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Customizable orodispersible films: Inkjet printing and data matrix encoding for personalized hydrocortisone dosing

Lucía Rodríguez-Pombo, Paola Carou-Senra, Erea Rodríguez-Martínez, Patricija Januskaite, Carlos Rial, Paulo Félix, Carmen Alvarez-Lorenzo, Abdul W. Basit, Alvaro Goyanes

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1 Customizable orodispersible films: Inkjet printing and data matrix encoding for 2 personalized hydrocortisone dosing

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4 Lucía Rodríguez-Pombo¹, Paola Carou-Senra¹, Erea Rodríguez-Martínez¹, Patricija
5 Januskaite², Carlos Rial^{3,4}, Paulo Félix⁵, Carmen Alvarez-Lorenzo¹, Abdul W. Basit^{2,3,4*},
6 Alvaro Govanes^{1,2,3,4*}

7

¹ Departamento de Farmacología, Farmacia y Tecnología Farmacéutica, I+D Farma (GI-1645),
Facultad de Farmacia, Instituto de Materiales (iMATUS) and Health Research Institute of
Santiago de Compostela (IDIS), Universidade de Santiago de Compostela, 15782 Santiago de
Compostela, Spain.

- ² Department of Pharmaceutics, UCL School of Pharmacy, University College London, 29-39
 Brunswick Square, London, WC1N 1AX, UK.
- ³ FABRX Ltd., Henwood House, Henwood, Ashford, Kent, TN24 8DH, UK.
- ⁴ FABRX Artificial Intelligence, Carretera de Escairón, 14, Currelos (O Saviñao) CP 27543,
 Spain.
- ⁵ CiTIUS, Universidade de Santiago de Compostela, 15782, Santiago de Compostela, Spain.
- 18 * Correspondence: a.basit@ucl.ac.uk (A.W.B.); a.goyanes@fabrx.co.uk (A.G.)
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- 20

1 Abstract

The aim of this study was to exploit the versatility of inkjet printing to develop flexible doses 2 of drug-loaded orodispersible films that encoded information in a data matrix pattern, and to 3 introduce a specialised data matrix-generator software specifically focused on the healthcare 4 5 sector. Pharma-inks (drug-loaded inks) containing hydrocortisone (HC) were developed and characterised based on their rheological properties and drug content. Different strategies were 6 investigated to improve HC solubility: formation of β-cyclodextrin complexes, Soluplus® 7 based micelles, and the use of co-solvent systems. The software automatically adapted the data 8 matrix size and identified the number of layers for printing. HC content deposited in each film 9 layer was measured, and it was found that the proportion of co-solvent used directly affected 10 the drug solubility and simultaneously played a role in the modification of the viscosity and 11 surface tension of the inks. The formation of β -cyclodextrin complexes improved the drug 12 quantity deposited in each layer. On the contrary, micelle-based inks were not suitable for 13 printing. Orodispersible films containing flexible and low doses of personalised HC were 14 successfully prepared, and the development of a code generator software oriented to medical 15 use provided an additional, innovative, and revolutionary advantage to personalised medicine 16

17 safety and accessibility.

Keywords: Desktop inkjet printing, 2D printed drug products, hydrocortisone, data-enriched
 edible pharmaceuticals, personalized medicine

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29 **1. Introduction**

Conventional therapies follow a "one size fits all" approach, producing medicines with fixed 30 doses for the entire population. While suitable for many, this approach poses challenges for 31 drugs with a narrow therapeutic index(Wening and Breitkreutz, 2011) or highly variable 32 pharmacokinetic and/or pharmacodynamic profiles(Edinger et al., 2018)(Vuddanda et al., 33 2018)(El-Maouche et al., 2017)(Abdel Jalil et al., 2021). The same strength administered to 34 several patients may cause varied pharmacological responses due to interindividual factors, 35 leading to under or over-dosing(Turner et al., 2015). Such undesirable therapeutic outcomes 36 are more notable in the paediatric population because of the lack of child-appropriate 37 formulations. It is common practice to modify dosage forms designed for adults before 38

1 administering to children, by preparing a suitable unlicensed medicine or by manipulating 2 decage forms at the point of care(K has at al. 2022)

2 dosage forms at the point-of-care(Khan et al., 2022).

Hydrocortisone (HC) is the recommended glucocorticoid for treating adrenal insufficiency in 3 childhood and puberty (deficiency of cortisol)(Nisticò et al., 2022). Cortisol production follows 4 a circadian rhythm(Mohd Azmi et al., 2021), peaking in the morning and gradually decreasing 5 throughout the day, reaching minimal levels at midnight. It is vital for patients to mimic this 6 physiological pattern of cortisol production in their hormone replacement therapy to avoid 7 decompensations(Chan and Debono, 2010) such as metabolic disorders, bone or weight loss, 8 and cardiovascular disorders(Husebye et al., 2021). However, the replication of this pattern 9 faces challenges due to the lack of versatility in the current manufacturing technologies. In 10 clinical practice, the appropriate HC dose (8 - $10 \text{ mg/m}^2/\text{day}$) is calculated based on the body 11 surface area and is administered between 2 - 4 times a day(El-Maouche et al., 2017). 12 Up to now, hydrocortisone medicines specific to the paediatric population are scarce. While

13 Plenadren[®] modified-release tablets are approved by the European Medicines Agency (EMA) 14 in certain doses, safety and efficacy data for paediatric use is still limited("Plenadren Product 15 16 information. European Medicines Agency (EMA)," n.d.). Hydrocortisone modified-release capsules containing doses of 5, 10, or 20 mg (Efmody[®]) are approved by the EMA but are only 17 indicated for adolescents > 12 years old and adults("Efmody Product information. European 18 Medicines Agency (EMA), 2023.," n.d.). The recent Food and Drug Administration (FDA) and 19 EMA approval of Alkindi[®] marks the first paediatric medicine for this disorder (birth to <18 20 years), available in capsules with hydrocortisone granules ranging from 0.5 mg to 5 21 mg("Alkindi Product information. European Medicines Agency (EMA), 2023.," n.d.). 22 However, availability issues exist in certain European countries like Spain due to observed side 23 effects when discontinuing conventional hydrocortisone treatment("Alkindi Product 24 information. European Medicines Agency (EMA), 2023.," n.d.). As a result, HC formulations 25 containing the specific dose are prepared manually at the dispensing point, and marketed tablets 26 27 are crushed or HC suspensions are made in hospital pharmacies to comply with specific patient needs(Whitaker et al., 2015). However, pharmaceutical compounding is time consuming, 28 resource-intensive, prone to dosing errors, and is an inflexible approach to meet continuous 29 30 dose changes(Watson et al., 2021).

