Following overnight incubation in high-fat gastric fluids, pH 3.0 or 4.5, the extent of drug release following transfer to FeSSIF V2 reached approximately 90 $\mu\text{g/mL}$ (**Figure 8B**).

4. Discussion

An enteric coating strategy has been applied to ASD formulations in several prior studies (Riekes et al., 2017; Riekes et al., 2016; Smeets et al., 2020). Enteric coating was employed to achieve colonic delivery of a tacrolimus ASD formulated with HPMC, using a coating of Eudragit[®] L30D55 (Guo et al., 2019). In terms of using coatings to prevent drug crystallization of ASDs, both enteric and non-enteric coatings have been evaluated. ASDs of niclosamide with copovidone (60% DL) exhibited crystallization in a simulated gastric medium (pH 2.0) after immersion for 30 min which could be circumvented by formulating as enteric-coated tablets which delayed drug release until the higher pH environment of the intestine, where crystallization was less favorable due to ionization of the drug (Jara et al., 2022). Non-enteric coatings have also been used to reduce amorphous drug crystallization during storage of the solid formulation (Boel and Van den Mooter, 2023; Li et al., 2019; Zeng et al., 2019). Polymer nanocoating via electrostatic interactions between drug and polymer was applied to improve the stability of amorphous weakly basic drugs, including loratadine (Zeng et al., 2019); clofazimine (Gui et al., 2019; Gui et al., 2021); or a weakly acidic drug, indomethacin (Li et al., 2019). Drug crystallization during storage of high drug loading naproxen-copovidone ASDs was inhibited by applying an additional coating of ethyl cellulose (Boel and Van den Mooter, 2023). More recently, atomic layer coating has attracted attention as an effective surface crystallization inhibitor of high drug loading ASDs of fast crystallizers (Moseson et al., 2022a; Moseson et al., 2022b; Van Duong et al., 2022b). Coatings have been found to reduce surface molecular mobility, notably delaying drug crystallization in the solid state (Gui et al., 2019; Gui et al., 2021; Li et al., 2019; Moseson et al., 2022a; Moseson et al., 2022b; Zeng et al., 2019).

Despite the many examples described above, the application of an enteric coating to an ASD formulated with an enteric polymer, with the goal of preventing crystallization and/or drug release

at low pH conditions reflecting the gastric compartment, has not been explored to date. This may be because it is assumed that ASDs formulated with enteric polymers will be protected against crystallization and drug release in the gastric environment due to the insolubility of the enteric polymer. However, despite the low polymer acid solubility, several studies have shown that drugs, in particular weakly basic drugs, are able to partially release from ASDs formulated with enteric polymers under low pH conditions (Elkhabaz et al., 2019; Monschke et al., 2021; Nunes et al., 2022; Wang et al., 2021). Thus, even though the polymer is insoluble at low pH, because the drug is molecularly dispersed within the polymer, some drug release is still observed, whereby the extent of release increases with drug loading. This situation is different from when a tablet or particle has a continuous coating of an enteric polymer, where drug release in the gastric compartment is prevented. Indeed, for DLM, enteric coating of the ASD was able to prevent drug release ($< 0.5 \mu\text{g/mL}$) for pH conditions of 1.6; 3.0 or 5.0 (Figure 5), whereas uncoated tablets show a much higher extent of drug release (e.g., release from DLM ASD tablets of ~ 40 ; ~ 1 and $\sim 7 \mu\text{g/mL}$ at pH 1.6; 3.0 and 5.0, respectively) (Figure 1B), even though HPMCP is not soluble until pH 5.

In addition to the extent of drug release, another important factor is the effectiveness of the polymer as a crystallization inhibitor at lower pHs. Enteric polymers, in particularly, HPMCAS and HPMCP, have been found to be effective solution crystallization inhibitors at close to neutral pH conditions where the polymers are ionized and soluble (Amponsah-Efah et al., 2020; Deng et al., 2019; Elkhabaz et al., 2019; Nguyen et al., 2023b; Nunes et al., 2022; Schram et al., 2016; Van Duong et al., 2022a). However, they appear to be less effective crystallization inhibitors at lower pH when polymers become insoluble (Schram et al., 2016). In another study using pyrazinamide and hydrochlorothiazide as model drugs, polymers with the same functional groups may inhibit crystallization or accelerate heteronucleation, depending on their hydrophobicity and solubility (Frank et al., 2019). For DLM, a weakly basic (pK_a of 4.3) and rapidly crystallizing drug, combining salt formation and ASD with an enteric polymer (HPMCP-50) (chemical structures of drug and polymer are shown in **Figure S8**) was found to be a successful approach to stabilize the amorphous form of the drug in the solid state, as well as in solutions corresponding to intestinal pH values where the polymer is fully ionized (Van Duong et al., 2022a). However, immersion in the gastric compartment at low pH values, where the polymer is insoluble led to the rapid formation of drug crystals on the surface of the ASD (**Figure 2 and 3**). These observations can be attributed