In the last decade, various additive manufacturing technologies have been explored to ensure 31 a more personalized patient treatment approach(Cui et al., 2021; Daly et al., 2015; Nadagouda 32 et al., 2020; Seoane-Viaño et al., 2021; Vaz and Kumar, 2021). Inkjet printing is gaining 33 attention in the development of tailored oral dosage forms (Boehm et al., 2014; Carou-Senra et 34 al., 2023; Chou et al., 2021; Kiefer et al., 2021; Öblom et al., 2020; Sandler et al., 2011). 35 Traditional desktop inkjet printers have been successfully adapted to print a vast number of 36 37 drugs such as caffeine and indomethacin on different substrates(Alomari et al., 2018; Arshad et al., 2020; Genina et al., 2013, 2012; Kiefer et al., 2021; Wickström et al., 2015). This material 38 jetting technology involves the deposition of a controlled droplet pattern of pharma-ink (drug-39 loaded ink) on a substrate(Daly et al., 2015; Singh et al., 2010). This technology can be 40 classified according to the mechanism of droplet generation. Thermal inkjet printing uses 41 micro-resistors in direct contact with the ink, rapidly heating up to form a vaporization-induced 42 bubble that expands, ejecting fluid through the nozzle to create a droplet(Meléndez et al., 43 2008). In piezoelectric inkjet printing, each nozzle is surrounded by a piezoelectric element 44 that, when subjected to an electrical current, mechanically deforms the ink, generating pressure 45 46 waves that expel droplets through the nozzle(Azizi Machekposhti et al., 2019). Inkjet printing has demonstrated success in various dosage forms, such as orodispersible or bioadhesive 47

films(Kiefer et al., 2021; Varan et al., 2017; Vuddanda et al., 2018), transdermal
microneedles(Boehm et al., 2013), contact lenses(Pollard et al., 2023), drug-loaded
stents(Scoutaris et al., 2016) and even direct application onto nails(Pollard et al., 2022).

4 The versatility and precision of inkjet printing technology allows the design of unique digital 5 patterns on substrates, introducing novel dosage forms (data-enriched edible pharmaceuticals - DEEPs), with information easily readable by smartphones (Chao et al., 2022, 2021; Edinger 6 et al., 2018; Öblom et al., 2020). oThe required dose is printed as a QR or data matrix code, 7 providing encoded information such as drug name, dose, patient details, prescribing 8 instructions, side effects, expiry date, manufacturer identification and the batch number to 9 patients and/or healthcare professionals(Handa et al., 2023). The use of OR codes and smart 10 devices has demonstrated improvements in tracking, safety, and adherence to prescribed 11 dosage schedules, leading to reduced visits to health professionals(Chao et al., 2021; Edinger 12 et al., 2018). Although studies have explored QR code printing on films using free online QR 13 generators, the development of healthcare-specific software remains unexplored(Chao et al., 14 2021; Edinger et al., 2018). A specialised software would ensure the tracking and traceability 15 of the drug product directly to the patient, presenting a significant opportunity to utilize inkjet 16 printing to prepare data-enriched dosage forms, improving treatment safety 17 and efficacy(Nørfeldt et al., 2019; Raijada et al., 2021). 18

The aim of this study was to evaluate the combination of inkjet printing and a data matrix code 19 generator software specifically made for the healthcare sector, to produce data matrix films 20 21 loaded with HC as the model drug. Various HC-loaded inks, incorporating different excipients, were developed to optimize inkjet printing performance. Inclusion complexes and micelle 22 formation were evaluated to improve poor drug solubility within the pharma-ink, since they 23 are available pharmaceutical strategies to overcome solubility issues of poorly water-soluble 24 drugs (Rodriguez-Aller et al., 2015). The pharma-inks were printed on edible substrates using 25 conventional desktop printers, following a data matrix pattern generated by the developed 26 software. The study varied the number of printed layers and data matrix size to achieve 27 personalized doses, quantifying the total HC printed in different layers. 28

29 **2. Materials and Methods**

30 **2.1 Materials**

Hydrocortisone base (MW 362.46 g/mol, Acofarma, Barcelona, Spain); 1,2-propylene glycol 31 (Scharlau, Barcelona, Spain); MilliQ[®] water; Methanol (Merck, Darmstadt, Germany); Ethanol 32 (VWR International, Radnor, USA); E-122 Red colorant (Guinama, Valencia, Spain); Bright 33 blue colorant (Guinama, Valencia, Spain); β-Cyclodextrin (β-CD; Nihon Shokuhin Kako Co. 34 Ltd, Tokyo, Japan); Soluplus[®] (polyvinyl caprolactam polyvinyl acetate-polyethylene glycol 35 grafted copolymer, BASF, Ludwigshafen, Germany); SensiJet[®] (Sensient, Milwaukee, USA); 36 Potato starch edible paper (0.3 mm thickness; Decoración Dulce, Madrid, Spain); Rice edible 37 38 paper (0.4 mm thickness; Decoración Dulce, Madrid, Spain).

39 2.2 Pharma-ink formulation

- 40 Several placebo inks (no drug) with different solvent ratios were prepared (Table S1). Pharma-
- 41 inks containing HC were also prepared by dissolving 300 mg of HC in 25mL of varying ratios
- 42 of 1,2-propylene glycol (PG) and water as shown in Table 1. The formation of HC: β -CD
- 43 inclusion complexes and the formation of micelles with Soluplus[®] were tested to increase the
- 44 poor drug solubility and the amount of deposited drug in each printed layer.

- 1 β -CD was added in different weight ratios for the formation of the inclusion complexes with
- 2 the drug; namely HC: β -CD 1:3 and 1:6 w/w, which corresponded to 1:1 and 1:2 mol ratio. The
- same amount of HC (300 mg) and the corresponding amount of β -CD in each of the cases (900
- 4 mg and 1800 mg of β -CD respectively) were placed in a flask. 25 mL of propylene glycol:water
- 5 (PG:H₂O) mixture was added and incubated at 37 $^{\circ}$ C for 24 h, in a shaker at 100 rpm.
- 6 Approximately 4 mg of E-122 red colorant was added to PG:H₂O (30:70 v/v) and E-133 blue
- colorant to PG:H₂O (60:40 v/v). The final solution was filtered through a 0.45 μ m filter.
- 8 Soluplus 6% (w/w) and 10% (w/w) were used for the formation of micelles. The corresponding
- 9 quantity of Soluplus and 300 mg of HC were added into a flask. 25 mL (Soluplus at 6% w/w)
- 10 and 35 mL (Soluplus at 10% w/w) were added and incubated for 24 h at 37 °C in a shaker at
- 11 100 rpm. The addition of the E-133 blue colorant as well as the subsequent filtering of the
- 12 formulation was carried out in the same method, as described earlier.

Table 1.	Composition	of dev	reloped	pharma-inks.	All	formulations
contained	300 mg of HC	and 4	mg of c	olourant.		

Pharma-ink	Water (v/v)	Propylene glycol (v/v)	Solubility modifier	Solubility modifier content (w/w)
PG:H ₂ O (30:70 v/v)+ HC	70	30	-	-
PG:H ₂ O (60:40 v/v)+ HC	40	60	-	-
PG:H ₂ O (30:70 v/v)+ HC: β-CD	70	30	HC: β -CD	1:3
PG:H ₂ O (60:40 v/v)+ HC: β-CD	40	60	HC: β -CD	1:3
PG:H ₂ O (30:70 v/v)+ HC: β-CD	70	30	HC: β -CD	1:6
PG:H ₂ O (60:40 v/v)+ HC: β-CD	40	60	HC: β -CD	1:6
PG:H ₂ O (30:70 v/v)+ HC:Soluplus	70	30	Soluplus	6
PG:H ₂ O (60:40 v/v)+ HC:Soluplus	40	60	Soluplus	6

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PG:H ₂ O (30:70 v/v)+ HC:Soluplus	70	30	Soluplus	10
PG:H ₂ O (60:40 v/v)+ HC:Soluplus	40	60	Soluplus	10

1

2 2.3 Pharma-ink characterization

3 The inks were characterised based on their viscosity, surface tension, and density to evaluate4 their printability.

5

6 **2.3.1 Dynamic viscosity**

Viscosity was measured in duplicate (n=2) using a Rheolyst AR 1000-N Rheometer (TA
Instruments, New Castle, DE, USA) equipped with a cone-plate geometry. Measurements were
conducted with a cone (ø 60 mm, angle 2.1°) on the Peltier plate set up at 20 °C. Approximately
2 mL of the ink was transferred to the plate. The sample was subjected to a shear rate ramp
from 0.05 to 1000 s⁻¹ and the run time was 5 minutes. The upper limit of the shear rate ramp
(1000 s⁻¹) was taken as the viscosity value of the ink.

13 **2.3.2 Surface tension**

Surface tension was determined in duplicate (n=2) following the platinum ring method using a Lauda Tensiometer TD1 (Lauda Scientific GmbH, Lauda-Königshofen, Germany) at room temperature and applying the needed corrections(Ebnesajjad S., 2009). The platinum ring with a well-defined geometry was submerged in the ink and the pull force was measured according to Equation 1:

$$P_{\rm T} = P_{\rm R} + 4 \pi r \gamma_{\rm ideal} \qquad (1)$$

(2)

Where P_T is the total force on the ring, P_R is the weight of the ring, r is the radius of the ring, and γ_{ideal} is the ideal surface tension. In practice, a meniscus correction factor was required as the size and shape of the surface inside and outside the ring are not the same. Surface tension was corrected for the shape of the ring by a factor (f) as shown in Equation 2:

$$\gamma = f \gamma_{ideal}$$

25 **2.3.3 Density**

24

The density of each ink was measured with an ABT 220-4NM analytical balance (KERN & SOHN GmbH, Stuttgart, Germany). 1 mL of the ink was removed using a PIPETMAN L Fixed F1000L Gilson Pipette (accuracy ($\%/\mu$ L) 0.5/5.0, Gilson Inc., Middleton, WI, USA) and accurately weighed at 25 °C. The average of three measurements was used as the density of the ink.

1 2.3.4 Nozzle diameter measurement

An Olympus CKX53 optical microscope (Tokyo, Japan) was used to observe the orifice
diameter in the HP27 cartridge. The measurements were made using 4x and 10x magnifications
with a scale in micrometres.

5 2.3.5 Z value determination

A printability prediction was made by calculating the *Z* value, a dimensionless equation which helps predict droplet behaviour based on the physical characteristics of the pharma-ink and nozzle specifications. The diameter of the printer nozzle (*d*, in μ m), ink density (ρ , in g/mL), surface tension (σ , in mN/m), and viscosity (η , in mPa*s) were used for the calculation of the *Z* value according to the following Equation 3(Jang et al., 2009):

11
$$Z = \frac{\sqrt{\rho d_{\sigma}}}{n}$$
(3)

12 2.3.6 Solubility assay

Solubility was measured via saturation, adding the drug until no more dissolved, followed by 13 analysis of the final drug concentration in each pharma-ink. A 0.5 mL aliquot of each prepared 14 pharma-ink (25 mL) was taken and diluted in a 50 mL flask using methanol:water (50:50 v/v) 15 with 1% formic acid as dilution medium. 2 mL of the sample was filtered using 0.22 µm filters 16 (Millipore Ltd., Dublin, Ireland) and the drug concentration was determined using high 17 performance liquid chromatography-ultraviolet (HPLC-UV) (JASCO LC-4000 Series, Jasco, 18 Madrid, Spain), with the method described in another section. All measurements were 19 performed in duplicate (n=2). 20

21 **2.4 Data matrix code-generator software prototype**

The data matrix code-generator M3DIMAKER Studio[™] software (FABRX Ltd., London, UK) 22 was designed specifically for medical use. Scaled data matrix codes were generated by filling 23 a simple form (Figure 1A). Once the application form was filled and the printing confirmed, 24 the software generated a PDF document with the scaled data matrix codes which encoded the 25 information of interest. In addition, the number of printing layers necessary to obtain the target 26 dose was indicated. For the size scalation of the data matrix, the software used parameters such 27 as the printer resolution and the volume of ink that the printer deposits in each drop (often in 28 picolitres). When the PDF was generated, ODFs could be obtained with drug doses adapted to 29 the medical needs of each patient, with the software personalizing the required dose quickly 30 and easily. 31

The healthcare professional would select the number of printed dosage forms based on the prescribing instructions for the patient. Up to 28 drug-loaded films containing encodedinformation could be printed during a single print. A choice of single printing (Figure 1A) or multiple dose printing (Figure 1B) was available within the software. Single printing generated up to 28 data matrix codes containing the same dose; multiple printing generated data matrix codes with varying dose.