to the enteric polymer being a poor crystallization inhibitor when it is unionized, and/or the drug having a higher tendency to crystallize when exposed to certain pH conditions. This is particularly true for drug present at the surface of the ASD which is more vulnerable to crystallization due to higher molecular mobility. Furthermore, surface crystallization was found to be detrimental to drug release upon transferring from the gastric to the intestinal compartment, presumably due to seeding by the crystals formed in the gastric compartment which are then released from the ASD matrix when the polymer becomes soluble, accounting for the large variations in release extent from DLM ASDs (**Figure 1B**) (Nguyen et al., 2023b).

Incidentally, neither changing enteric polymer (different type/grade) (Nguyen et al., 2023b) nor changing from free base to form salt (edisylate or chloride) could completely eliminate the crystallization issue (**Figure 2A**). Specifically, the observed release variability of uncoated DLM tablets (both Delyba[®], and the DLM ASDs tablets herein) can be attributed to a complex interplay of the impact of pH and food components on drug (and polymer) solubility, as well as crystallization tendency. Thus, a general trend observed for all non-commercial DLM tablets is that drug release in single-stage dissolution at higher pH conditions where the polymer is readily soluble (pH 5.8 or above) was fairly similar and nearly complete (**Figure 1A**), reflecting the rapid release of the drug into solution where the polymer is able to effectively maintain supersaturation. Two-stage release experiments (**Figure 1B** and **S6**) highlight the potential for impaired release with gastric pH variability, with the worse scenario observed for an initial stage of pH 3.0, where both polymer and drug have low solubility (Nguyen et al., 2023b). Given that *in vitro* testing conditions do not typically encompass a wide variety of gastric pH conditions, these observations may be of importance for *in vivo* behavior where gastric pH is known to be a highly variable parameter, in both the fasted and fed states (Chen et al., 2008; Hatton et al., 2015; Mahar et al., 2012).

We demonstrated herein that enteric coating could successfully prevent drug crystallization when DLM ASD formulations exposed to acidic pH conditions. Inhibition was directly observed via microscopy studies (**Figure 2B**), and also inferred from release studies conducted in simple media of different pH values (**Figure 5**); release performance of enteric-coated tablets was remarkably improved and less variable relative to that observed for uncoated tablets. However, in conjunction with pH variations, which can arise *in vivo* for a number of reasons (age, administration of acid reducing agents *etc.*), another important consideration impacting DLM

formulations is food, in particular fat content. The impact of food components on the integrity of an enteric coating is another relevant consideration. Eudragit[®] L100-55 or Eudragit[®] L30D-55 generally resist dissolution at pH values below 5.5 (Rowe et al., 2009). The study of Pavloff and co-workers (Pavloff et al., 2018) on cysteamine bitartrate delayed-release capsules indicated that beads coated with Eudragit[®] L30D-55 showed excellent gastric resistance at pH 5.2 or below. However, foods creating pH values higher than 5.3 were noted to soften the enteric coating and cause premature dissolution of the beads (Pavloff et al., 2018). Herein, an enteric coating of Acryl EZE[®] II was effective at protecting the tablet core from the gastric environment at pH \leq 5.0 for at least 2 h in buffer. Interestingly, the presence of food components further slowed down the impairment of the enteric coating layer and prolonged the resistance in simulated gastric fluids (**Figure 4**). Drug release upon transfer to intestinal pH medium was found to be the same as for the single stage dissolution if the integrity of enteric coating layer remained intact (**Figure 5**). Reduced variability of dissolution was also noted for enteric-coated Delytba[®] tablets, albeit at a much lower overall release extent (**Figure S5**) relative to the in-house prepared ASD tablets. Once any cracks in the coating occurred, water and buffer species could penetrate into the core, resulting in drug crystallization and decreased drug release (**Figure S3**). Furthermore, the presence of food components showed only a minor impact on *in vitro* performance of enteric-coated ASD tablets. With enteric coating, ASD tablets resulted in near complete drug release either in both buffer solutions or in fasted/fed simulated media (**Figure 5** and **Figure 8**). Thus, this protective coating layer appears to be a useful potential strategy to reduce the release variability due to the large pH range in the stomach between the fasted and fed states.