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Day 15 Day 16 Day 17 Day 18 Day 19 Day 20 Day 21
Lone Lone Lone Lone Lone Lone Lone
Day 22 Day 23 Day 24 Day 25 Day 26 Day 27 Day 28

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Figure 1. Data application forms within the software to be filled to obtain the PDF with the data matrix codes for (A) Single dose printing and (B) Multiple dose printing.

5 2.5 Inkjet printing of hydrocortisone films

- 6 The printing tests were carried out using two unmodified desktop printers: a thermal inkjet
- 7 printer, HP Deskjet 3420 (Hewlett Packard, Palo Alto, USA) (Figure 2A), and a piezoelectric
- 8 inkjet printer, Canon Pixma TS705 (Canon Inc., Tokyo, Japan) (Figure 2B).

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- 1 HP printer ink cartridges (black and tri-colour, model numbers HP27 and HP28, respectively)
- 2 and a Canon cartridge (PGI 580 black cartridge) were modified by cutting off the top, removing
- 3 the sponges, and removing the commercial ink with distilled water, followed by ethanol. Once
- 4 the cartridges were cleaned, they were loaded with the custom developed pharma-inks and
- 5 placed inside the printer.



6

Figure 2. The printers (left) and opened cartridges (right): (A) HP Deskjet 3420 (HP 27 and HP 28), and (B) Canon Pixma TS705 (PGI 580 black cartridge) used in the study.

9 Printing tests were performed on two commercially available edible inert substrates: a substrate 10 based on potato starch with a thickness of 0.3 mm and a substrate based on rice with a thickness 11 of 0.4 mm were used in the study. Both substrates behave as orodispersible films (ODFs) 12 allowing for the immediate release of the drug. ODFs are intended to be placed onto the tongue 13 where they dissolve rapidly in the saliva(Hoffmann et al., 2011). This approach holds particular 14 promise for the paediatric population as it eliminates the need for swallowing the entire dosage 15 form raduaing the rick of obaling and enhancing overall patient accentability.

15 form, reducing the risk of choking and enhancing overall patient acceptability.

In both cases, the deposition of the pharma-ink considerably moistened the substrate; therefore, between prints, it was necessary to leave the substrate for 10 min at room temperature before printing the next layer. Ideally, the substrate would absorb the pharma-ink faster to reduce the drying process time between the printed layers. Considering that the films used were prefabricated and for which characteristics have already been established, no further characterization of the substrates was carried out.

22 **2.6 Drug content in the printed films**

The samples of the different printed films were placed in a volumetric flask (25 ml) with methanol and Milli-Q[®] water (50:50 v/v) with 1% v/v formic acid with magnetic stirring until complete extraction of the drug (overnight). Sample solutions were then filtered through 0.22 μ m filters (Millipore Ltd., Dublin, Ireland) and the concentration of drug was determined with HPLC-UV (JASCO LC-4000 Series, Jasco, Madrid, Spain). The assay entailed injecting 30 μ L samples for analysis using a mobile phase of methanol and water (70:30 v/v) containing 1% v/v formic acid through a Symmetry 5 μm C18 column, 4.6 mm x 250 mm column (Waters,
Milford, Massachusetts) maintained at 30 °C. The mobile phase was pumped at a flow rate of
1 mL/min and the eluent was screened at a wavelength of 250 nm. All measurements were
made in duplicate. The retention time was 4.1 minutes, and the concentration range was 0.07160 μg/mL.

6 **2.7 Disintegration testing**

7 Disintegration time was analysed to study the mechanical break of the developed pharmaceutical form, using the slide frame method. For this purpose, the film (2 x 6 cm; width 8 x length) was placed completely flat on top of a 50 mL beaker (4.5 cm diameter), completely 9 covering the beaker so that it was under tension. 200 µl of simulated saliva (previously heated 10 at 37°C) was added centrically on the film surface using a micropipette (the same pipette as 11 described in Section 2.3.3). The time required for the simulated saliva to wet the film matrix 12 until the first drop falls into the beaker was measured. Measurements were performed in 13 quadruplicate (n = 4). 14

15

16 **3. Results and discussion**

17 **3.1 Pharma-ink characterization**

Inkjet printing performance is conditioned by the jetting capacity of the pharma-ink, therefore 18 the rheological properties of the ink are limiting factors that need to be taken into 19 account(Nallan et al., 2014). It is reported that the optimum viscosity range for inks in inkjet 20 printing applications is between 1 - 25 mPa*s(Waasdorp et al., 2018). The viscosity values 21 varied widely in the tested inks (Table 2). These differences could be attributed to the 22 percentage of PG and the presence of solubility modifiers in the ink; both increasing the 23 viscosity. According to Table 2, as the percentage of PG increased, so did the viscosity. When 24 the solubility modifiers (inclusion complexation with β -CD and formation of micelles with 25 Soluplus[®]) were added to the ink, the viscosity also increased. 26

The density values were similar for all tested pharma-inks. The addition of organic solvent and solubility modifiers (micelles and inclusion complexes) did not significantly affect this parameter. Typically, the optimum density range for adequate printing is 0.9 - 1.1 g/mL according to the literature(Waasdorp et al., 2018). The formulated inks had density values within the optimal range which indicated that the droplet formation process could be carried out (Table 2).

In terms of surface tension, the results obtained in the studied pharma-inks differed significantly (Table 2). According to the literature, the optimal surface tension range for the adequate formation of droplets is between 20-50 mN/m(Waasdorp et al., 2018). Considering that the surface tension of water has a value of 72 mN/m, inks with a large proportion of water are theoretically not printable. As the proportion of PG increased, the surface tension decreased because PG is a surfactant, exhibiting lower intermolecular forces with water molecules in comparison to water-water molecule interactions.

40

Table 2. Properties of the developed pharma-inks and printability results based on Z value. Data are shown as mean value \pm SD. An experimental printability result of "-" designates a paper jam whereby printing could not be continued.

Pharma- ink	HC solubility (mg/mL)	Density (g/mL)	Surface tension (mN/m)	Viscosity (mPa*s)	Z	value	Expe prin (rimental tability Y/N)
					HP	Canon	HP	Canon
PG:H ₂ O 30:70 v/v + HC	1.10 ± 0.00	1.017 ± 0.007	46.6 ± 0.0	4.06 ± 0.54	7.6	5.1	Y	-
PG:H ₂ O 60:40 v/v +HC	5.84 ± 0.03	1.030 ± 0.001	36.7 ± 0.1	10.21 ± 0.28	2.7	1.8	Y	-
PG:H ₂ O 30:70 v/v + HC:β- CD (1:3 w/w)	2.40 ± 0.01	1.000 ± 0.005	46.6 ± 0.1	4.62 ± 1.17	6.6	4.4	Y	-
PG:H ₂ O 60:40 v/v + HC:β- CD (1:3 w/w)	6.74 ± 0.01	1.025 ± 0.002	36.8 ± 0.3	10.64 ± 0.37	2.6	1.7	Y	-
PG:H ₂ O 30:70 v/v + HC:β- CD (1:6 w/w)	3.01 ± 0.01	1.023 ± 0.003	44.5 ± 0.0	4.32 ± 0.75	7.0	4.7	Y	-
PG:H ₂ O 60:40 v/v + HC:β-	7.40 ± 0.01	1.051 ± 0.004	37.9 ± 0.2	11.25 ± 0.49	2.5	1.7	Y	-

CD	(1:6
w/	w)

PG:H ₂ O 30:70 v/v + HC+ Soluplus (6% w/w)	4.15 ± 0.04	1.024± 0.004	40.8 ± 0.0	7.99 ± 2.52	3.6	2.4	N	N
PG:H ₂ O 60:40 v/v +HC+ Soluplus (6% w/w)	6.17 ± 0.04	1.036± 0.005	40.4 ± 0.3	35.65 ± 1.95	0.8	0.5	N	Ν
PG:H ₂ O 30:70 v/v + HC+ Soluplus (10% w/w)	5.39 ± 0.03	0.995 ± 0.009	40.3 ± 0.1	21.55 ± 1.58	1.3	0.9	N	Ν
PG:H ₂ O 60:40 v/v +HC + Soluplus (10% w/w)	10.66 ± 0.07	1.026 ± 0.004	38.0 ± 0.0	58.40 ± 0.29	0.5	0.3	N	N

- The nozzle diameter of the HP27 cartridge was measured using an optical microscope and the diameter of the orifice was found to be 20 μm (Figure 3).



(B)



1 **Figure 3.** Pictures of the HP27 cartridge nozzles taken using an optical microscope for (A) 4x

- 2 magnification, and (B) 10x magnification. Scale in μ m.
- 3 According to the cartridge specifications, the nozzle diameter of the Canon cartridge is 9 μ m,
- 4 therefore, this value was used to calculate the Z value of the inks (Table 2 and 2S).

5 **3.1.1 Printability determination using the Z value**

6 Z values for all the developed pharma-inks were calculated as a printability prediction indicator (Tables 2 and 2S). Conventionally, the Z value (the reciprocal of the Ohnesorge number) is 7 used in inkjet printing to predict if the ink will be jettable. If an ink has a Z value ranging 8 9 between 1 - 10, it is often considered to be printable(Derby, 2015). However, it is reported that there are several exceptions in which Z values were above 10 and the inks were printable(Liu 10 and Derby, 2019). Recently, machine learning (ML) algorithms to predict printability in inkjet 11 printing applications were developed(Carou-Senra et al., 2023). Authors found in the 12 exploratory data analysis on the Z values of extracted formulations that some inks (31.05% of 13 printable formulations with known Z values) remained printable despite possessing a Z value 14 of > 10. The findings of the mentioned study highlighted that printability depends on multiple 15 factors and the printing results cannot be solely determined by the Z value of a formulation. 16 The ML algorithm could not be used in this study due to the requirement of more datasets based 17 on various factors. By training the developed ML models with more negative data, prediction 18 19 performance could be improved, and this tool may be implemented in silico in the pharmaceutical field, accelerating the research and development of inkjet formulations. 20

21 Z values within the optimal range were obtained for most of the studied inks. Inks with higher

viscosity values had a low Z value and were outside of the optimal established range, meaning

that the formation of the drops was not optimized, but it does not imply that they cannot be

24 used to carry out the printing process.

To investigate the feasibility of the Z value prediction, some pharma-inks were tested in both 25 printers. A few inks could be printed with the Canon printer, but some could not due to the 26 paper jamming inside the printer (referred to as "-" in Tables 2 and 2S). During printing in the 27 Canon printer, the paper was placed at the bottom of the device and was guided to the top where 28 the cartridges were located. During this movement, the paper must bend and withstand tension 29 30 at the back of the printer, which allows it to move towards the printhead area. This phenomenon is related to the mechanical properties of the paper. Due to the tensile strength of the edible 31 films, which are less flexible and differ from the commercially used A4 paper, this tension was 32 sometimes not supported and resulted in the film breaking in two, jamming the printer. The 33 paper jam was frequent in the Canon printer when printing the drug-loaded films but was 34 avoided with the HP printer. As predicted by the Z value in Table 2, PG:H₂O 60:40 v/v + HC 35 + Soluplus (6% w/w) and PG:H₂O 60:40 v/v + HC + Soluplus (10% w/w) inks were not 36 printable (Table 2) because the Z value was outside the optimal range. However, some of the 37 38 inks within the Z value range of 1 - 10 were also not printable. For instance, PG:H₂O 30:70 v/v + HC + Soluplus (6% w/w) (Table 2) had a Z value of 3.6 and 2.4 but was not printable in both 39 printers. In addition, PG:EtOH 40:60 v/v ink (Table 2S) was also not printable although the Z 40 value was in the accepted range. Ink printability can vary from one printer to another (HP vs 41 Canon) as is seen in Table 2S. For example, PG:H₂O 60:40 v/v ink was only printable with the 42 HP printer; the PG:H₂O 30:70 v/v ink rendered low quality prints with the Canon printer; the 43 PG:EtOH 30:70 v/v ink was only printable with the Canon printer although the Z value was 44 between the optimal range for both printers (Table 2S). Such variations could be explained by 45 the fact that inkjet printing depends on a wide variety of parameters, some of them inherent to 46

- 1 each printer, such as the cartridge nozzle diameter or the droplet ejection mechanism (thermal
- 2 vs piezoelectric). According to the results, it is important to highlight that the Z value is not the
- 3 sole predictor of inkjet printability, and that other factors should be considered during ink
- 4 development.

5 In view of the results obtained from the trial-and-error tests displayed in Tables 2 and 2S, and 6 the frequent edible paper jamming in the Canon printer, the printer that provided the most 7 versatility (more printable inks) and less variability in the printing process with the edible paper 8 was the HP Deskjet 3420 and, for this reason, it was the selected printer to carry out the printing 9 tests.