It is also important to consider the crystallization tendency of the ASD formulations and the potential impact on absorption in the context of drug solubility in different media (Van Duong et al., 2022c). Clearly, crystallization leads to very poor overall DLM release in buffer due to the low equilibrium solubility of the crystalline form. However, drug equilibrium solubility in fed-state simulated gastric fluid (pH 4.5) and fed-state simulated intestinal media was approximately 650- and 100-fold higher, respectively, than in buffer solutions (**Figure 6**). The higher solubility likely accounts for the remarkably improved drug release from Delytba[®] in the presence of components that model food and bile salts (**Figure 7**), compared to in buffer (**Figure S6** and **S7**) during single-stage testing. In other words, the impact of any crystallization is mitigated by the higher solubility in these media. Further, the food-dependent solubility and dissolution likely contribute to the

positive food impact on bioavailability of poorly soluble compounds like posaconazole (Krishna et al., 2012), ziprasidone (Xue et al., 2019) or pretomanid (Nguyen et al., 2023a). The improved exposure in fed state was also observed for Deltyba[®] in clinical studies, but also to the high variability observed between different subjects (EMA, 2013). Studies revealed that administration of a 200 mg dose of Deltyba[®] with a standard meal led to much higher C_{max} and AUC_{0-inf} values (increases of 3.4- and 2.9-fold, respectively), versus fasted conditions (EMA, 2013). Moreover, the bioavailability of DLM was found to vary depending on dietary fat intake. For a single dose of a 400 mg Deltyba[®] tablet, the mean C_{max} increased by 327% and 213%, and the AUC_{0-inf} increased by 347% and 206%, after a high-fat meal or a standard meal, respectively, compared to fasting conditions (EMA, 2013). Additional support for the importance of fat for DLM absorption comes from a population pharmacokinetic study of Deltyba[®] in patients with multidrug-resistant tuberculosis (MDR-TB), where medication was always taken with food. It was noted that there were differences in relative bioavailability and absorption rate between evening doses and morning doses, which were postulated to result from differences in the fat content of the food ingested around the time of dosing (Wang et al., 2020). Given that many of the patients affected by MDR-TB may not have access to high fat meals, our observations that the release of DLM from ASDs can be both improved in the absence of solubilizing components and rendered less variable as a function of gastric pH by using an enteric coating strategy, may be of practical importance.

5. Conclusions

ASD formulations of delamanid with an enteric polymer showed compromised release following immersion in gastric pH conditions prior to transfer to intestinal pH conditions, attributed to surface drug crystallization. This deleterious release behavior could be remediated via application of an enteric coating to the ASD tablet, thereby preventing drug surface crystallization under acidic conditions. This strategy may represent an approach to reduce *in vivo* absorption variability arising from different pH and media conditions associated with prandial state.

Abbreviations: AUC, Area under the curve; ASD, amorphous solid dispersion; DCM, dichloromethane; DL, Drug loading; DLM, delamanid; FaSSGF, fasted state simulated gastric fluid; FaSSIF, fasted state simulated intestinal fluid; FeSSIF, fed state simulated intestinal fluid; FEDGAS, fed gastric fluid; HDPE, high density polyethylene; HPLC, high performance liquid chromatography; HPMC, hydroxypropyl methylcellulose; HPMCAS, hypromellose acetate succinate; HPMCP, hypromellose phthalate; MB, maleate buffer; MDR-TB, multidrug-resistant tuberculosis; MeOH, methanol; PLM, polarized light microscopy; SEM, scanning electron microscopy; UV, ultraviolet.

Supporting Information. Supplementary material. Compositions of Acryl EZE[®] II formulation and Delytba[®] tablet; crystallization observed by SEM images; enteric coating confirmed by confocal microscopy; PLM images showing that coating inhibits crystallization following long-term incubation in gastric fluid; gastric resistance of enteric-coated tablets in phosphate buffers; summary of drug release of enteric-coated tables in gastric conditions; drug release from enteric-coated ASD tablet in high pH gastric condition; release profiles showing that enteric coating reduces variability of drug release from Delytba[®]; dissolution of Delytba[®] tablets in buffer solutions; and release of Delytba[®] in fed-state condition.

Author Contributions

Hanh Thuy Nguyen: Conceptualization, Methodology, Investigation, Writing – Original Draft, Formal Analysis, Visualization

Van Tu Duong: Conceptualization, Methodology, Investigation, Writing – Review & Editing, Formal Analysis, Visualization

Lynne S. Taylor: Conceptualization, Writing – Review & Editing, Supervision, Funding Acquisition

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to the Author Accepted Manuscript version that might arise from this submission. Drs. Niya Bowers, Francisco Alvarez and David Monteith are thanked for helpful discussions.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Author Contributions

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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