10

11 **3.1.2** Solubility test

12 As the proportion of organic component (PG) increased, so did the solubility of HC as it is

- more soluble in PG compared to water (Figure 4)("Hydrocortisone | C21H30O5 | CID 5754 -PubChem," n.d.). In the case of the ink with a lower proportion of PG (PG:H₂O 30:70 v/v), a
- 15 HC concentration of 1.10 mg/mL was obtained, whilst in the ink with a higher proportion of
- 16 PG (PG:H₂O 60:40 v/v), a HC concentration of 5.8 mg/mL was obtained (Table 2).

17 As the concentration of HC in both inks may not be high enough to print the desired doses, the possibility of increasing the solubility using β-CD inclusion complexes and Soluplus[®] micelles 18 19 was investigated. In both cases, the solubility of HC increased (Table 2). The improvement was 20 more notable in the pharma-inks with a lower PG proportion. Solubility tests were performed with inclusion complexes at two different HC: β -CD mass ratios, 1:3 w/w (molar ratio 1:1) and 21 1:6 w/w (molar ratio 1:2), at different incubation times (1 day or 7 days). According to Table 22 2, a larger cyclodextrin amount (1:6 w/w) led to a greater solubility improvement in comparison 23 to 1:3 w/w and no cyclodextrin, for both 60:40 v/v and 30:70 v/v HC:\beta-CD. However, the total 24 amount of HC in 25mL of the pharma-inks containing β -CD was 145.75 mg, 168.5 mg and 185 25 mg for PG:H₂O 60:40 v/v, 1:3 w/w and 1:6 w/w HC:β-CD complex pharma-inks, respectively. 26 The total amount of HC quantified in 25 mL was 27.5 mg, 60 mg and 75.25 mg for PG:H₂O 27 30:70 v/v, 1:3 w/w and 1:6 w/w HC:β-CD complexes, respectively. Therefore, the initial 28 amount of HC (300 mg) added to the ink was not all encapsulated and was lower compared to 29 the PG:H₂O 60:40 v/v pharma-ink. This total amount of HC is referred to as the combination 30 of both free HC (not complexed with β -CD) and complexed HC. The methanol used as the 31 mobile phase in HPLC analysis broke the formed HC:β-CD complexes so the total amount of 32 HC in the pharma-inks (hydrocortisone dissolved in the pharma-ink and complexed 33 hydrocortisone) was successfully quantified using the original HPLC method described in 34 Section 2.6. 35

Despite a greater cyclodextrin ratio resulting in slightly higher solubility, the improvement 36 obtained was not high enough considering the resource consumption to produce the 1:6 w/w 37 HC:\beta-CD complex. Therefore, the ink containing HC:\beta-CD (1:6 w/w) was discarded. The 38 solubility of HC at different incubation times was also studied using the same ratio of HC:β-39 CD. The solubility was slightly higher with longer incubation time (9.85 mg/mL for 7 days of 40 incubation vs 6.74 mg/mL for 1 day of incubation). In view of the results, it can be stated that, 41 42 for the times studied, 1 and 7 days, it was more efficient to use shorter incubation times since the main objective was to prepare on-demand films. 43

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- 1 Another strategy to increase HC solubility was the formation of micelles using Soluplus[®]. An
- 2 improvement in solubility was obtained, but ink properties were not suitable for inkjet printing
- 3 (Table 2). The ink was not deposited on the substrate, and it was not possible to print a
- 4 successful film.

Ink solubility with a higher proportion of PG was also studied but substituting water for ethanol. When ethanol was used instead of water, the drug solubility increased significantly and reached a HC concentration of 20.1 mg/mL because HC has a higher solubility in ethanol("Hydrocortisone | C21H30O5 | CID 5754 - PubChem," n.d.). However, PG:EtOH 60:40 v/v ink was not printable with the HP desktop printer (Table 2S). Although PG:EtOH 60:40 v/v ink showed the highest drug solubility, it could not be used due to the inconsistency in the printing process with the Canon printer also (paper jam and damage).

12

13 **3.2 Data matrix code-generator software prototype**

14 The developed software generated two PDF documents from the information filled in the

application: a daily calendar (Figure 4A) and a document with the scaled data matrix codes

16 (Figure 4B).

15 Nov - Tuesday	16 Nov - Wednesday	17 Nov - Thursday	18 Nov - Friday	19 Nov - Saturday	20 Nov - Sunday	21 Nov - Monday
			K			
22 Nov - Tuesday	23 Nov - Wednesday	24 Nov - Thursday	25 Nov - Friday	26 Nov - Saturday	27 Nov - Sunday	28 Nov - Monday
29 Nov - Tuesday	30 Nov - Wednesday	01 Dec - Thursday	02 Dec - Friday	03 Dec - Saturday	04 Dec - Sunday	05 Dec - Monday
06 Dec - Tuesday	07 Dec - Wednesday	08 Dec - Thursday	09 Dec - Friday	10 Dec - Saturday	11 Dec - Sunday	12 Dec - Monday

(A)

17

(B)



1

Figure 4. Images of the PDF documents generated with the M3DIMAKER Studio[™] software:
(A) A daily calendar that would be printed onto an edible substrate with the commercial
SensiJet[®] ink and (B) Data matrix codes corresponding to the same dose, which would be
printed onto the edible substrate using the developed HC-loaded inks in single dose printing.

For the data matrix printing, the software indicated the number of layers needed and adjusted 6 7 the data matrix code size to obtain a specific dose. These options, in combination with the accuracy of inkjet printing, can obtain ODFs with a personalized dose and a data matrix code 8 9 which can be read easily with a smartphone. The web-based software prototype can be 10 complemented with a smartphone application that captures the printed code by the camera integrated in the device, providing access to the information contained within the data matrix 11 code (Figure 5). The data matrix codes can only be read by the specialized application, ensuring 12 that access to sensitive information, like patient data, is restricted to authorized personnel. This 13 remains in line with patient confidentiality and prevents inadequate use of healthcare 14 information. Moreover, in this way data matrix codes can be securely tracked, providing a safe 15 approach for drug traceability. 16

(A)		(B)
	11:42 4 2 4 % // 40% a	11/43 4 5 2 4 % / 40% à
	≡ Home :	
- 1		#SKZER2TC
	Â	Prescription data
		Patient data
	STUDIO	Printing data
		Printer info HP Deskjet 3420
		Drug concentration 160.0 mg/ml
	(M) 🗹	Elaboration date 2023-03-15
	Code Scanner Quality Assurance	Expiration date 2023-09-15
	• •	Responsible of printing
		Dose data
		SCAN ?
	III O <	

1

2 Figure 5. Diagram of the user interface process in M3DIMAKER StudioTM: (A) Selection of

3 the Code Scanner and (B) Code Scanner mode in which the code printed on the film is read

4 and information related to the dose, the patient, the printer used, and many more are given.

5

6 3.3 Inkjet printing of hydrocortisone films

Printing was carried out with the HP Deskjet 3420 printer and on a 0.3 mm thickness potato
starch paper, due to the versatility and consistency showed in the preliminary tests. All inks
showed in Table 1 obtained good printability results, apart from the inks containing Soluplus[®]

10 (not printable).

Data matrix codes of the same size were generated using M3DIMAKER Studio[™] and were printed using the different pharma-inks (Figure 6A). To highlight the possibility of carrying out a multiple printing approach, data matrix codes with different sizes were generated by the software and printed also (Figure 6B). During the printing process, films with up to 3 layers of ink and good resolution were obtained using a data matrix pattern with relevant encoded information that can be read with any smartphone (Figure 5). A total of 10 minutes was elapsed between printing each of the layers to ensure complete absorption of the pharma-ink.

03 Apr - Monday	.04 Apr - Tuesday	05 Apr - Wednesday	05 Apr - Wednesday 06 Apr - Thursday 07 Apr. Friday		08 Apr- Saturday		
10 Apr - Monday	11 Apr - Tuesday	12 Apr - Wednesday	13 Apr - Thursday	14 Apr. Friday	15 Apr- Saturday		
17 Apr - Monday	18 Apr - Tuesday	19 Apr - Wednesday	20 Apr - Thursday	21 Apr. Friday	22 Apr- Saturday		
24 Apr Monday	25 Apr - Tuesday	26 Apr - Wednesday	27 Apr - Thursday	28 Apr. Friday	29 Apr- Saturday		

(A)

03 Apr - Monday	04 Apr - Tuesday 05 Apr - Wednesd		06 Apr - Thursday	07 Apr. Friday	08 Apr- Saturday		
10 Apr - Monday	11 Apr - Tuesday	12 Apr - Wednesday	13 Apr - Thursday	14 Apr. Friday	15 Apr- Saturday		
17 Apr - Monday	18 Apr - Tuesday	19 Apr - Wednesday	20 Apr - Thursday	21 Apr. Friday	22 Apr- Saturday		
24 Apr - Monday	25 Apr - Tuesday	26 Apr - Wednesday	27 Apr - Thursday	28 Apr. Friday	29 Apr- Saturday		
Sec.	Markey.	Statist.	ALL SIS	The second	U.S.S.S.		

(B)

1

Figure 6. Image of data matrix codes containing HC printed on the potato starch edible
substrate: a) Single dose printing (2 cm²); b) Multiple dose printing. Film areas range from
10.24 cm² to 2 cm².

5 Multiple dose printing is an interesting approach where treatment dosage varies over time (same day or different days) and continuous dose adjustments are necessary, such as HC for 6 adrenal insufficiency in children. It is also important in hormone replacement therapy to mimic 7 the natural production pattern of the hormone cortisol(Chan and Debono, 2010). Plasma 8 9 cortisol levels change throughout the day, reaching the maximum concentration peak in the morning and the minimum concentration at night. With the multiple dose printing option 10 provided by M3DIMAKER Studio[™], different doses can be printed that mimic the circadian 11 rhythm of cortisol, improving the efficacy of the treatment. In addition, corticosteroids (e.g. 12 prednisone and dexamethasone) are also prescribed for the control of certain inflammatory 13 processes and for supressing the immune system response that may cause inhibition of the 14 hypothalamic-pituitary-adrenal axis(Pelewicz and Miśkiewicz, 2021). Instant reduction or 15 cessation of exogenous corticosteroids may cause symptoms of adrenal insufficiency or lead 16 to adrenal crisis. Therefore, the daily dose of corticosteroids is reduced so that the natural 17 production of cortisol is restored in the patient. The tapering of the corticosteroid dose can be 18 easily prepared with the multiple dose printing approach, allowing the printing of doses 19 adjusted to the reduction scheme, saving cost and time whilst increasing the safety of the 20 treatment due to the encoded information. 21

22 **3.4 Drug content in the printed films**

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To obtain preliminary information and to optimize the data matrix code generation, solid 1 squares with a constant area of 2 cm² were printed using PG:H₂O 60:40 v/v and PG:H₂O 30:70 2 v/v base inks. Up to 3 layers were printed. The HC was quantified with the HPLC method 3 mentioned in Section 2.6. As shown in Figure 7, the amount of drug deposited was very low, 4 reaching a maximum of ~103 µg of HC after 3 layers. As the number of layers increased, so 5 did the amount of deposited HC. A maximum deposition of 103 µg of HC was obtained using 6 7 the PG:H₂O (60:40 v/v) + HC: β -CD (1:3 w/w) ink. The amount of HC deposited on the film was higher for the 60:40 ratio ink compared to the 30:70 ink. These findings are related to the 8 results obtained from the solubility of the drug in the different inks. In addition, inclusion 9 complexation with β-CD increased the amount of HC deposited compared to both 60:40 and 10

11 30:70 inks.



12

13 Figure 7. Graphical representation that compares the amount of HC (μ g) deposited on the solid

square films with an area of 2 cm^2 as a function of the number of printed layers and the use of different inks.

16 Once the amount of printed HC in squares with an area of 2 cm^2 was quantified, the data matrix

17 codes were printed with the "single dose printing" option in the developed software (Figure 8).



- 1 Figure 8. Image of the developed and printed data matrix film using the single dose printing
- 2 option. Scale is in cm.

3 The amount of printed HC was determined following the same HPLC method. In view of the

results obtained in Figure 9, the amount of deposited HC decreased slightly compared to the
solid square films due to the empty space to codify the information.



6



9 The maximum printed HC in the printed data matrix examples was ~40 µg with PG:H₂O (60:40) v/v) + HC: β -CD (1:3 w/w) ink. The reason for this being the presence of ink free areas within 10 the data matrix code in comparison to the solid square. The obtained results showed the same 11 trend as those obtained with the square. The amount of HC deposited on films printed with 12 60:40 inks was higher than with the 30:70 inks. For both the solid square films and the data 13 matrix code films, the highest amounts of HC were obtained with the inks with the highest 14 proportion of PG. Therefore, it can be observed that a higher proportion of organic solvent as 15 16 well as the use of cyclodextrins caused an increase in the solubility of the HC within the ink, increasing the drug content deposited on the substrate. Conversely, the amount of HC deposited 17 with the cyclodextrin-containing inks was not as high as expected from looking at the results 18 in Figure 8. In the case of the 30:70 ratio ink, there were differences between the ink with and 19 without cyclodextrins in terms of the amount of drug printed in data matrix pattern. However, 20 there were no differences between the ink with and without cyclodextrins in the case of 60:40 21 ratio ink. The HC deposited was slightly higher in the third layer with the ink containing 22 cyclodextrins. 23

For the first time, a data matrix code generator software specifically made for the healthcare 24 sector was successfully combined with inkjet printing to produce films containing HC. Scaled 25 data matrix codes which encoded relevant information were generated using M3DIMAKER 26 Studio[™] software and personalized HC films were prepared by varying the number of printed 27 layers. The data matrix codes can be easily read using a smartphone application, improving the 28 traceability and safety of the treatment. The printed HC doses using a desktop printer were far 29 from the recommended oral doses (10 - 30 mg divided in three or four administrations) since 30 103 µg and 40 µg were deposited on solid square and data matrix films, respectively. A dose 31

closer to the target could be accomplished with a higher concentration pharma-ink or
specialised pharmaceutical inkjet printer able to print several layers in an easier manner. No
pharmaceutical inkjet printers are available on the market and desktop or conventional printers

4 are currently adapted to assess pharmaceutical applications. Therefore, there is a need for

- 5 specialised pharmaceutical inkjet printers that can overcome the main limitations of inkjet
- 6 printing (e.g. inconsistency, paper jam), offering better printing performance and avoiding
- 7 variability in the drug deposition.

8 **3.5 Disintegration testing**

Since, there are no official guidelines available for determining disintegration time of fast 9 disintegrating oral films, one of the most widely used methods in the literature, the slide frame 10 method, was adapted for this test (Irfan et al., 2016; Speer et al., 2018). The result was 11 expressed as the average time alongside standard deviation. The assay showed a fast 12 disintegration of the film, only 5.3 ± 0.7 sec, leading to a fast disintegration in the mouth and 13 hence, improved absorption and quicker onset of drug action. According to this disintegration 14 time, rapid dissolution of the film is expected, and all the drug is released in a matter of seconds. 15 As the ODF is dissolved in the saliva and is in contact with the taste buds, taste acceptability 16 is an important factor to consider, especially in terms of paediatric treatment. Since 17 hydrocortisone is a bitter drug, it would benefit from taste masking approaches for ODFs. The 18 use of cyclodextrins has been studied extensively for its taste masking capabilities 19 (Adamkiewicz and Szeleszczuk, 2023), and could be a potential avenue for the taste masking 20 21 of hydrocortisone for ODFs. In this study, the highest printed dose was obtained with PG:H₂O $(60:40 \text{ v/v}) + \text{HC}:\beta\text{-CD}(1:3 \text{ w/w})$ ink. This pharma-ink was based on drug-complexation using 22 cyclodextrins to increase the drug loading within the ODF. Due to acceptability issues in 23 paediatric patients and the bitter taste of hydrocortisone, this pharma-ink may be beneficial for 24 paediatrics because the complexation with cyclodextrins can also mask the bitter taste of the 25 drug. As a result, it could be implemented in clinical practice to improve not only the treatment 26 performance, but also the acceptability. 27

28

29 **4.** Conclusions

A software specifically designed for the healthcare sector was successfully implemented in 30 combination with inkjet printing technology to prepare data-enriched edible films containing a 31 potent drug, hydrocortisone. The films were printed and encoded relevant information 32 regarding the dosage form, treatment, and patient details. The amount of deposited drug 33 increased with the number of printed layers; therefore, it is possible to prepare personalized 34 data-enriched edible films by modifying the number of printed layers. Moreover, it was 35 demonstrated that it is possible to print different sizes of data matrix codes increasing the dose 36 selection options. With the multiple dose printing approach, time, and cost savings alongside 37 the safety of the treatment would be increased by encoding information that is easily readable 38 by the patients using an application in their smartphones. With the application, healthcare 39 personnel can scan the data matrix code at any time, thereby improving medicine traceability. 40

41 Drug solubility studies carried out in different pharma-inks indicated that the HC 42 concentrations obtained were low. It was observed that the higher proportion of PG in the 43 formulation of the pharma-inks caused an increase in the solubility of HC and, simultaneously, 44 acted as a humectant agent and viscosity and surface tension modifier. It can be stated that 45 there was an improvement in solubility for both inclusion complexes with β-cyclodextrin or

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1 micelles with Soluplus[®]. The formation of inclusion complexes with cyclodextrins increased

2 the solubility of the drug and, therefore, the amount deposited in each layer. In addition, the 3 complexation of hydrocortisone with cyclodextrins can also mask its bitter taste, improving its

- complexation of hydrocortisone with cyclodextrins can also mask its bitter taste, improving its
 acceptability and adherence. However, the findings of this study indicated that not all pharma-
- inks were printable, even with Z values within the accepted range (1 10), suggesting that the
- 6 Z value may not be the best parameter to predict ink printability, as already found in a previous
- 7 inkjet printability ML study.

8 This work demonstrates the ever-growing potential of inkjet printing and opens the possibility 9 of using it to obtain flexible doses of drugs that are prescribed at low doses in clinical practice.

In addition, the preliminary information obtained in this study allows the optimization of the software, to achieve a precise scalation of codes that will provide personalized doses. The development of a code generator software oriented to medical use provides an additional, innovative, and revolutionary advantage: the incorporation of data matrix codes, easily read with a simple smartphone, to increase the safety, traceability, and efficacy of prescribed

15 treatments.

16

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2 Declaration of interests

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The authors declare that they have no known competing financial interests or personal
relationships that could have appeared to influence the work reported in this paper.

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7 I The authors declare the following financial interests/personal relationships which may be

- 8 considered as potential competing interests:
- 9

Alvaro Goyanes reports a relationship with FABRX Ltd. that includes: employment and equity or stocks. Abdul Basit reports a relationship with FABRX Ltd. that includes:. Carlos Rial reports a relationship with FABRX AI Ltd. that includes: employment. Corresponding author part of the editorial board in International Journal of Pharmaceutics - A.B. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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